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## Quiz 1

Your name: \_\_\_\_\_

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Problem	Points	Grade	Grader
1	20		
2	20		
3	30		
4	30		
Total	100		

**Problem 1 (20 points).** We have explained that, given any proof-checking program for a sound proof system for arithmetic inequalities over the integers, we can construct a valid inequality which has no proof in the system. But Ben Bitdiddle (remember him from 6.001? :- ) asks, "If the inequality has no proof, how can we possibly know it is valid?"

Provide a brief explanation to clear up Ben's confusion.

**Problem 2 (20 points).** We consider proofs using the standard equational proof rules (Table 1 in the Appendix) for terms over a signature with two symbols,  $f$  and  $g$ , both of arity 2, a single constant,  $c$ . The sole axiom is  $f(x, y) = g(x, y)$ .

Let  $F_0$  be the term  $f(c, c)$ , and define  $F_{n+1} ::= f(F_n, F_n)$ ; likewise for  $G_n$ . (That is,  $G_n$  is the same as  $F_n$  with all  $f$ 's replaced by  $g$ 's.)

**(a) (5 points)** Explain how to construct a *sequence-of-equations proof* of length  $O(n)$  for the equation  $F_n = G_n$ .

**(b) (15 points)** Let  $l(n)$  be the length of the *shortest substitution proof* of  $F_n = G_n$ . Prove that  $2^n = O(l(n))$ .

**Problem 3 (30 points).** As in Assignment 4, we consider terms with constants  $R, F, D$  and a binary operation symbol,  $\circ$ , with the model,  $\mathcal{A}$ , being the automorphisms of the square. That is, the domain of  $\mathcal{A}$  is the eight automorphisms of the square, and the constants  $R, F, D$  mean  $90^\circ$  clockwise rotation, reflection about a vertical axis, and reflection about an upper-left/lower-right diagonal, respectively, and the operation symbol,  $\circ$ , means function composition.

Define another model,  $\mathcal{A}'$ , whose elements will be pairs  $(A, s)$ , where  $A$  is an automorphism of the square and  $s$  is a binary string. The  $\circ$  symbol in  $\mathcal{A}'$  means the operation on pairs that composes the first coordinates and concatenates the second coordinates. For example,

$$(\text{vertical reflection}, 010) \circ_{\mathcal{A}'} (\text{diagonal reflection}, 11) ::= (90^\circ \text{ rotation}, 01011).$$

The meanings of the constants  $R, F, D$  in  $\mathcal{A}'$  will be the pairs  $(90^\circ \text{ rotation}, \lambda)$ ,  $(\text{vertical reflection}, \lambda)$ , and  $(\text{diagonal reflection}, \lambda)$ , respectively, where  $\lambda$  is the empty string.

Let  $\mathcal{E}$  be the equational axioms

$$\begin{array}{ll} x \circ (y \circ z) = (x \circ y) \circ z, & \text{(associativity)} \\ F^2 \circ x = x & \text{(left identity)} \\ x \circ F^2 = x & \text{(right identity)} \end{array}$$

and *all* equations between variable-free terms that are true in  $\mathcal{A}$ , for example,

$$\begin{array}{l} R^4 = F^2, \\ R^4 = D^2, \\ FR = D, \\ R^3F = D, \\ \vdots \end{array}$$

**(a) (5 points)** Explain why  $\mathcal{A}' \not\models x^5 = x$ .

**(b) (15 points)** Explain why  $\mathcal{A}' \models \mathcal{E}$ .

**(c) (10 points)** Conclude that  $\mathcal{E} \not\models x^5 = x$ .

**Problem 4 (30 points).** Consider  $\lambda$ 's extended to include applications

$$((\lambda(x)e)f)$$

A *free occurrence* of a variable  $x$ , in an  $\lambda$ ,  $a$ , is an occurrence of  $x$  that is not in a subexpression of the form  $(\lambda(x) \dots)$ . For example, we highlight in boldface all the free occurrences of variables in the  $\lambda$

$$([\lambda(y) \quad ( (\lambda(x)(x + y)) \quad ((y \cdot \mathbf{w}) \cdot \mathbf{x}) )] \quad ((\mathbf{y} - ((\lambda(z)z) \mathbf{x})) \cdot ((\lambda(x)(x - \mathbf{y})) 7)))$$

(We used square brackets ],[ instead of parentheses to make it easier to see the scope of  $\lambda(y)$ .)

The *free variables*,  $FV(e)$ , of an  $\lambda$ ,  $e$ , are those variables which have one or more free occurrences in  $e$ . For example, letting  $e_0$  be the  $\lambda$  above, we have  $FV(e_0) = \{x, y, w\}$ .

**(a) (10 points)** Define  $FV(e)$  recursively on the structure of  $e$ .

(b) (20 points) Prove that if  $V_1, V_2$  are valuations such that

$$V_1(x) = V_2(x) \quad \text{for all } x \in \text{FV}(e),$$

then

$$\llbracket e \rrbracket V_1 = \llbracket e \rrbracket V_2. \quad (1)$$