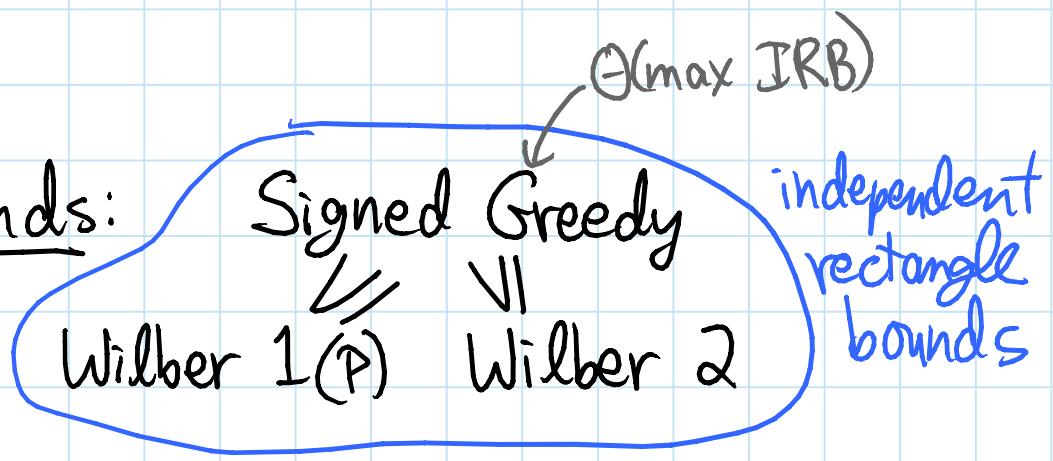


TODAY: Dynamic Optimality

- geometry  $\rightarrow$  BSTs
  - online
  - offline

Summary:

Lower bounds:



Upper bounds:

- Splay
  - Greedy
  - Tango
- } conjectured  $O(1)$ -competitive
- proved  $O(\lg \lg n)$ -competitive

## Geometry $\rightarrow$ offline BST:

- treap: there's a unique tree that's a BST w.r.t. key & a heap w.r.t. priority
- root = min priority item
- BST property splits remainder
- recurse on left & right subtrees
- here: key = key, priority = next touch time
- priorities aren't unique ( $>1$  touch/time)  
so neither is treap

→ like B-tree

- multitreap: there's a unique tree that's search tree w.r.t. key & strict heap w.r.t. priority

$$\xrightarrow{\text{strict increase}} x < y \quad \& \quad \boxed{x \geq y} \Rightarrow x = y$$

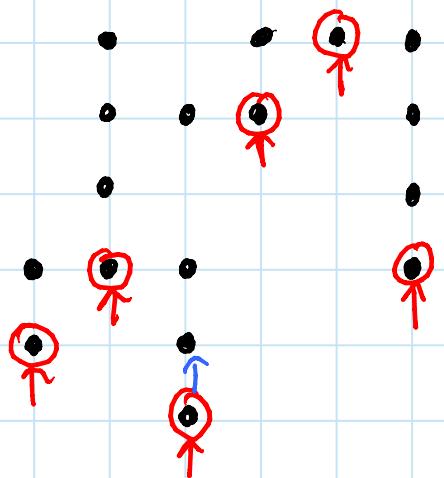
(strict increase) (only equal priorities  
in a single node)

- root consists of all min priority items
- search tree property splits remainder
- recurse
- effectively we maintain the unique multitreap
- really maintain a treap that "disambiguates" the multitreap

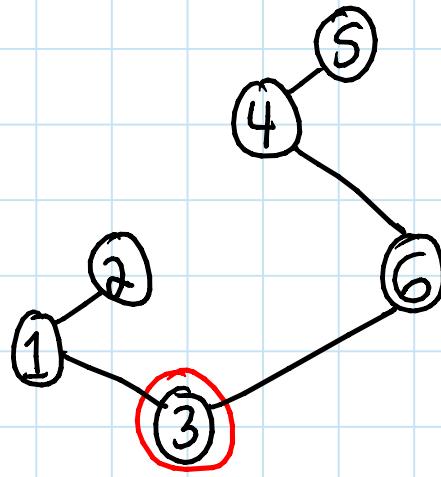
## Example:

