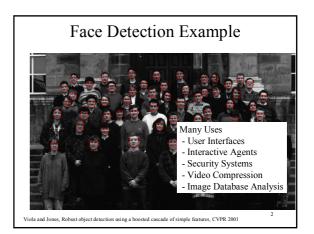
### 6.891

### Computer Vision and Applications

Prof. Trevor. Darrell

### Lecture 12: (Face) Detection

- Template matching
- Backprop
- SVM
- Boosting



### Why Face Detection is Difficult?

- <u>Pose</u>: Variation due to the relative camera-face pose (frontal, 45 degree, profile, upside down), and some facial features such as an eye or the nose may become partially or wholly occluded.
- Presence or absence of structural components: Facial features such as beards, mustaches, and glasses may or may not be present, and there is a great deal of variability amongst these components including shape, color, and size.
- Facial expression: The appearance of faces are directly affected by a person's facial expression.

  Occlusion: Faces may be partially occluded by other objects. In an image with a group of people, some faces may partially occlude other faces.
- Image orientation: Face images directly vary for different rotations about the camera's optical axis.
- Imaging conditions: When the image is formed, factors such as lighting (spectra, source distribution and intensity) and camera characteristics (sensor response, lenses) affect the appearance of a face.

### **Face Detection Methods**

## Fature invariant - Pacial Features - Texture - Skin Color - Multiple Features Template matching - Predefined face templates - Deformable Templates Appearance-based method - Eigenface - Distribution-based - Neural Network - Support Vector Machine (SVM) - Naive Bayes Classifier - Hidden Markor Model (HMM) - Information-Theoretical Approach

Multiresolution rule-based method [170]

Grouping of edges [87] [178] Space Gray-Level Dependence matrix (SGLD) of face pattern [32] Mixture of Gaussian [172] [98] Integration of skin color, size and shape [79]

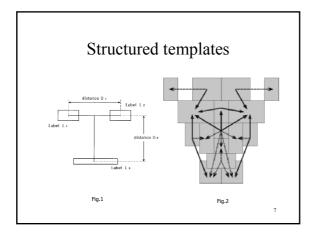
Shape template [28] Active Shape Model (ASM) [86]

Eigenvector decomposition and clustering [163]
Gaussian distribution and multilayer perceptron [154]
Ensemble of neural networks and arbitration schemes [128]
SVM with polynomial kernel [107]
Joint statistics of local appearance and position [140]
Higher order statistics with HMM [123]
Kullback relative information [89] [24]

M.H. Yang, D. Kriegman, N. Ahuja, *Detecting faces in images, a survey*", PAMI vol.24,no.1, January, 2002.

## Detecting Human Faces in Color Images

# **Template Matching**

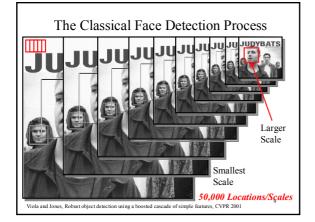


### Multi-scale search

- Search at multiple scales (and pose)
- Multiple templates
- Single template, multiple sca
- Image Pyramid
  - decimate image by constant fa-
  - efficient search



8



### Learning approach

- Learn Classifier Parameters
- Benefits:
  - no human domain experience necessary
  - parameters can be derived from large data sets, and thus be more reliable
  - opportunity to improve performance by correcting mistakes and including in training set

10

### Too many templates...

Image templates (simplest view-based method – straw man)

- keep an image of every object from different viewing directions, lighting conditions, etc.
- nearest neighbor cross-correlation matching with images in model database (or robust matching for clutter & occlusion)

### Obvious problems

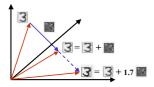
- storage and computation costs become unreasonable as the number of objects increases
- may require very large ensemble of 'training' images

11 Eleat & Caslibai

## Subspace Methods How can we find more efficient representations for the ensemble of views, and more efficient methods for matching? Idea: images are not random... especially images of the same object that have similar appearance E.g., let images be represented as points in a high-dimensional space (e.g., one dimension per pixel)

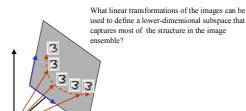
### **Linear Dimension Reduction**

Given that differences are structured, we can use 'basis images' to transform images into other images in the same space.



Fleet & Szeliksi

### Linear Dimension Reduction



Fleet & Szeliksi

### Observation

$$\vec{x}^n \approx \sum_{i=1}^{M} z_i^n \vec{u}_i + \sum_{j=M+1}^{D} b_j \vec{u}_j$$
Approximation  $\widetilde{x}_n$  Error

Want the M bases that minimize the mean squared error over the training data

$$\min E_M = \sum_{n=1}^N \left\| \vec{x}^n - \widetilde{x}^n \right\|^2$$

### Intuition



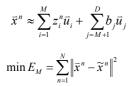


If I give you the mean and one vector to represent the data, what vector would you choose?

