

## 6.851 ADVANCED DATA STRUCTURES (SPRING'14)

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## Problem 2

This problem came from part 1 of the papers by Chazelle and Guibas on fractional cascading [1].

Let  $S$  denote the distinct  $a_i$  and  $b_i$  values of the intervals, i.e.  $S = \{a_i \mid i \in [n]\} \cup \{b_i \mid i \in [n]\}$ . Create a balanced binary search tree where the keys are  $S$ . For each node  $d$  in the binary search tree let  $d_k \in S$  denote its key. Assign each interval  $[a_i, b_i]$  to the least common ancestor of the nodes for  $a_i$  and  $b_i$  in the binary search tree. Note that interval  $[a_i, b_i]$  is assigned to node  $d$  if and only if  $a_i \leq d_k \leq b_i$ .

For every node  $d$  in the binary search tree create two sorted lists,  $d_A$  and  $d_B$ . Let  $d_A$  contain the intervals  $[a_i, b_i]$  assigned to  $d$  sorted by  $a_i$  and let  $d_B$  contain the intervals  $[a_i, b_i]$  assigned to  $d$  sorted by  $b_i$ . Note that if some  $x$  satisfies  $x \in [a_i, b_i]$  then  $[a_i, b_i]$  is assigned to one of the nodes on the path from the root to either the successor or the predecessor of  $x$  in the binary search tree. Furthermore if for some node  $d$  we have  $x \leq d_k$  then if interval  $[a_i, b_i]$  is in  $d_A$  and  $a_i \leq x$  then  $x \in [a_i, b_i]$  (since  $x \leq d_k \leq b_i$  for every  $[a_i, b_i] \in A$ ). Similarly if  $x \geq d_k$  then if  $[a_i, b_i]$  in  $d_B$  and  $b_i \geq x$  then  $x \in [a_i, b_i]$  (since  $x \geq d_k \geq a_i$  for every  $[a_i, b_i] \in d_B$ ).

From the reasoning in the preceding paragraph we see that to compute  $\text{stab}(x)$  it suffices to find the successor and predecessor of  $x$  in the binary search tree, follow the paths to the root for these two nodes, and for each node on the path find the position of  $x$  in  $d_A$  and  $d_B$ , and then walk these lists depending on whether  $x \leq d_k$  or  $x \geq d_k$ . Since the balanced binary search tree has at most  $O(n)$  elements we see that its height is at most  $O(\log n)$  and therefore we look up  $x$  in  $O(\log n)$  lists connected in a graph of degree at most 3 (since a binary search tree is a graph of degree 3). Therefore, using fractional cascading we can find these elements in  $O(\log n + \log n) = O(\log n)$  time (since each list has at most  $O(\log n)$  elements).

Putting this all together, we see that it takes  $O(n \log n)$  time to create the binary search tree and all the lists. Furthermore, since each interval is assigned to exactly one node, the total size of all the  $d_A$  and  $d_B$  lists is  $O(n)$ . Therefore, using fractional cascading to link all these lists takes  $O(n)$  time and  $O(n)$  space. Given a query,  $\text{stab}(x)$  we can then find the paths for the successor and predecessor of  $x$  in  $O(\log n)$  time since the binary search tree is balanced and using fractional cascading we can find  $x$  in each  $d_A$  and  $d_b$  lists in  $O(\log n)$  time. Once we find  $x$  in each of these  $O(\log n)$  lists we can output the results of  $\text{stab}(x)$  in an additional  $O(\log n + k)$  time by just walking each of the  $O(\log n)$  lists depending on whether  $x \leq d_k$  or  $x \geq d_k$ . Therefore, this data structure is as desired.

**Another Solution:** Some students noted that for an interval  $[a_i, b_i]$  it is the case that  $x \in [a_i, b_i]$  if and only if the two dimensional point  $p = (a_i, -b_i)$  satisfies  $p \in (-\infty, x) \times (-\infty, x)$  and therefore you could use the result we saw in Lecture 5. This is a valid answer as well and no points were deducted if you did this; though we may have preferred you figure out how to use fractional cascading directly ☺.

## References

- [1] B. Chazelle and L. J. Guibas. Fractional cascading: I. a data structuring technique. *Algorithmica*, 1:133–162, 1986.