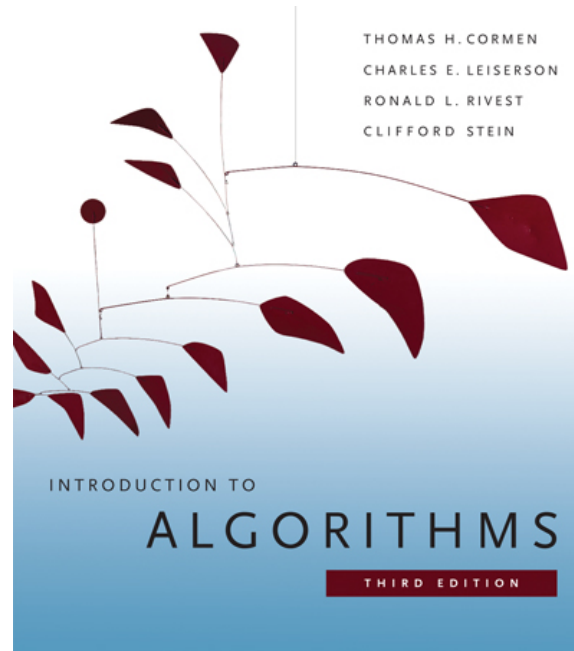


# 6.006- *Introduction to Algorithms*



## *Lecture 2*

**Prof. Silvio Micali**

# Menu

**Problem:** peak finding

1 dimension

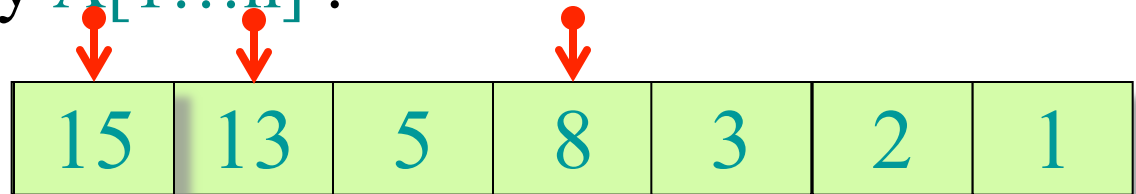
2 dimensions



**Technique:** *Divide and conquer*

# Peak Finding: 1D

Consider an array  $A[1..n]$  :



Element  $A[i]$  is a *peak* if **not smaller** than its neighbor(s).

if  $i \neq 1, n$  :  $A[i] \geq A[i-1]$  and  $A[i] \geq A[i+1]$

If  $i=1$  :  $A[1] \geq A[2]$

If  $i=n$  :  $A[n] \geq A[n-1]$

**Problem:** find *any* peak.

# Peak-Finding Ideas ?

## Algorithm I:

Scan the array from left to right

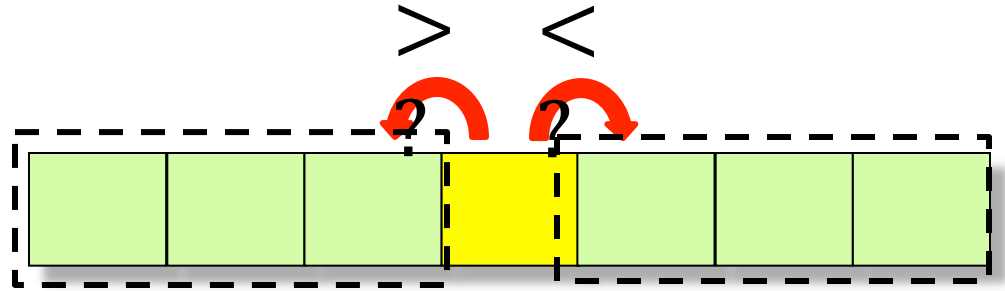
Compare each  $A[i]$  with its neighbors

Exit when found a peak

## Complexity:

Might need to scan all elements, so  $T(n)=\Theta(n)$

# Next Idea



Algorithm II:

Compare middle element with neighbors

If  $A[n/2-1] > A[n/2]$

then search for a peak among  $A[1] \dots A[n/2-1]$

Else, if  $A[n/2] < A[n/2+1]$

then search for a peak among  $A[n/2] \dots A[n]$

Else  $A[n/2]$  is a peak!

Running time ?

# Algorithm II: Complexity

# Algorithm II: Complexity

Time needed to find  
peak in array of length  $n$

Time for comparing  $A$   
[ $n/2$ ] with neighbors

- We have

Recursion

$$T(n) = T(n/2) + \Theta(1)$$

- Unraveling the recursion,

$$T(n) = \underbrace{\Theta(1) + \Theta(1) + \dots + \Theta(1)}_{\log_2 n} = \Theta(\log n)$$

- $\log n$  is much much better than  $n$  !

