

## A Special Case of Observational Equivalence

**Theorem 0.1.** *There is a Scheme expression,  $Obseq$ , such that*

$$[M \equiv N] \longleftrightarrow [(Obseq \ 'M \ 'N) \equiv (\lambda (v) \ #t)].$$

*Proof.* Let's say that an S-expression consisting of a context,  $C$ , and a natural number,  $l$ , does not distinguish between Scheme expressions  $M$  and  $N$ , iff

1. neither  $C[M]$  nor  $C[N]$  converges within  $l$  steps, or else
2. both  $C[M]$  and  $C[N]$  converge to nonprintable values, or else
3.  $\text{print}(C[M]) = \text{print}(C[N])$ .

Notice that  $M$  and  $N$  are observationally distinguishable iff there is some list,  $(C \ l)$ , that distinguishes them.

We will define a Scheme procedure  $Obseq$  such that  $(Obseq \ 'M \ 'N)$  diverges when applied to S-expressions of the form  $(C \ l)$  that distinguish  $M$  and  $N$ , and it returns  $\#t$  when applied to all other values. So

$$\begin{aligned} M \equiv N &\longleftrightarrow \text{no } (C \ l) \text{ distinguishes } M \text{ and } N \\ &\longleftrightarrow \text{print}((Obseq \ 'M \ 'N) \ V) = \#t \text{ for all values, } V, \\ &\longleftrightarrow (Obseq \ 'M \ 'N) \equiv (\lambda (v) \ #t). \end{aligned}$$

To define  $Obseq$ , let  $Not-ok?$  be a Scheme procedure that returns  $\#t$  when applied to a value that does not print in the form  $(C \ l)$ , and returns  $\#f$  on all other values. The definition of  $Not-ok?$  is routine given the procedure,  $Prnbl?$ , from Handout 17 that detects S-expressions.

Also, let  $Insert$  be a procedure that inserts an expression in the hole in a context, viz.,

$$\text{print}((Insert \ 'E \ 'M)) = E[M].$$

Then *Obseq* ::=

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(lambda (m n)
  (lambda (v)
    ;diverge if v describes a distinguishing context for M and N, and
    ;otherwise return #t.
    (or
      (Not-ok? v) ;return #t if v doesn't describe anything
      (let* ((C (car v)) ;C is a context
             (l (cadr v)) ;l is a natural number
             (CM (Insert C m)) ;CM is C[M]
             (CN (Insert C n))) ;CN is C[N]
            (or
              (and ;return #t if neither context has halted in l steps
                (not (Step CM l)) (not (Step CN l)))
                ;if one of them has halted in l steps,
              (let ((V1 (Meval CM)) (V2 (Meval CN))) ;diverge if the other one doesn't
                (or ;return #t if:
                  (not (or (Prnbl? V1) (Prnbl? V2))) ;neither has a printable value, or
                  (equal? V1 V2) ;they have the same printable value
                  Omega_0)))))) ;the context distinguishes M and N
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□

Theorem 0.1 would not be true if  $(\text{lambda } (v) \text{ #t})$  was replaced for example, by either of  $\Omega_0$  or  $(\text{lambda } (v) \Omega_0)$ . This follows from the fact that the valid equations,  $\mathcal{E}$ , are “more undecidable<sup>1</sup>,” than the valid equations,  $\mathcal{E}_0$ , of the form  $(M = \Omega_0)$ , though we shall not elaborate on these remarks here.

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<sup>1</sup>Technically,  $\mathcal{E}$  is  $\Pi_2^0$ -complete, while  $\mathcal{E}_0$  is  $\Pi_1^0$ -complete. This implies that  $\mathcal{E}$  is not half-decidable relative to  $\mathcal{E}_0$ . So even allowing proof systems that take all the equations in  $\mathcal{E}_0$  as axioms, the Incompleteness Theorem for Scheme Equivalence still holds.