

## Recitation 7: Zero Knowledge

### 1 Definitions

**Interactive Protocol:** Given a Prover  $P$ , a Verifier  $V$ , a language  $L$  and an input  $x$ ,  $P$  and  $V$  hold a conversation so that  $P$  proves that  $x \in L$ . This is called **proof-of-knowledge**, since  $P$  proves that a statement is correct or that she knows the solution to a problem. **Zero-knowledge** is a condition that guarantees that the Verifier  $V$  gets no other information besides whether or not a statement is correct ( $x \in L$ ). Some examples including  $P$  proving that he knows a 3-coloring of a graph, a Hamiltonian cycle of a graph, or the discrete log of a given number, without revealing the specific 3-coloring, Hamiltonian cycle or discrete log, respectively.

**Completeness:** An honest Verifier "accepts" the proof when the statement is actually correct (e.g.  $P$  knows the discrete log).

**Soundness:** Verifier "rejects" the proof when the statement is incorrect, with high probability (e.g. a cheating  $P$  cannot convince  $V$  that she knows the discrete log, except with little probability).

**Common Assumptions:** We usually assume that  $P$  has unlimited computation power, while  $V$  is bounded by probabilistic polynomial time.

### 2 Examples

Below there are three examples of zero-knowledge interactive protocols. We assume that we have an honest Verifier.

#### 2.1 Graph 3-Colorability (Review)

Given a graph  $G = (V, E)$ , the Prover wants to prove that she knows a way to color the vertices using 3 colors such that no two adjacent vertices have the same color. The Prover does not want the Verifier to find any information about the valid coloring, only one exists and  $P$  knows it.

The protocol works as follows:

1.  $P$  permutes the colors of the valid 3-coloring. Let  $c_1, c_2, \dots, c_n$  be the final values
2.  $P$  sends over to  $V$  the commitments  $\text{com}(c_1), \text{com}(c_2), \dots, \text{com}(c_n)$
3.  $V$  asks for an edge  $(i, j) \in E$
4.  $P$  reveals  $c_i, c_j$
5.  $V$  accepts if, and only if,  $c_i$  and  $c_j$  are different colors

**Completeness:** Completeness follows from the fact that a Prover who knows the coloring can answer all requests of an honest Verifier.

**Soundness:** Consider a cheating Prover that wants to convince Verifier. Since the Prover does not know a 3-coloring, at least one of the edges is monochromatic. Then, Verifier chooses a monochromatic edge with

probability at least  $|E|^{-1}$ . By repeating  $N$  times, the probability of Prover convincing the Verifier becomes at most  $(1 - |E|^{-1})^N$ , which can be arbitrarily small.

**Zero Knowledge:** Verifier learns only if the edge he chose is monochromatic or not, but does not get any other information about the original graph.

## 2.2 Hamiltonian Cycle

Given a graph  $G = (V, E)$ , a Hamiltonian cycle is a cycle that visits every vertex exactly once. Finding whether a Hamiltonian cycle exists is considered an NP-complete problem. Prover wants to prove that he knows a Hamiltonian cycle of graph  $G$ , without revealing the actual path. How to achieve this?

Consider the following protocol:

1.  $P$  permutes the vertices of  $G$ . Let  $H$  be the resulting graph
2.  $P$  sends over to  $V$  the commitments  $\text{com}(\pi)$  and  $\text{com}(H)$ , where  $\pi$  is the permutation of the vertices
3.  $V$  replies with  $b \in \{0, 1\}$
4. If  $b = 0$ , then  $P$  reveals  $H$  and  $\pi$  and  $V$  accepts if  $\pi$  is a valid permutation and  $H$  is the valid graph implied by the permutation  $\pi$
5. If  $b = 1$ , then  $P$  reveals **only** a Hamiltonian cycle of  $H$  and  $V$  accepts if the revealed path is Hamiltonian.

**Completeness:** Completeness follows from the fact that a Prover who knows the Hamiltonian cycle can answer all requests of an honest Verifier. Notice that given the Hamiltonian cycle and the permutation  $\pi$ , it is easy to find a Hamiltonian cycle in  $H$ .

**Soundness:** Consider a cheating Prover that wants to convince Verifier. Prover cannot construct  $H$  and its Hamiltonian cycle at the same time, so he needs to guess whether  $b = 0$  or  $b = 1$ . If he guesses  $b = 0$ , then he simply permutes  $G$  and sends the resulting graph. If he guesses  $b = 1$ , then he constructs a different  $H$  with a Hamiltonian cycle, so he reveals the cycle, but  $V$  does not know that  $H$  and  $G$  are not isomorphic. Prover can guess correctly with probability  $\frac{1}{2}$ . By repeating  $N$  times, the probability of Prover convincing the Verifier drops to  $2^{-N}$ , which can be arbitrarily small.