# Divide and Conquer

- Very powerful design tool:
  - *Divide* input into multiple **disjoint** parts
  - *Conquer* each of the parts **separately**  
(using recursive call)
- *Occasionally*, we need to **combine** results from different calls (not used here)

# Peak Finding: 2D

Consider a 2D array  $A[1\dots n, 1\dots m]$  :

10	8	5
3	2	1
7	13	4
6	8	3

$A[i]$  is a *2D peak* if not smaller than its (at most 4) neighbors.

**Problem:** find any 2D peak.

# 2D-Peak-Finding Ideas?



## Algorithm 0:

For each row, until you find a peak:

1. find a row-peak
2. compare it with North- and South-neighbors
3. If  $\geq$ , then done



# Algorithm I: recycle better 1D algorithm

For each column  $j$ , find its *global* maximum  $B[j]$

Apply 1D peak finder to find a peak (say  $B[j]$ ) of  $B[1..m]$

Correctness: ...

Complexity:  $\Theta(n \cdot m)$

Recycling is an art...

Return

it!

12	8	5
11	3	6
10	9	2
8	4	1

“Map it  
back”

12	9	6
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# Algorithm I': use the 1D algorithm

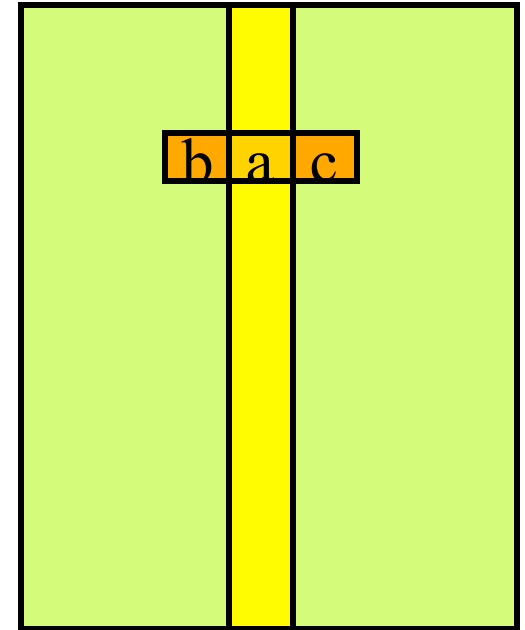
- **Recall:** 1D peak finder uses only  $O(\log m)$  entries of B
- Modify Algorithm I so that it only computes  $B[j]$  *when needed* !
- Total time ?  
...only  $O(n \log m)$  !
  - Need  $O(\log m)$  entries  $B[j]$
  - Each computed in  $O(n)$  time

12	8	5
11	3	6
10	9	2
8	4	1

12	9	6
----	---	---

# Algorithm II

- Pick middle column (  $j=m/2$  )
- Find *global* maximum  $a=A[i,m/2]$  in that column (and quit if  $m=1$ )
- Compare  $a$  to  $b=A[i,m/2-1]$  and  $c=A[i,m/2+1]$
- If  $b>a$   
then recurse on left columns
- Else, if  $c>a$   
then recurse on right columns
- Else  $a$  is a 2D peak!



# Algorithm II: Example

- Pick middle column (  $j=m/2$  )
- Find *global* maximum  $a=A[i,m/2]$  in that column (and quit if  $m=1$ )
- Compare  $a$  to  $b=A[i,m/2-1]$  and  $c=A[i,m/2+1]$
- If  $b>a$   
then recurse on left columns
- Else, if  $c>a$   
then recurse on right columns
- Else  $a$  is a 2D peak!

12	8	5
11	3	6
10 <sub>b</sub>	9 <sub>a</sub>	2 <sub>c</sub>
8	4	1

# Algorithm II: Correctness

**Claim:** If  $b > a$ , then there is a peak among the left columns

**Proof** (by contradiction):

Assume no peak on the left

Then  $b$  must have a neighbor  $b1$  with higher value

And  $b1$  must have a neighbor  $b2$  with higher value

...

We have to stay on the left side – why?  
(because we cannot enter the middle column)

But at some point, we would run out the elements of the left columns

Hence, we have to find a peak at some point.