Why?

### Intuition

$$\vec{x}^n \approx \sum_{i=1}^M z_i^n \vec{u}_i + \sum_{j=M+1}^D b_j \vec{u}_j$$





Projecting onto  $\vec{u}_1$  captures the majority of the variance and hence projecting onto it minimizes the error

### Principal Component Analysis

• Sample mean and covariance:

$$\overline{x} = \frac{1}{N} \sum_{n=1}^{N} \overline{x}^{n} \qquad C = \frac{1}{N-1} \sum_{n=1}^{N} (\overline{x}^{n} - \overline{x}) (\overline{x}^{n} - \overline{x})^{T}$$

- Let the eigenvectors and eigenvalues of C be  $\vec{\mathbf{e}}_k$  and  $\lambda_k$  $\text{for } k \leq D \quad \text{(i.e.,} \quad C \overrightarrow{e}_k = \lambda_k \overrightarrow{e}_k \quad \text{ with } \ \lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_D \ )$
- In matrix form: CE = EL, where  $L = \text{diag}(\lambda_1, ..., \lambda_D)$ and  $E = [\vec{e}_1, \dots \vec{e}_D]$
- Because C is symmetric positive-definite, we know  $E^{-1} = E^{T}$

### Principal Component Analysis

- Eigenvectors are the *principal directions*, and the eigenvalues represent the variance of the data along each principal direction
  - \*  $\lambda_{\mathbf{k}}$  is the marginal variance along the principal direction  $\ \vec{e}_{\mathbf{k}}$





Fleet & Szeliski 19

### Principal Component Analysis

 The first principal direction eq is the direction along which the variance of the data is maximal, i.e. it maximizes

$$\vec{\mathbf{e}}^T C \vec{\mathbf{e}}$$
 where  $\vec{\mathbf{e}}^T \vec{\mathbf{e}} = 1$ 

- The second principal direction maximizes the variance of the data in the orthogonal complement of the first eigenvector.
- etc.

Fleet & Szeliski 20

### Principal Component Analysis

• PCA Approximate Basis: If  $\lambda_k \approx 0$  for k > M for some  $M \le D$ , then we can approximate the data using only M of the principal directions (basis vectors):

– If 
$$\mathbf{B} = [\bar{e}_1, ..., \bar{e}_M]$$
, then for all points

$$\vec{x}^n \approx \mathbf{B}\vec{a}^n + \overline{x}$$

where  $a_k^n = (\bar{x}^n - \bar{x})^T \bar{e}_k$ 

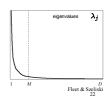
Fleet & Szeliksi 21

### **PCA**

- Over all rank M bases,  $\mathbf{B}$  minimizes the MSE of approximation D

$$\sum_{j=M+1}^{D} \lambda_{j}$$

- •Choosing subspace dimension M:
- look at decay of the eigenvalues as a function of M
- Larger M means lower expected error in the subspace data approximation



### Computing using SVD

Let 
$$X = [\vec{x}^1 \cdots \vec{x}^D]$$

Compute the mean column vector:  $\bar{x} = \frac{1}{D} \sum_{i=1}^{D} x^{i}$ 

Subtract the mean from each column.

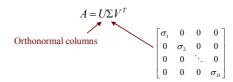
$$A = X - \overline{x} = [(\overline{x}^1 - \overline{x}) \cdots (\overline{x}^D - \overline{x})]$$

Singular Value Decomposition allows us to write A as:

$$A = U \Sigma V^T$$

23

### SVD and PCA



Diagonal matrix of singular values

### SVD and PCA

Note:  

$$C = \frac{1}{D} A A^{T}$$

$$= \frac{1}{D} U \Sigma V^{T} (U \Sigma V^{T})^{T}$$

$$= \frac{1}{D} U \Sigma V^{T} V \Sigma U^{T}$$

$$= \frac{1}{D} U \Sigma^{2} U^{T}$$

In other words

$$C\vec{u}_i = \frac{\sigma^2}{D}\vec{u}$$

i.e. the singular vectors of *A* are the eigenvectors of the are the eigenvectors covariance matrix C.