next touch time

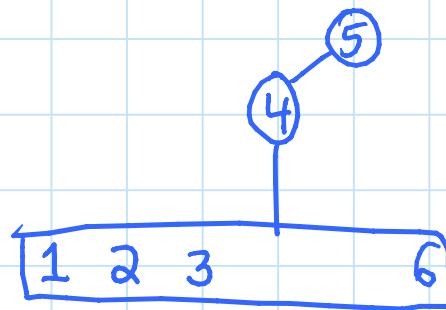
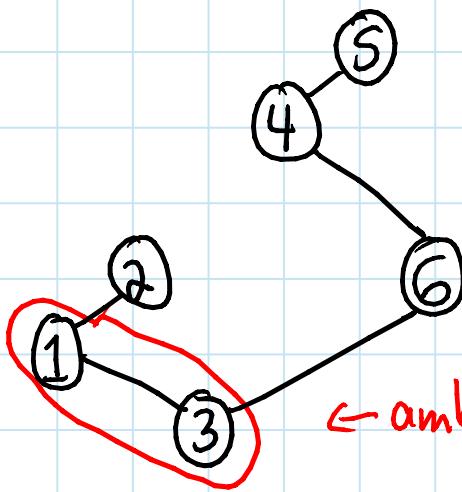
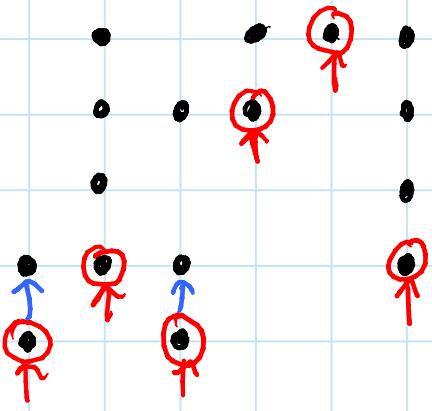
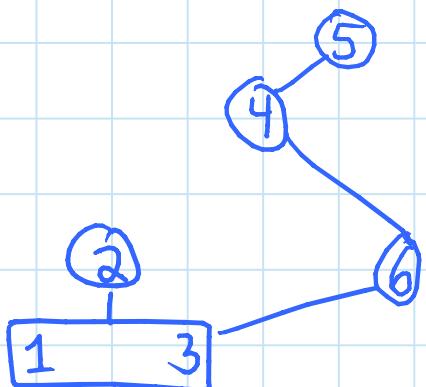
1 2 3 4 5 6