**Question:** Does the above claim suffice for the proof of correctness of the algorithm?

12 <sub>b2</sub>	8	5
11 <sub>b1</sub>	3	6
10 <sub>b</sub>	9 <sub>a</sub>	2
8	4	1

# Algorithm II: Complexity

- We have

$$T(n,m) = T(n,m/2) + \Theta(n)$$

Recursion



Scanning middle column



- Hence:

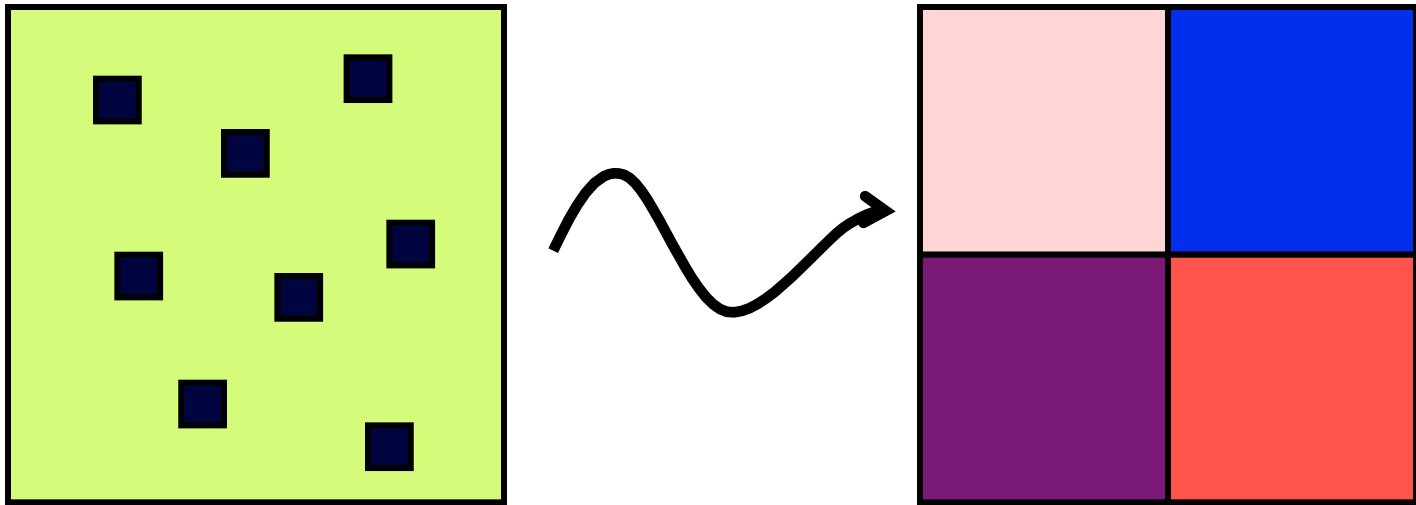
$$T(n,n) = \underbrace{\Theta(n) + \Theta(n) + \dots + \Theta(n)}_{\log_2 m} = \Theta(n \log m)$$

# Faster than $O(n \log n)$ ?

- Idea:

Reading only  $O(n + m)$  elements, reduce an array of  $n \times m$  candidates to an array of  $n/2 \times m/2$  candidates

- Pictorially:



read only  $O(n + m)$  elements

# Faster than $O(n \log n)$ ?

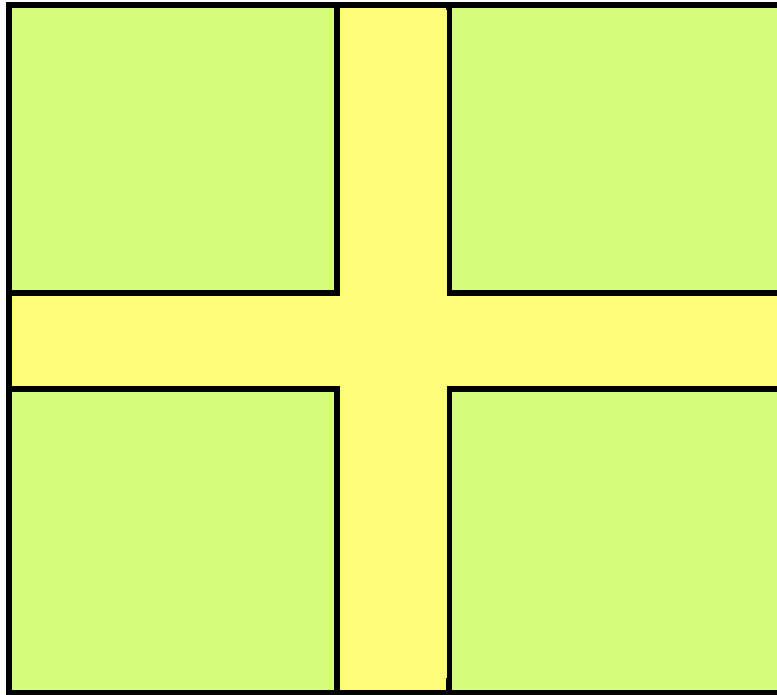
- Hypothetical algorithm has recursion:

$$T(n, m) = T\left(\frac{n}{2}, \frac{m}{2}\right) + \Theta(n + m)$$

- Hence: 
$$\begin{aligned} T(n, m) &= \Theta(n + m) + \Theta\left(\frac{n + m}{2}\right) \\ &\quad + \Theta\left(\frac{n + m}{4}\right) \\ &\quad + \dots + \Theta(1) \\ &= \Theta(n + m) \quad ! \end{aligned}$$