### SVD and PCA

- ullet So the columns of U are the eigenvectors
- · And the eigenvalues are just

$$\lambda_k = \frac{\sigma_k^2}{D}$$

The benefit of eigenfaces over nearest neighbor

$$|\vec{y}_{1} - \vec{y}_{2}|^{2} = (\vec{y}_{1} - \vec{y}_{2})^{T} (\vec{y}_{1} - \vec{y}_{2})$$
image differences
$$= (U\vec{x}_{1}^{T} - U\vec{x}_{2})^{T} (U\vec{x}_{1} - U\vec{x}_{2})$$

$$= (\vec{x}_{1}^{T}U^{T} - \vec{x}_{2}^{T}U^{T})(U\vec{x}_{1} - U\vec{x}_{2})$$

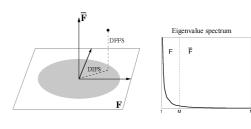
$$= \vec{x}_{1}^{T}\vec{x}_{1} - \vec{x}_{2}^{T}\vec{x}_{1} - \vec{x}_{1}^{T}\vec{x}_{2} + \vec{x}_{2}^{T}\vec{x}_{2}$$

$$= (\vec{x}_{1}^{T} - \vec{x}_{2}^{T})(\vec{x}_{1} - \vec{x}_{2})$$

$$= |\vec{x}_{1} - \vec{x}_{2}|^{2}$$
eigenvalue differences

### Subspace Face Detector • PCA-based Density Estimation p(x)

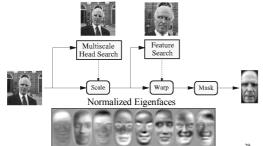
- Maximum-likelihood face detection based on DIFS + DFFS



Moghaddam & Pentland, "Probabilistic Visual Learning for Object Detection," ICCV'95.

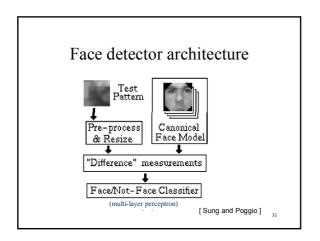
### Subspace Face Detector

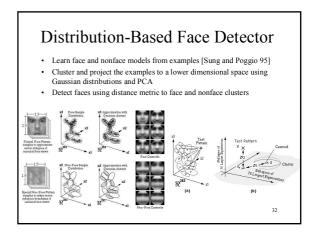
Multiscale Face and Facial Feature Detection & Rectification

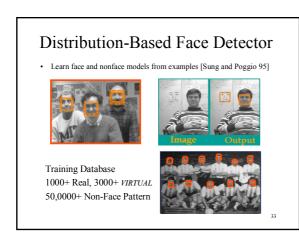


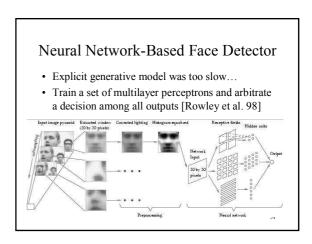
### Sung and Poggio

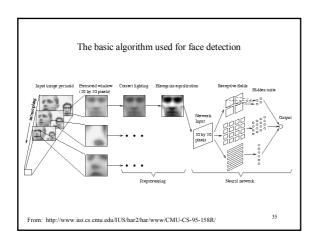
- · Density learning approach
- · Mixture of Gaussians for face and not-face
- One of the first applications of learning for face detection with large training sets.
  - Kah-Kay Sung and Tomaso Poggio, Example-Based Learning for View-based Human Face Detection, IEEE Trans. PAMI 20(1), January
  - MIT AI TR 1572, 1996