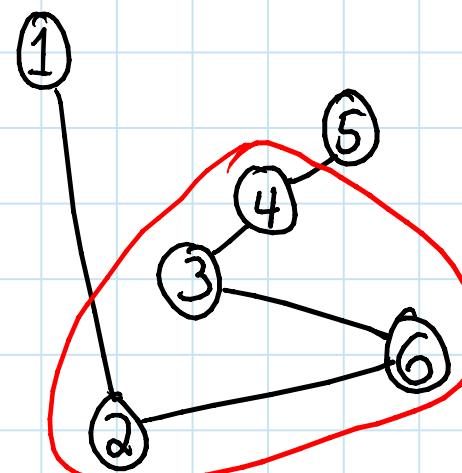
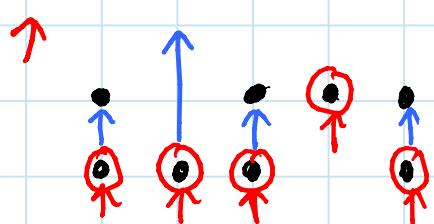
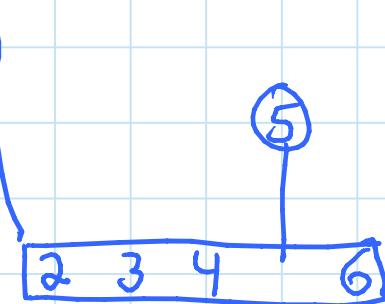
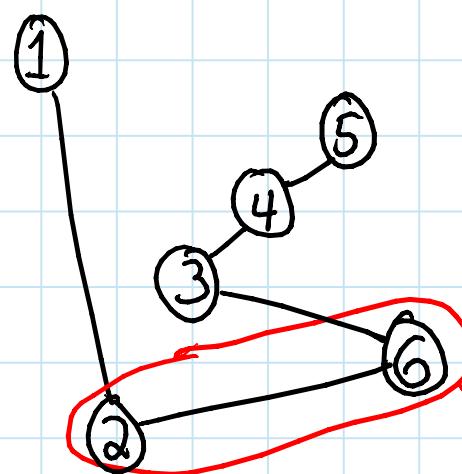
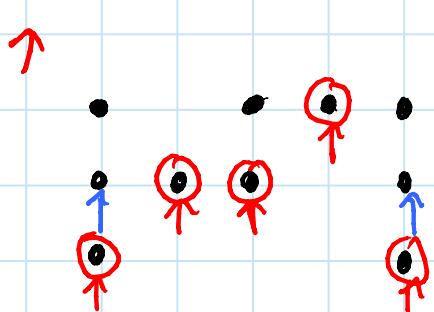
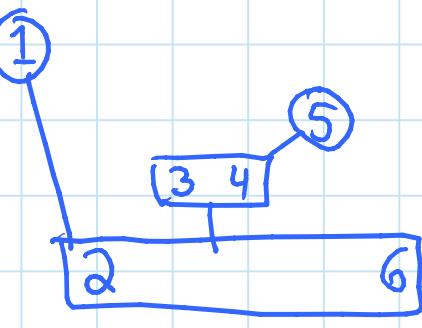
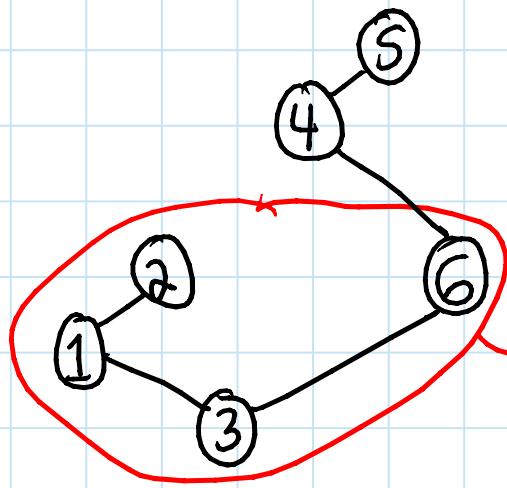
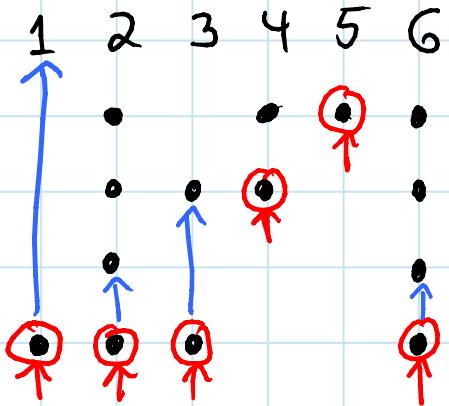
touch



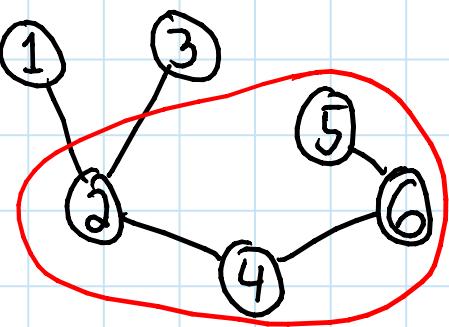
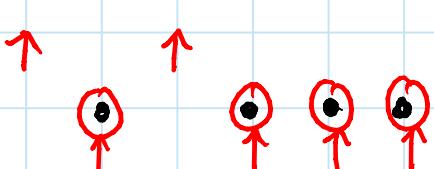
next multi treap