# Towards a linear-time algorithm

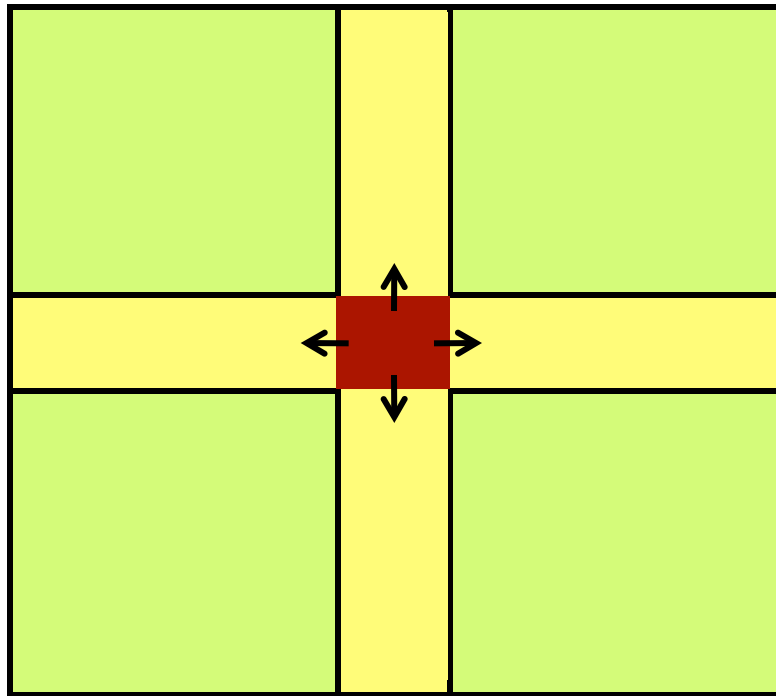
What elements are useful to check?



- suppose we find global  
max on the cross

# Towards a linear-time algorithm

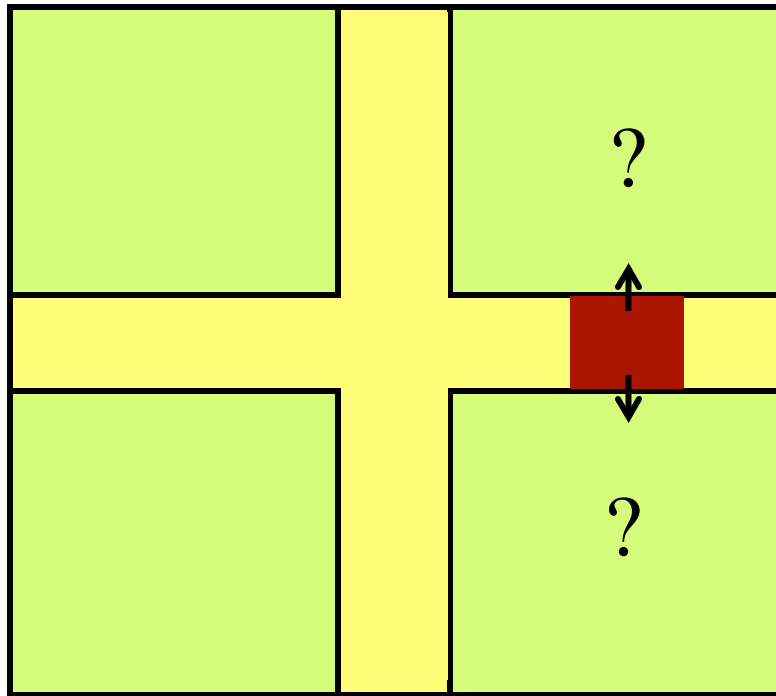
What elements are useful to check?



- suppose we find global max on the cross
- if middle element done!

# Towards a linear-time algorithm

What elements are useful to check?



- find global max on the cross
- if middle element done!
- o.w. two candidate sub-squares
- determine which one to pick by looking at its neighbors not on the cross (as in Algorithm II)

**Claim:** The sub-square chosen by the above procedure (if any), always contains a peak of the large square.

**OK, what else is needed for an  $O(n+m)$  algorithm?**

**Hmmm...**

# First Problem Set Out Today !

- Refer to class website for further information!
- Good Luck!
- I.e., GOOD WORK!