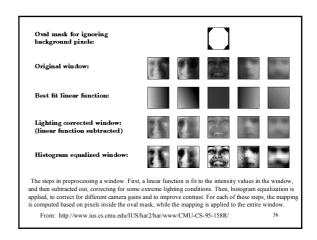


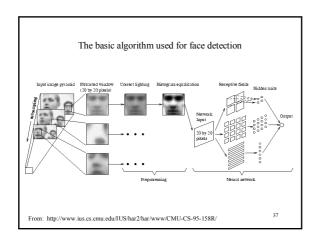


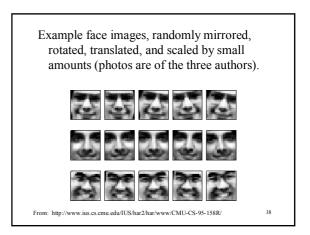


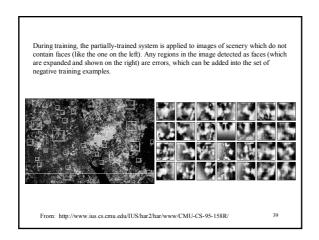


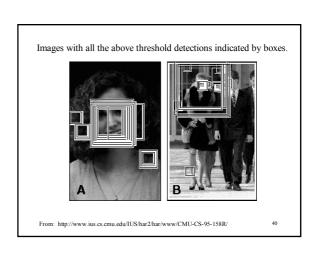


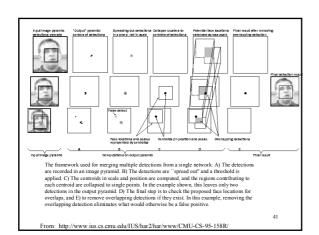


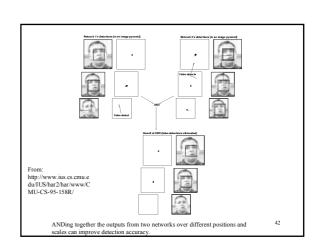


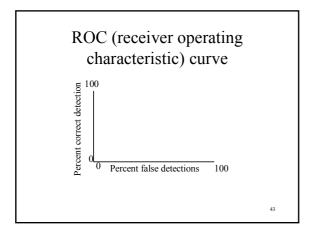


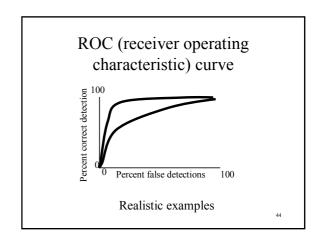


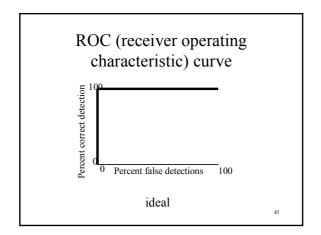


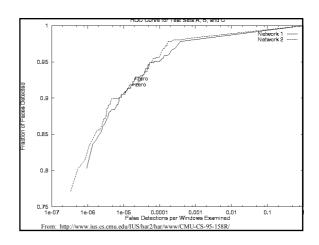












http://www.ius.cs.cmu.edu/demos/facedemo.html

CMU's Face Detector Demo

This is the front page for an interactive WWW demonstration of a face detector developed here at CMU. A detailed description of the system is available. The face detector can handle pictures of people (roughly) facing the camera in an (almost) vertical orientation. The faces can be anywhere inside the image, and range in size from at least 20 pixels hight to covering the whole image.

Since the system does not run in real time, this demonstration is organized as follows. First, you can submit an image to be processed by the system. Your image may be located anywhere on the WWW. After your image is processed, you will be informed via an e-mail message.

After your image is processed, you may view it in the gallery (gallery with inlined images). There, you can see your image, with green outlines around each location that the system thinks contains a face. You can also look at the results of the system on images supplied by other people.

Henry A. Rowley (har@cs.cmu.edu) Shumeet Baluja (baluja@cs.cmu.edu) Takeo Kanade (tk@cs.cmu.edu)



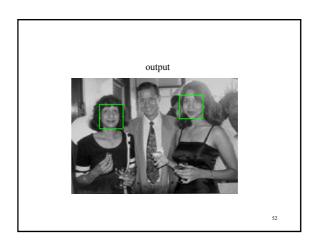


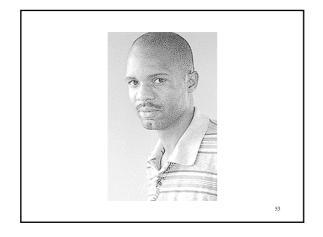


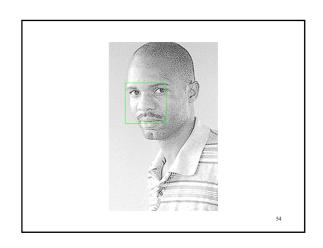
## Example CMU face detector results

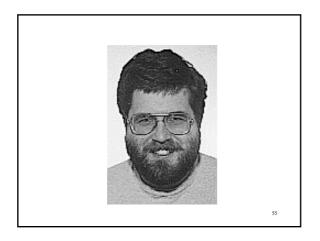


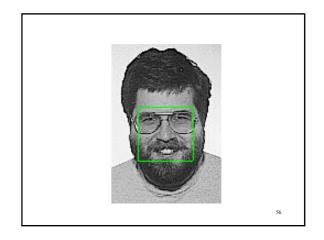
All images from:  $http://www.ius.cs.cmu.edu/demos/facedemo. \\ http://www.ius.cs.cmu.edu/demos/facedemo. \\ http://www.ius.cs.cmu.edu/demos/facedemos/facedemo. \\ http://www.ius.cs.cmu.edu/demos/facedemos/facedemo. \\ http://www.ius.cs.cmu.edu/demos/facedemo. \\ http://www.ius.cs.cmu.edu/demos/facedemo. \\ http://www.ius.cs.cmu.edu/demos/facedemo. \\ http://www.ius.cs.cmu.edu/demos/facedemos/facedemo. \\ http://www.ius.cs.cmu.edu/demos/facedemos/facedemos/facedemos/facedemos/facedemos/facedemos/facedemos/facedemos/facedemos/facedemos/facedemos/facedem$ 

