

Admin:

- Pset #3 & groups posted.
- Get your final project team & topic selected!

Today:

- Message Authentication Codes (MAC's)
 - HMAC
 - CBC-MAC
 - PRF-MAC
 - One-time MAC (problem statement)
- AEAD (Authenticated Encryption with Associated Data)
 - EAX mode (ref paper; pages 1-10 only)
 - Encrypt-then-MAC
- Finite fields & number theory

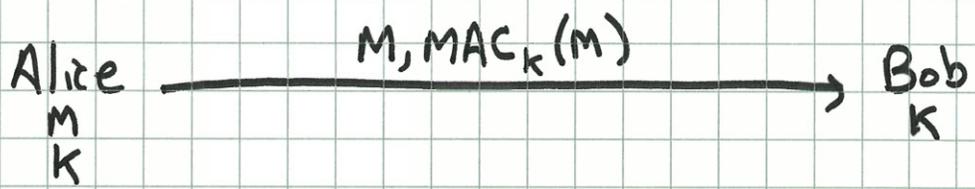
Readings:

Katz/Lindell Chapter 4

Paar/Pelzl Chapter 12

MAC (Message Authentication Code)

- Not confidentiality, but integrity (recall "CIA")
- Alice wants to send messages to Bob, such that Bob can verify that messages originated with Alice & arrive unmodified.
- Alice & Bob share a secret key K
- Orthogonal to confidentiality; typically do both (e.g. encrypt, then append MAC for integrity)
- Need additional methods (e.g. counters) to protect against replay attacks



[Here M is message to be authenticated, which could be ciphertext resulting from encryption.]

- Alice computes $MAC_K(M)$ & appends it to M .
- Bob recomputes $MAC_K(M)$ & verifies it agrees with what is received. If \neq , reject message.

IF MAC has t bits, then Adv has prob 2^{-t} of successful forgery.
 Good MAC is (keyed) PRF.

Adversary (Eve) wants to forge $M', MAC_K(M')$ pair that Bob accepts, without Eve knowing K .

- She may hear a number of valid $(M, MAC_K(M))$ pairs first, possibly even with M 's of her choice (chosen msg attacks).
- She wants to forge for M' for which she hasn't seen $(M', MAC_K(M'))$ valid pair.

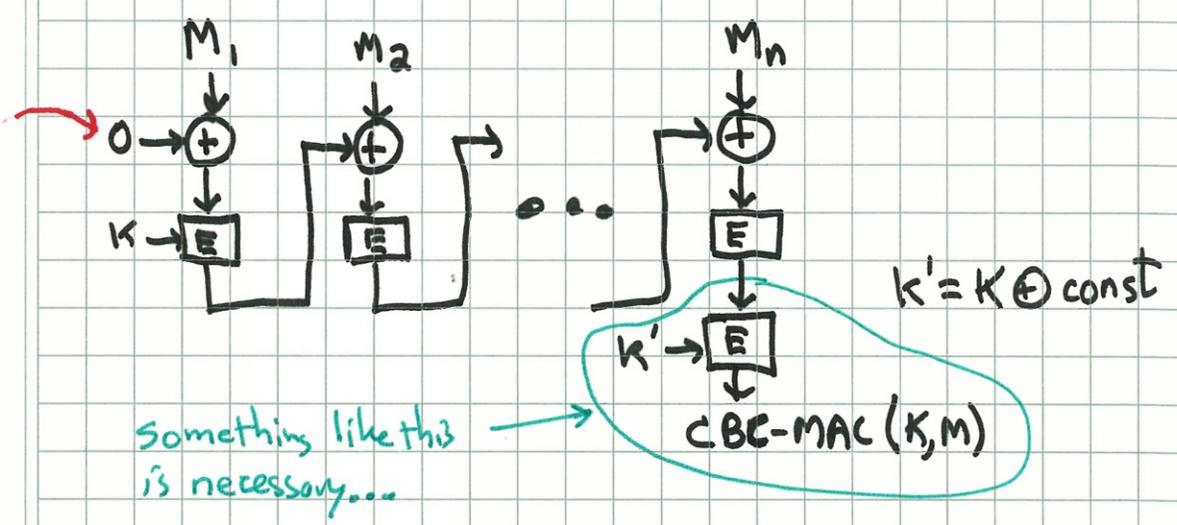
Two common methods:

HMAC $(K, M) = h(K_1 || h(K_2 || M))$

where $K_1 = K \oplus opad$ $\left\{ \begin{array}{l} opad, ipad \text{ are} \\ \text{fixed constants} \end{array} \right.$
 $K_2 = K \oplus ipad$

CBC-MAC $(K, M) \cong$ last block of CBC enc. of M

note $IV=0$



Something like this is necessary...

OK for $h=RO$
 can be bad for $h=$
 iterative hash fn

MAC using random oracle (PRF):

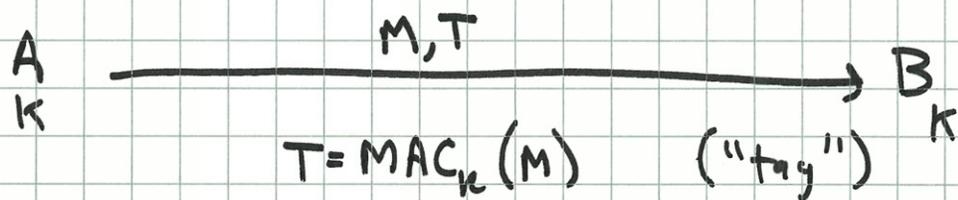
$$MAC_K(M) = h(K || M)$$

(OK if h is indistinguishable from RO, which means, as we saw, for sequential hash fns, that last block may need special treatment.)

