

6.892

Class 1

Feb. 6, 2019

6.892: Algorithmic Lower Bounds  
/ Fun with Hardness Proofs

"Hardness  
made Easy"

Prof. Erik Demaine

TAs: Jeffrey Bosboom & Jayson Lynch

<http://courses.csail.mit.edu/6.892/spring19/>

What is this class?

- practical guide to proving computational problems are formally hard / intractable
- NOT a complexity course  
(but we will use/refer to needed results)
- (anti)algorithmic perspective

Why take this class?

- know your limits in algorithmic design
  - master techniques for proving hardness
  - cool connections between problems
  - fun problems like Mario & Tetris (& serious problems)
  - solve puzzles → publishable papers
  - collaborative research / problem solving
- Fall 2014 has led to 12 papers so far!

key problems  
proof styles  
gadgets

Background: algorithms, asymptotics, combinatorics

- no complexity background needed  
(but also little overlap with a complexity class)

## Topics: (on handout/webpage)

- NP-completeness (3SAT, 3-partition, Hamiltonicity, geometry)
- PSPACE, EXPTIME, ...
- Games, Puzzles, & Computation (Constraint Logic, Sudoku, Nintendo, Tetris, Rush Hour, Chess, Go, ...)
- 0, 1, 2 player/team games
- counting (#P) & uniqueness (ASP)
- undecidability & P-completeness (parallelism)
- inapproximability (PCP, APX, Set Cover, ind. set, UGC, ...)
- fixed-parameter intractability (W, clique, ...)
- optional: economic game theory (PPAD), 3SUM (<sup>toward</sup> <sub>$n^2$</sub> )

Recommended texts: Games, Puzzles, & Computation [Hearn & Demaine 2009]  
Garey & Johnson [1979]

## Typical complexity of puzzles & games:

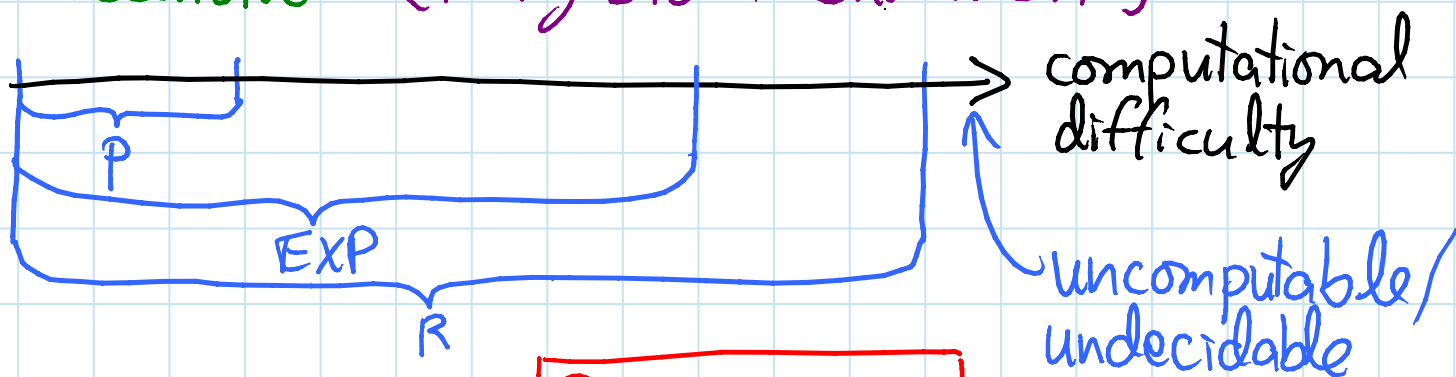
	0 players	1 player	2 players	2 teams + hidden info.
bounded (poly.)	P	NP	PSPACE	NEXPTIME
unbounded (exp.)	PSPACE	PSPACE	EXPTIME	R/ undecidable

# Intro to complexity: CRASH COURSE

$P = \{\text{problems solvable in polynomial time}\}$   
 $\overline{EXP} = \{\text{problems solvable in exponential time}\}$