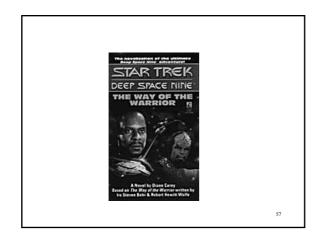


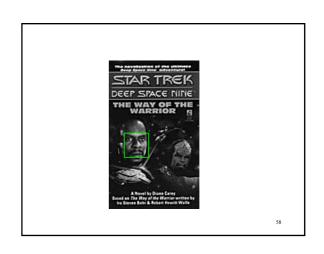


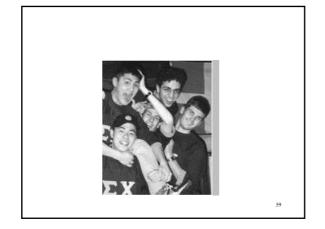




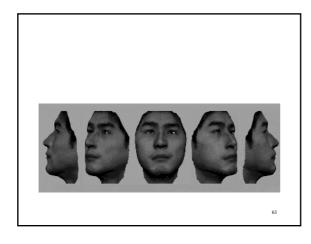


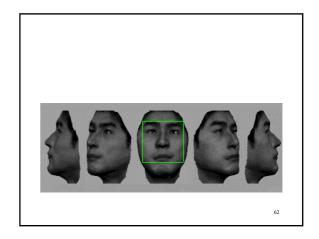


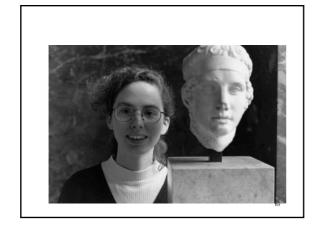


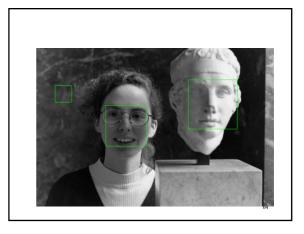


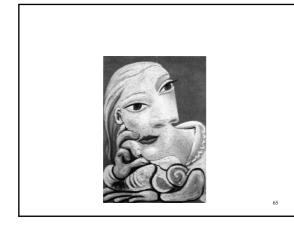


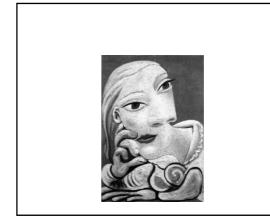


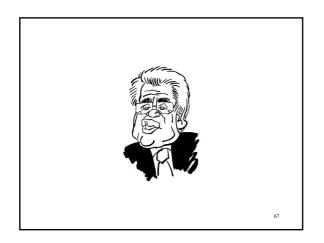


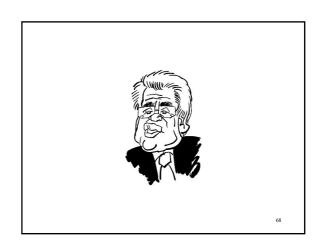


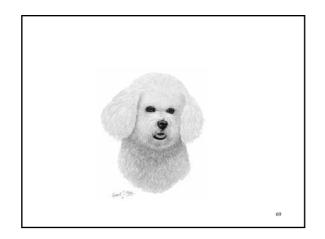


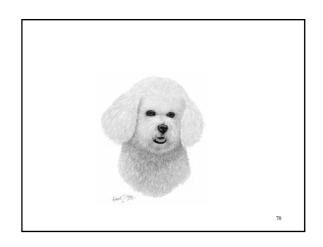






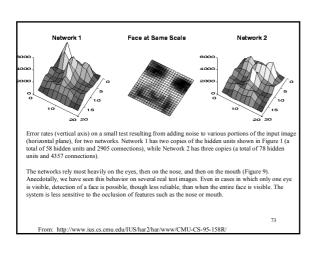












### Support vector machines (SVM's)

• The 3 good ideas of SVM's

74

## Good idea #1: Classify rather than model probability distributions.

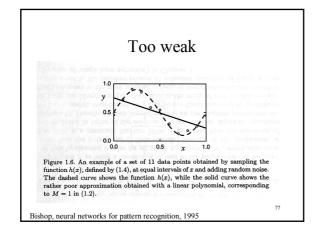
- · Advantages:
  - Focuses the computational resources on the task at hand.
- · Disadvantages:
  - Don't know how probable the classification is
  - Lose the probabilistic model for each object class; can't draw samples from each object class.