**Zero Knowledge:** If  $b = 0$ , Verifier only sees a permutation of  $G$ . If  $b = 1$ , Verifier only sees a Hamiltonian cycle, but does not know how the cycle is related to  $G$ . Thus, he cannot find how to construct the cycle.

## 2.3 Discrete Log

Given a prime  $p$ , a generator  $g$  of  $\mathbb{Z}_p^*$  and a number  $y \in \{1, 2, \dots, p-1\}$  Prover wants to prove that he knows the discrete log  $x$  of  $y$ , that is  $g^x \equiv y \pmod{p}$ , without revealing  $x$ .

Consider the following protocol:

1.  $P$  chooses a random number  $r \in \{1, 2, \dots, p-1\}$ , computes  $c = g^r \pmod{p}$  and sends  $c$  over to  $V$
2.  $V$  replies with a random  $u \in \{1, 2, \dots, p-1\}$
3.  $P$  computes  $s = r + ux \pmod{p-1}$  and sends it to  $V$
4.  $V$  accepts if  $g^s \equiv c \cdot y^u \pmod{p}$

**Completeness:** Completeness follows from the fact that a Prover who knows the discrete log of  $y$  can answer all requests of an honest Verifier.

**Soundness:** Consider a cheating Prover that wants to convince Verifier. If  $P$  chooses  $r$  randomly, then in the last step she needs to find the discrete log of  $c \cdot y^u$ , which we assume is a hard problem. If  $P$  chooses  $s$ , so that  $g^s = c \cdot y^u$ , then this means that she needs to have guessed  $u$  and then choose  $c$  accordingly. Thus, Prover cannot find  $r$  and  $s$  at the same time, without knowing  $x$ , except with little probability (guessing  $u$  correctly).

**Zero Knowledge:** Verifier sees  $s = r + ux \pmod{p-1}$  and knows  $u$ , so he needs  $r$  in order to find  $x$ . However, that would mean that he could solve the discrete log for  $c$ , which is assumed a hard problem.

### 3 Simulating the Interactive Protocol

The formal argument for Zero Knowledge requires the use of a *Simulator*. Simulators are programs that take an input  $x$  and create a “dialog” between two parties  $P$  and  $V$ . Let  $S(x)$  be the dialog created by a Simulator  $S$  and  $(P, V)(x)$  be an interactive protocol. Then,  $(P, V)$  is zero-knowledge if there exists a simulator  $S$  such that  $S(x) \approx (P, V)(x)$ , that is, the distributions of the two outputs are the same. Intuitively, the Simulator has no knowledge of  $x$ , so producing the dialogs with the same probability distributions means that the Verifier learns only as much as the Simulator knows, which is nothing.

In class, we saw how to create a simulator for 3-coloring in the case of an honest Verifier. The proposed Simulator works as follows:

1.  $S$  chooses randomly a valid edge  $e = (i, j) \in E$
2.  $S$  chooses the two distinct colors  $c_i$  and  $c_j$ . All other vertices are colored with the same color
3.  $S$  “sends” the commitments of  $c_1, \dots, c_n$  to  $V$ .  $S$  cannot actually send anything, since  $V$  does not exist. He only simulates doing so.
4.  $S$  “receives”  $e = (i, j)$  from  $V$
5.  $S$  reveals  $c_i$  and  $c_j$

The output of the above program is valid and has the same distribution as the interactive protocol between  $P$  and  $V$ . However, we make the assumption that  $V$  is an honest Verifier, e.g. he picks the pre-selected edge. A stronger version of zero-knowledge requires the Simulator to run with *any* Verifier, including a malicious one.

In this case, we modify the Simulator as follows:

1.  $S$  chooses randomly a valid edge  $e = (i, j) \in E$
2.  $S$  chooses the two distinct colors  $c_i$  and  $c_j$ . All other vertices are colored with the same color
3.  $S$  “sends” the commitments of  $c_1, \dots, c_n$  to  $V^*$
4.  $S$  “receives” an edge  $e'$  from  $V^*$
5. If  $e' = e$ ,  $S$  reveals  $c_i$  and  $c_j$
6. If  $e' \neq e$ ,  $S$  does not reveal anything and repeats until some colors are revealed

On expectation, after  $|E|$  repetitions  $S$  has produced a valid transcript.

## 4 Applications of Zero Knowledge

Some applications include:

1. ID schemes
2. Electronic Voting
3. Cryptocurrencies
4. Nuclear Disarmament