$R = \{\text{problems solvable in finite time}\}$

↳ "recursive" [Turing 1936; Church 1941]



$$P \subsetneq EXP \subsetneq R$$

## Examples:

- negative-weight cycle detection  $\in P$
- $n \times n$  Chess  $\in EXP$  but  $\notin P$   
↳ who wins from given board config.?
- Tetris  $\in EXP$  but don't know whether  $\in P$   
↳ survive given pieces from given board
- halting problem  $\notin R$
- "most" decision problems  $\notin R$   
(# algorithms  $\approx \mathbb{N}$ ; # dec. problems  $\approx 2^{\mathbb{N}} = R$ )

→ answer  $\in \{YES, NO\}$

NP = {decision problems solvable in poly. time via a "lucky" algorithm}

↳ can make lucky guesses, always "right", without trying all options

- nondeterministic model: algorithm makes guesses & then says YES or NO
  - guesses guaranteed to lead to YES outcome if possible (no otherwise)
- = {decision problems with solutions that can be "checked" in polynomial time}
- when answer = YES, can "prove" it & poly.-time algorithm can check proof



Example: Tetris  $\in$  NP

- nondeterministic alg:
  - guess each move
  - did I survive?
- proof of YES: list what moves to make (rules of Tetris are easy)

P ≠ NP: big conjecture (worth \$1,000,000)

≈ can't engineer luck

≈ generating (proofs of) solutions can be harder than checking them

CoNP = negations (YES ↔ NO) of problems ∈ NP  
= problems with good proofs of No answer

→ NP, EXP, etc.

→ defined later

X-hard = "as hard as" every problem ∈ X

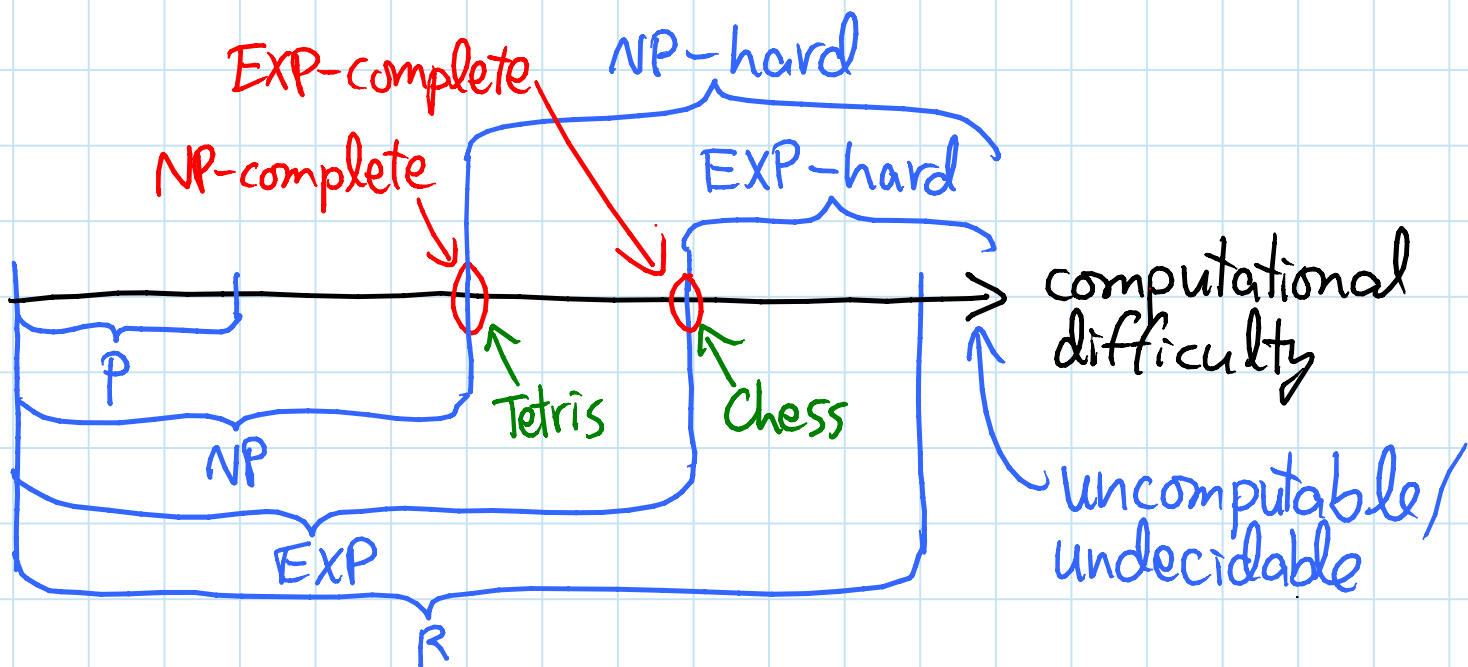
X-complete = X-hard ∩ X

sometimes "X-easy" = EX

e.g. Tetris is NP-complete [L3]