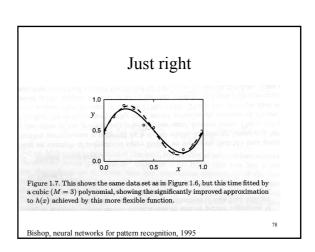
75

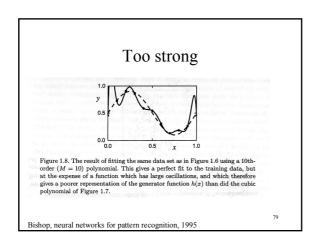
## Good idea #2: Wide margin classification

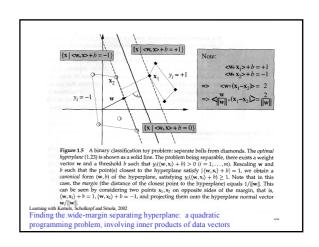
- For better generalization, you want to use the weakest function you can.
  - Remember polynomial fitting.
- There are fewer ways a wide-margin hyperplane classifier can split the data than an ordinary hyperplane classifier.

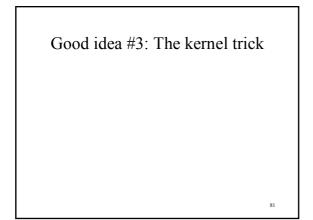
76

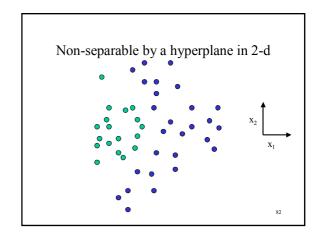


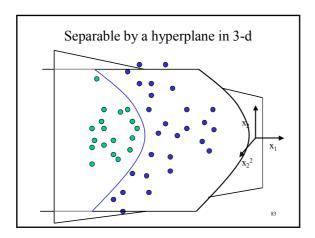


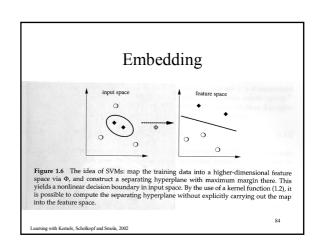












### The idea

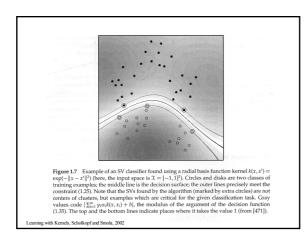
- There are many embeddings were the dot product in the high dimensional space is just the kernel function applied to the dot product in the lowdimensional space.
- · For example:
  - $K(x,x') = (< x,x' > + 1)^d$
- Then you "forget" about the high dimensional embedding, and just play with different kernel functions

85

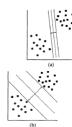
### Example kernel functions

- Polynomials
- Gaussians
- · Sigmoids
- · Radial basis functions
- Etc...

86



## Discriminative approaches: e.g., Support Vector Machines





88

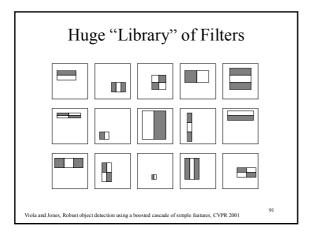
### **Key Properties of Face Detection**

- Each image contains 10 50 thousand locs/scales
- Faces are rare 0 50 per image
  - 1000 times as many non-faces as faces
- Extremely small # of false positives: 10-6
- Complex operation on each window (e.g., SVM, NN) ==> very slow detector!

89

### Key Properties of Face Detection

- In practice, many ad-hoc prefilter approaches for speed (flesh color, etc)
- Viola-Jones: develop principled approach to fast detection
  - start with large library of local features
  - integral image for efficient computation
  - adaboost to find optimal combination
  - cascade architecture for fast detection



### **Constructing Classifiers**

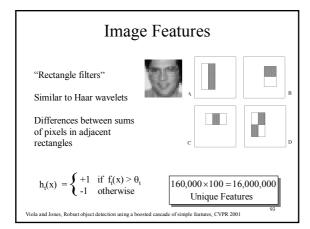
- Feature set is very large and rich
- · Perceptron yields a sufficiently powerful classifier

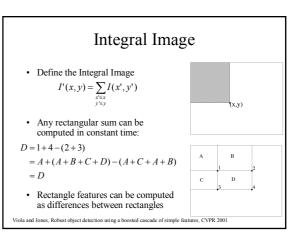
$$C(x) = \theta \left( \sum_{i} \alpha_{i} h_{i}(x) + b \right)$$

- 6,000,000 Features & 10,000 Examples
  - 60,000,000,000 feature values!
- · Classical feature selection is infeasible
  - Wrapper methods
  - Exponential Gradient (Winnow Roth, et al.)