← ambiguous - OK as is



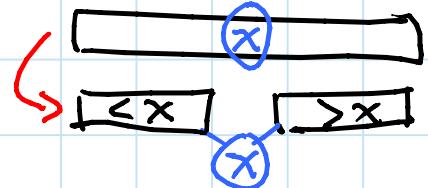
(can't move 5  
but it goes to  
root too)



done

## Geometry $\rightarrow$ online BST:

- maintain multitreap like right column but with more ambiguity
- initially 1 big ambiguous node
- when touching a node  $x$ :  
Split ambiguous node into  $<_x, x, >_x$
- to re-organize subtree of touched nodes:  
merge into one ambiguous root node  
*(note all just touched  $\Rightarrow$  not ambiguous)*
- Same cost if we have:



## Split trees: (ambiguous nodes)

- make-tree( $x_1, x_2, \dots, x_k$ ) - costs  $O(k)$
- $T.\text{split}(x) \rightarrow (T_{<x}, x, T_{>x})$  - costs  $O(1)$   
amortized

## Details:

- $O(\min\{\lg |T_{<x}|, \lg |T_{>x}|\})$  split suffices via potential  $\Phi = \sum_{\text{split tree } T} (|T| - \lg |T|)$
- B-trees with min & max augmentation
- simulate by BST (interleaving min/max)
- combine multiple split trees into BST  
Similar to Tango trees (marked nodes)

Example: initial multitreap:

1 2 3 4 5 6

• • • •  
• • • •  
• • • •  
• • • •  
• • • •  
• • • •  
• • • •

• touched

1 2 3 4 5 6

↑ split



1 2

4 5 6

3

2  
1 4 5 6  
3



2 4 5 6  
1 3



4 5  
2 6  
3  
1

1 2 3 6  
4 5

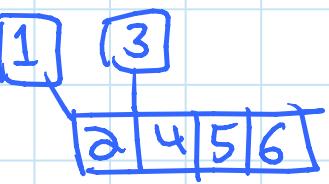
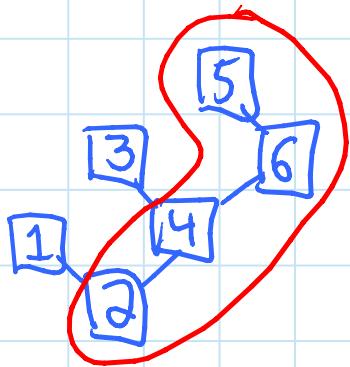
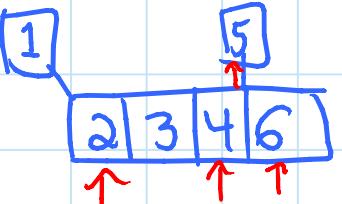
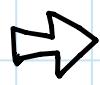
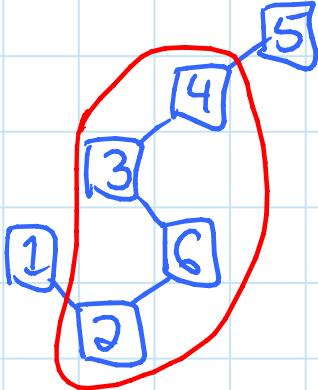
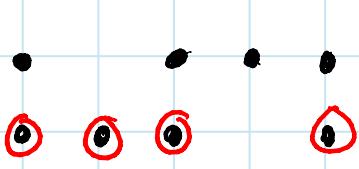


3 4 5  
1 6  
2

1 3  
2 6  
4 5



1 2 3 4 5 6



Problem 1: Show that assuming accesses  $X$  are all to distinct keys ( $x$  coords.) affects  $|OPT(X)|$  by at most  $O(|X|)$ .

i.e. transform  $X \rightarrow X'$  by spreading out identical keys (but otherwise preserving order) such that  $|OPT(X')| \leq |OPT(X)| + O(|X|)$

(3 suffices)  $\uparrow$

Problem 2: Prove a logarithmic separation between the BST & pointer-machine models (for successful searches)

i.e. construct an infinite family of access sequences  $x_1, x_2, \dots, x_m \in \{1, 2, \dots, n\}$  such that  $OPT_{BST}(X) = \Theta(m \lg n)$   
 $& OPT_{PM}(X) = \Theta(m)$

Discuss relationship between open problems, projects, papers, and authorship