[Breukelaar, Demaine, Hohenberger, Hoogeboom, Kusters, Liben-Nowell 2004]

⇒ if P ≠ NP, then Tetris ∈ NP - P

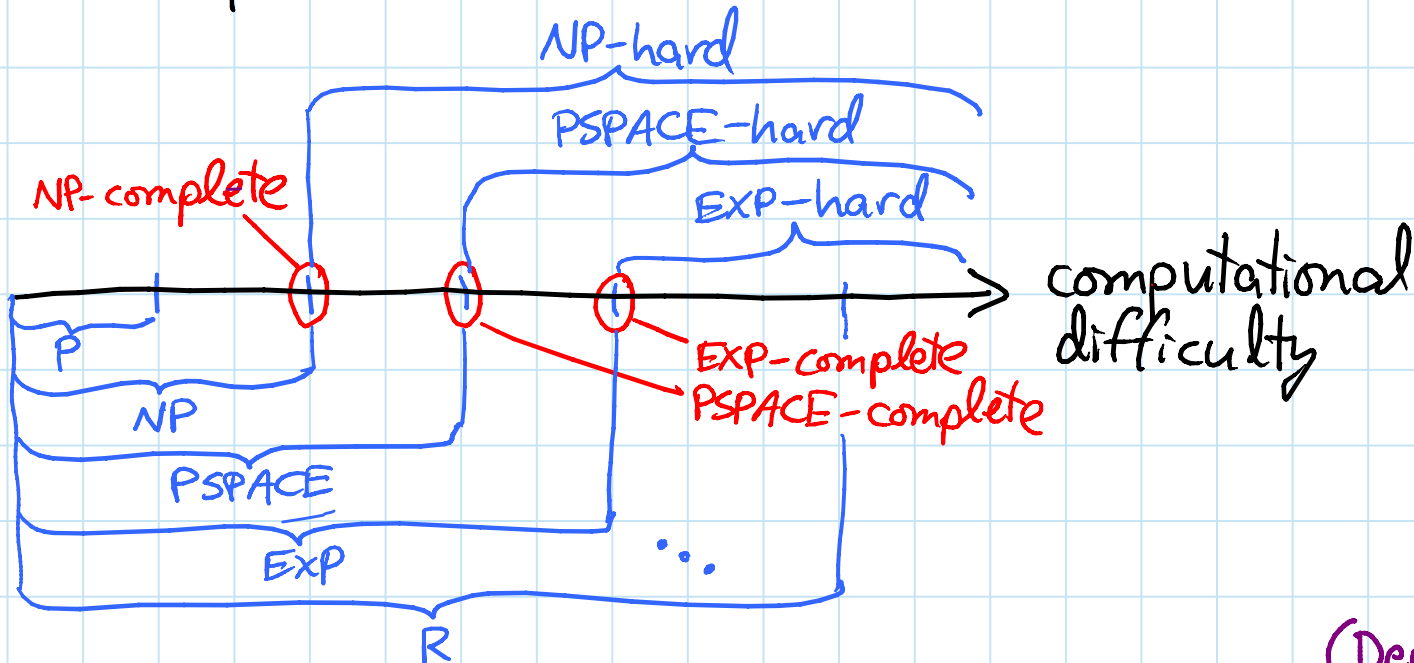


e.g. Chess is EXP-complete ⇒ ∉ P

⇒ Chess ∈ EXP - NP if NP ≠ EXP (also open)

PSPACE = {problems solvable in polynomial space}

- $\subseteq$  EXP: only exponentially many states
- $\supseteq$  NP: simulate all executions, take running OR
- open whether either is strict



e.g. Super Mario Bros. is PSPACE-complete  
 $\Rightarrow \neq P$  if  $P \neq NP$  or  $NP \neq PSPACE$

{ Demaine, Viglietta, Williams 2016

Beyond exponential: (not too important)

$$EXP(TIME) \subseteq EXPSPACE \subseteq \underbrace{2EXP(TIME)}_{\text{double exponential: } 2^{2^{n^c}}} \subseteq 2EXPSPACE \subseteq \dots$$

Also  $L = LOGSPACE \rightarrow O(\lg n)$  bits of space!