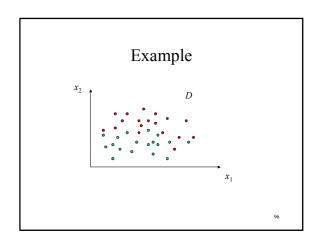
Tiola and Jones, Robust object detection using a boosted cascade of simple features, CVPR 2001

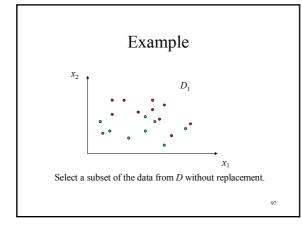
92

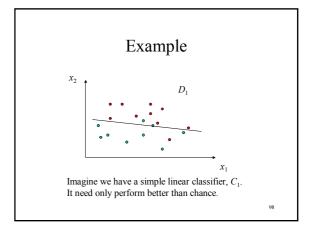


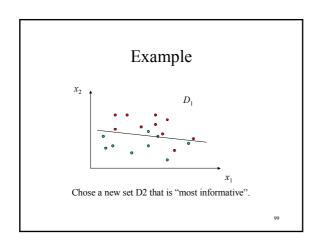


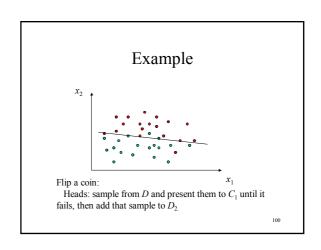
## Boosting A weak learner is a classifier with accuracy only slightly better than chance. Boosting: combine a number of simple classifiers so that the ensemble is arbitrarily accurate. Allows the use of simple (fast) classifiers without sacrificing accuracy.

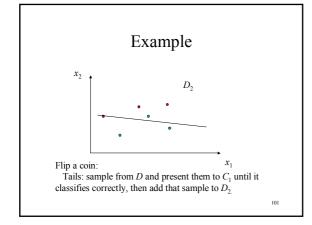


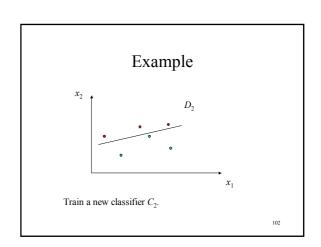


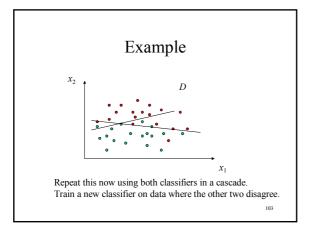


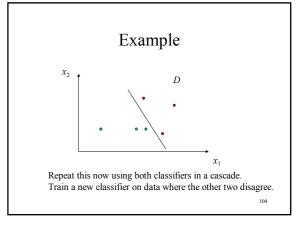


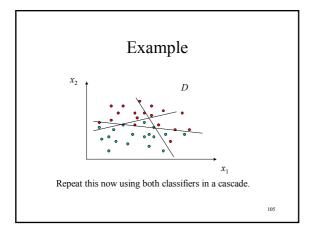








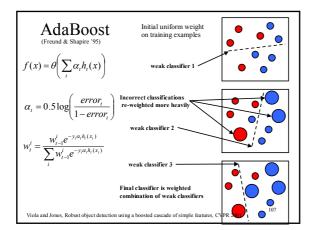




## Adaboost

- Same basic idea but give each data element a weight that determines its probability of being selected.
- If the element is accurately classified then it has a low probability of being selected again.
- Focuses resources on the difficult data.
- Classification based on the weighted sum of the output of the component classifiers. Weight of each classifier is related to its training error.

106



## AdaBoost for Efficient Feature Selection

- Image Features = Weak Classifiers
- For each round of boosting:
  - Evaluate each rectangle filter on each example
  - Sort examples by filter values
  - Select best threshold for each filter (min error)
    - Sorted list can be quickly scanned for the optimal threshold
  - Select best filter/threshold combination
  - Weight on this feature is a simple function of error rate
  - Reweight examples
  - (There are many tricks to make this more efficient.)

Viola and Jones, Robust object detection using a boosted cascade of simple features, CVPR 2001

### Building a Classifier from Features

Use a single rectangle feature as weak learner

A weak learner consists of a feature  $f_{t}$  a threshold  $\theta_{t}$  and a parity  $p_{t}$  = {-1,1}:

$$h_t(x) = \begin{cases} 1 & \text{if } p_t f_t(x) < p_t \theta_t \\ 0 & \text{otherwise} \end{cases}$$

Picking a weak learner amounts to finding the rectangle feature with lowest weighted error

Viola and Jones Robust object detection using a boosted cascade of simple features. CVPR 2001

### Final Classifier is a Perceptron

The classifier learned by AdaBoost is a perceptron:

$$h(x) = \begin{cases} 1 & \text{if } \sum_{t=1}^{T} \alpha_t h_t(x) > 0.5 \sum_{t=1}^{T} \alpha_t \\ 0 & \text{otherwise} \end{cases}$$

$$h_t(x) = \begin{cases} 1 & \text{if } p_t f_t(x) < p_t \\ 0 & \text{otherwise} \end{cases}$$

Each feature f(x) can be represented as a list of coordinates and a weight:  $(x_1,\,y_1,\,w_1),\,(x_2,\,y_2,\,w_2),\,\dots$ 

110

To apply the classifier to larger image sub-windows, we simply scale up each feature.