One-Time MAC (problem stmt):

|| Can we achieve security against unbounded
 || Eve, as we did for confidentiality with OTP,
 || except here for integrity?

Here key K may be "use-once" [as it was for OTP].



- Eve can learn M, T then try to replace M, T with M', T' (where $M' \neq M$) that Bob accepts.
- Eve is computationally unbounded.

	<u>Confidentiality</u>	<u>Integrity</u>
Unconditional	OTP ✓	One-time MAC?
Conventional (symmetric key)	Block ciphers (AES) ✓	MAC (HMAC) ✓
Public-key (asymmetric)	PK enc.	Digital signature

Note: digital signature are unforgeable, but also have nonrepudiation, since only one copy of signing key exists.

EAX mode

[See pgs 1-10 of
The EAX Mode of Operation
 by Bellare, Rogaway, & Wagner

Figure 3

Encrypt-then-MAC

$$C = \text{Enc}(K_1, M)$$

$$T = \text{MAC}(K_2, H \parallel C)$$

← C, not M!
↑ header

xmit: H, C, T

Not encrypted, but
 authenticated

Two passes

Two keys

Finite fields:System $(S, +, \cdot)$ s.t.

- S is a finite set containing "0" & "1"
- $(S, +)$ is an abelian (commutative) group with identity 0

$$\text{group laws} \left[\begin{array}{ll} ((a+b)+c) = (a+(b+c)) & \text{associative} \\ a+0 = 0+a = a & \text{identity 0} \\ (\forall a)(\exists b) a+b=0 & \text{(additive) inverses } b=-a \\ a+b = b+a & \text{commutative} \end{array} \right.$$

- (S^*, \cdot) is an abelian group with identity 1

 $S^* =$ nonzero elements of S

$$\text{group laws} \left[\begin{array}{ll} (a \cdot b) \cdot c = a \cdot (b \cdot c) & \text{associative} \\ a \cdot 1 = 1 \cdot a = a & \text{identity 1} \\ (\forall a \in S^*)(\exists b \in S^*) a \cdot b = 1 & \text{(multiplicative} \\ & \text{inverses) } b = a^{-1} \\ a \cdot b = b \cdot a & \text{commutative} \end{array} \right.$$

- Distributive laws: $a \cdot (b+c) = a \cdot b + a \cdot c$
 $(b+c) \cdot a = b \cdot a + c \cdot a$ (follows)

Familiar fields: \mathbb{R} (reals) are infinite
 \mathbb{C} (complex)

For crypto, we're usually interested in finite fields,
 such as \mathbb{Z}_p (integers mod prime p)

Over field, usual algorithms work (mostly).

E.g. solving linear eqns:

$$ax + b = 0 \pmod{p}$$

$$\Rightarrow x = a^{-1} \cdot (-b) \pmod{p} \text{ is soln.}$$

$$3x + 5 = 6 \pmod{7}$$

$$3x = 1 \pmod{7}$$

$$x = 5 \pmod{7}$$

Notation: $GF(q)$ is the finite field
("Galois field") with q elements

Theorem: $GF(q)$ exists whenever
 $q = p^k$, p prime, $k \geq 1$

Two cases:

① $GF(p)$ - work modulo prime p

$$\mathbb{Z}_p = \text{integers mod } p = \{0, 1, \dots, p-1\}$$

$$\mathbb{Z}_p^* = \mathbb{Z}_p - \{0\} = \{1, 2, \dots, p-1\}$$

② $GF(p^k)$: $k > 1$

work with polynomials of degree $< k$
with coefficients from $GF(p)$
modulo fixed irreducible polynomial of degree k

Common case is $GF(2^k)$

Note: all operations can be performed efficiently
(inverses to be demonstrated)

Construction of $GF(2^2) = GF(4)$

Has 4 elements.

Is not arithmetic mod 4, (where 2 has no mult. inverse)

elements are polynomials of degree < 2 with coefficients mod 2 (i.e. in $GF(2)$):

0	x	1
1	0	0
x	0	1
x+1	1	0
	1	1

Addition is component-wise according to powers, as usual

$$(x) + (x+1) = (2x+1) = 1 \quad (\text{coefs. mod } 2)$$

Multiplication is modulo x^2+x+1 which is irreducible (doesn't factor)

	0	1	x	x+1
0	0	0	0	0
1	0	1	x	x+1
x	0	x	x+1	1
x+1	0	x+1	1	x

$$x^2 \text{ mod } (x^2+x+1) \text{ is } x+1 \quad (\text{note that } x \equiv -x \text{ coefs mod } 2)$$

"Repeated squaring" to compute a^b in field

(Here b is a non-negative integer)

$$a^b = \begin{cases} 1 & \text{if } b=0 \\ (a^{b/2})^2 & \text{if } b>0, b \text{ even} \\ a \cdot a^{b-1} & \text{if } b \text{ odd} \end{cases}$$

Requires $\leq 2 \cdot \lg(b)$ multiplications in field (efficient)

\approx a few milliseconds for $a^b \pmod{p}$ 1024-bit integers

$\approx \Theta(k^3)$ time for k -bit inputs

Computing (multiplicative) inverses:

Theorem: (for $GF(p)$ called "Fermat's Little Theorem")

$$\text{In } GF(q) \ (\forall a \in GF(q)^*) \ a^{q-1} = 1$$

Corollary: $(\forall a \in GF(q)) \ a^q = a$

Corollary: $(\forall a \in GF(q)^*) \ a^{-1} = a^{q-2}$

Example: $3^{-1} \pmod{7}$

$$= 3^5 \pmod{7}$$

$$= 5 \pmod{7}$$