$EXP \subsetneq 2EXP \subsetneq \dots \leftarrow$  time & space hierarchy theorems

$L \subsetneq PSPACE \subsetneq EXPSPACE \subsetneq 2EXPSPACE \subsetneq \dots \leftarrow$

Nondeterministic:

- $NPSPACE = PSPACE$  [Savitch 1970] (very useful!)
- $NEXP, N2EXP, \dots$ : analogs of NP

$\rightarrow$  in general, space bound squares

What does "as hard as" mean? poly. size ("blowup")

Reduction from A to B = poly-time algorithm to convert: instance of A  $\rightarrow$  instance of B

such that solution to A = solution to B  
 $\Rightarrow$  if can solve B then can solve A

BEP  
BENP  
⋮

AEP  
AENP  
⋮

$\Rightarrow$  B is at least as hard as A  
(A is a special case of B)

if  $A \rightarrow B$  then  
A is X-hard  
B is  $\Downarrow$  X-hard

- this is a "one-call" reduction [Karp]
- "multi-call" reduction [Turing] also possible:  
solve A using an oracle that solves B
- doesn't help much for problems we consider

Examples from algorithms:

- unweighted shortest paths  $\rightarrow$  weighted ( $w=1$ )
- min-product path  $\rightarrow$  min-sum path ( $\lg$ )
- longest path  $\rightarrow$  shortest path (negate)
- min-weight k-step path  $\rightarrow$  min-weight path  
(k copies of graph + links between adj. layers)

Almost all hardness proofs are by reduction  
from known hard problem to your problem

## Exponential Time Hypothesis: (ETH) [Impagliazzo & Paturi - CCC 1999]

no  $2^{o(n)}$ -time algorithm for 3SAT

↳ formula size or # variables (see L20)

- concrete  $P \neq NP$  conjecture relating to EXPTIME

- if reduction from 3SAT  $\rightarrow$  problem B  
of size blowup  $n^c$

then ETH  $\Rightarrow$  no  $2^{o(n^{1/c})}$ -time alg. for B

## Example source problem:

### Hamiltonicity: (from L8)

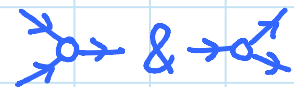
- path/cycle is Hamiltonian if it visits every vertex exactly once

- NP-complete to decide whether there's a Hamiltonian path in following graph types:

① grid graph



② 3-regular directed graphs



- ETH  $\Rightarrow$  no  $2^{o(|V|)}$ -time algorithm for ②  
& no  $2^{o(\sqrt{|V|})}$ -time algorithm for ①  
& these are tight [L20]



## Examples of hardness proofs:

### 100% speedrunning video games: [≈ Forišek - FUN 2010] ↗ see L8

- collecting all  $n$  objects in a maze environment (possibly with specified start and/or finish) in the minimum possible time is NP-complete
- proof: reduction from Hamiltonicity ①
  - grid graph  $\rightarrow$  maze (edge  $\rightarrow$  corridor, vertex  $\rightarrow$  branch)
  - target time =  $n \cdot \underline{\text{vertex time}} + (n-1) \cdot \underline{\text{edge time}}$   
↪ need to be consistent ↪
- linear blowup assuming  $O(1)$ -size vertex/edge gadgets  
 $\Rightarrow 2^{O(\sqrt{n})}$  time impossible assuming ETH

### Applications: NP-hard to 100% speedrun:

- Mario (collecting all coins or red coins)

### Applications: NP-hard to speedrun:

- Zelda 2 dungeons (collect all keys, doors @ end) & every Zelda game with "small keys"
- Metroidvania & most Zelda games & RPGs (collect all powerups, need all at end)

### Applications: NP-hard to win

- Katamari Damacy (collecting all objects within the time limit)