Viola and Jones, Robust object detection using a boosted cascade of simple features, CVPR 2001

Example Classifier for Face Detection

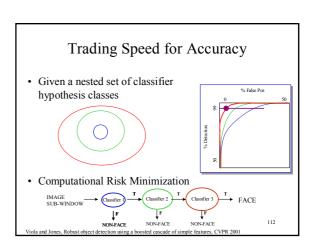
A classifier with 200 rectangle features was learned using AdaBoost

95% correct detection on test set with 1 in 14084
false positives.

Not quite competitive...

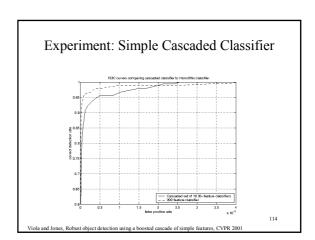
\*\*Roc curve for 200 feature classified 11

Viola and Jones, Robust object detection using a boosted cascade of simple features, CVPR 2001



## • A 1 feature classifier achieves 100% detection rate and about 50% false positive rate. • A 5 feature classifier achieves 100% detection rate and 40% false positive rate (20% cumulative) – using data from previous stage. • A 20 feature classifier achieve 100% detection rate with 10% false positive rate (20% cumulative) – using data from previous stage.

Cascaded Classifier



### A Real-time Face Detection System

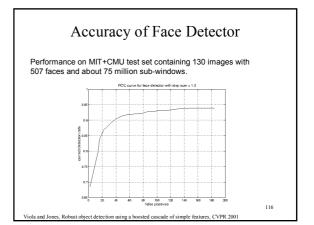
**Training faces**: 4916 face images (24 x 24 pixels) plus vertical flips for a total of 9832 faces

**Training non-faces**: 350 million subwindows from 9500 non-face images

**Final detector**: 38 layer cascaded classifier The number of features per layer was 1, 10, 25, 25, 50, 50, 50, 75, 100, ..., 200, ...

Final classifier contains 6061 features.

Viola and Jones, Robust object detection using a boosted cascade of simple features, CVPR 2001



### Comparison to Other Systems

115

| False Detections         | 10   | 31   | 50   | 65   | 78   | 95   | 110  | 167  |
|--------------------------|------|------|------|------|------|------|------|------|
| Detector                 |      |      |      |      |      |      |      |      |
| Viola-Jones              | 76.1 | 88.4 | 91.4 | 92.0 | 92.1 | 92.9 | 93.1 | 93.9 |
| Viola-Jones<br>(voting)  | 81.1 | 89.7 | 92.1 | 93.1 | 93.1 | 93.2 | 93.7 | 93.7 |
| Rowley-Baluja-<br>Kanade | 83.2 | 86.0 |      |      |      | 89.2 |      | 90.1 |
| Schneiderman-<br>Kanade  |      |      |      | 94.4 |      |      |      |      |

Viola and Jones, Robust object detection using a boosted cascade of simple features, CVPR 2001

### Speed of Face Detector

Speed is proportional to the average number of features computed per sub-window.

On the MIT+CMU test set, an average of 9 features out of a total of 6061 are computed per sub-window.

On a 700 Mhz Pentium III, a 384x288 pixel image takes about 0.067 seconds to process (15 fps).

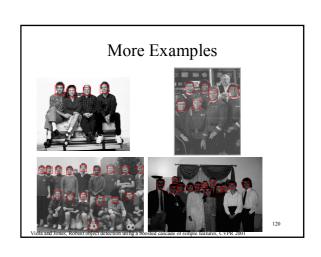
Roughly 15 times faster than Rowley-Baluja-Kanade and 600 times faster than Schneiderman-Kanade.

Viola and Jones, Robust object detection using a boosted cascade of simple features, CVPR 2001

Output of Face Detector on Test Images

JUDYBATS

Viola and Jones, Robust object detection using a boosticd carcade of sample names, CVPR 2001



### Viola/Jones

Three contributions with broad applicability

- Cascaded classifier yields rapid classification
- AdaBoost as an extremely efficient feature selector
- Rectangle Features + Integral Image can be used for rapid image analysis

Viola and Jones Robust object detection using a boosted cascade of simple features. CVPR 2001

## Goal: Detect Pedestrians. Viola, Jones and Snow, ICCV'03