# Examples of hardness proofs:

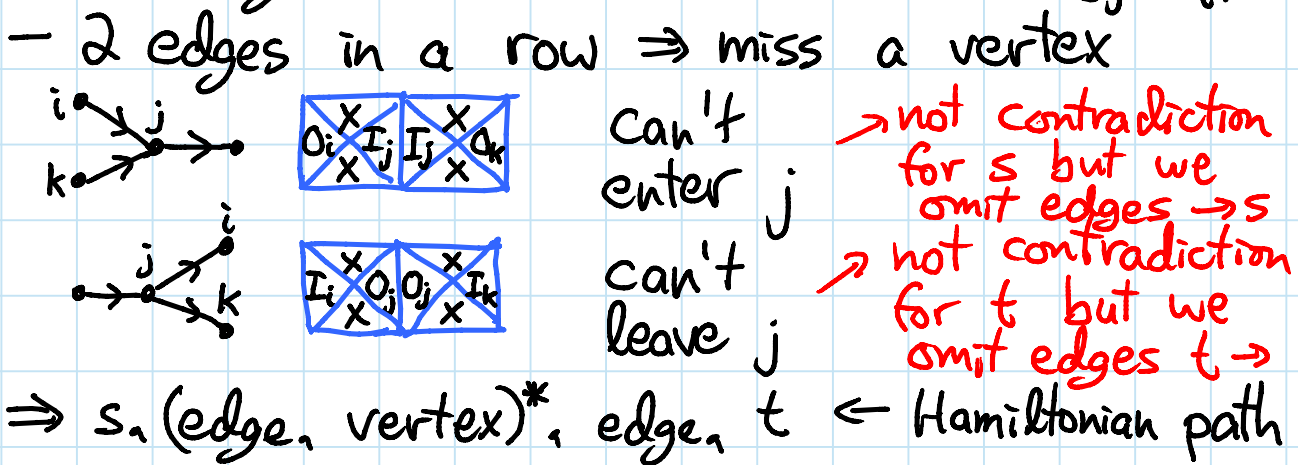
1xn edge matching: [Bosboom, Demaine, Demaine, Hesterberg, Manurangsi, Yodpinyanee - JIP 2017] 6.890 2014

- reduction from Hamiltonicity @
- one tile per vertex & one tile per edge



EXCEPT into  $s$  & out of  $t$

- for signed edge matching (same colors, opposite signs),  $180^\circ$  rotation is impossible  $\Rightarrow$  easy to argue
- for unsigned edge matching (same colors)
  - $s$  must be at one end (A unique,  $u_1$  twice)
  - say left end
  - vertex tiles can rotate  $0$  or  $180^\circ$  ( $u_i$  twice)
  - right of  $t$  tile must be unused edges  $\Rightarrow$  left of  $t$  tile must have all vertices
  - vertex tiles can't be adjacent ( $I_i \neq I_j$ ,  $O_j \neq O_i$ )  $\Rightarrow \geq 1$  edge tile in between



# Class format:

- video lectures + handwritten & scribe notes (from 2014)
- **DEMO**: note sync & jump, playback speed
- completion & scribe feedback form **DEMO**  
**DUE BY NOON ON WEDNESDAY**
- questions on Coauthor **DEMO**
- class (W 7-9:30) for every  $\approx 2$  lectures **REQUIRED ATTENDANCE**
- new/additional material
- group puzzle solving "SOLVED"  
↳ learn material, collaboration skills, warmup for:
- attack open problems "OPEN"  
↳ build research skills, thrill of unknown, fun & challenge of advancing frontiers of research
- implement/visualize reductions "CODING"
- optional session (R 7-9) for open problems etc.
- Coauthor software to coordinate in/outside class  
**MUST POST/BE @MENTIONED EACH WEEK** **DEMO STATS**
- weekly psets **DUE TUESDAYS AT NOON** **DEMO PSI**
- scribe notes: revise/improve old notes  
(**DUE TUESDAY NOON**)  
(**FOLLOWING CLASS**) including students' feedback
- project & presentation  
↳ e.g. from class
- pose and/or try to solve open problem
- implement reductions or exhaustive search(es)
- survey a subfield (not well-covered in lectures)
- Wikipedia (write/improve several articles)
- art: visualization, sculpture, game design, ...