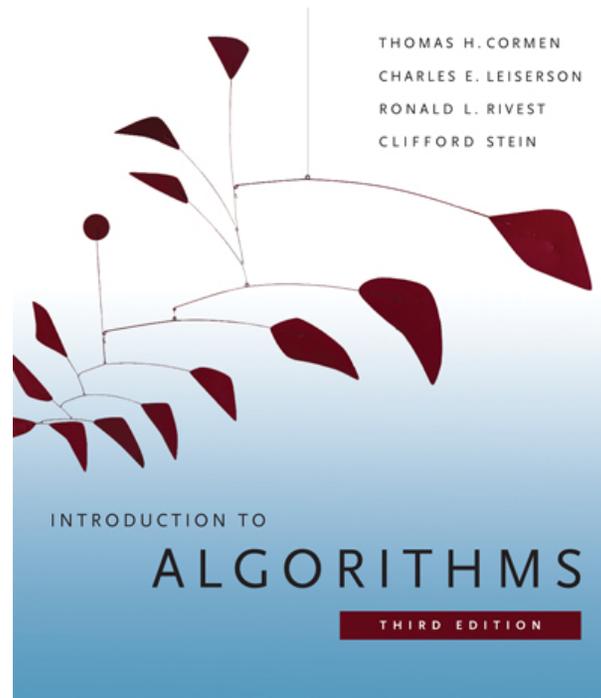


# 6.006-Introduction to Algorithms



## Lecture 1

### Prof. Costis Daskalakis

# Today's Menu

- Motivation
- Course Overview
- Administrivia
- Linked Lists and Document Distance
- Intro to “Peak Finding”

# “Al-go-rithms”: what?

- Nothing to do with Log-arithms ☺
- **Def:** A well-specified method for solving a problem using a finite sequence of instructions.
- Description might be English, Pseudocode, or real code
- Key: no ambiguity

# Al-Khwārizmī (780-850)



# Efficient Algorithms: Why?

- Solving problems consumes resources that are often limited/valuable:
  - Time: Plan a flight path
  - Space: Process stream of astronomical data
  - Energy: Save money
- Bigger problems consume more resources
- Need algorithms that “scale” to large inputs, e.g. searching the web...
- Market value: 6.006 is useful in all kinds of job interviews ;-)

# Efficient Algorithms: How?

- Define problem:
  - Unambiguous description of desired result
- Abstract irrelevant detail
  - “Assume the cow is a sphere”
- Pull techniques from the “algorithmic toolbox”
  - [CLRS] class textbook
- Implement and evaluate performance
  - Revise problem/abstraction
- Generalize
  - Algorithm to apply to broad class of problems

# Class Content

- 8 modules with motivating problem/pset
- **Linked Data Structures:** Document Distance/  
Flight Planning
- **Divide & Conquer:** Peak Finding
- **Hashing:** Efficient File Update/Synchronization
- **Sorting**
- **Graph Search:** Rubik's Cube
- **Shortest Paths:** Google Maps
- **Dynamic Programming:** print justification
- **Wildcard:** numerical/NP-hardness/crypto

# Administrivia

- Course information: class website
- Profs: Costis Daskalakis, Silvio Micali
- TAs: Deckelbaum, Ionescu, Kishore, Oliveira, Wu
- Sign-up to the homework submission website:  
<https://alg.csail.mit.edu> (same as <https://sec.csail.mit.edu/>)
- Piazza: online discussion
- Prereqs: 6.01, 6.042 (if you don't have them, talk to us)
- Python
- Grading: Problem sets (30%)  
Quiz1 (March 14 (?): 7.30-9.30pm; 20%)  
Quiz2( April 18 (?): 7.30-9.30pm; 20%)  
Exam (30%)
- Read collaboration policy!

# Document Distance

- Given 2 documents, how similar are they?
  - if one “document ” is a query, this is web search
  - if the two documents are homework submissions, can detect plagiarism
  - ...
- Goal: algorithm to compute similarity

# Problem Definition

- Need unambiguous definition of similarity
- Word: sequence of alpha characters
  - Ignore punctuation, formatting
- Document: sequence of words
- Word frequencies:
  - $D(w)$  is number of occurrences of  $w$  in  $D$
- Similarity based on amount of word overlap

# Vector Space Model

- [Salton, Wong, Yang 1975]
- Treat each doc as a vector of its words
  - one coordinate per word of the English dictionary

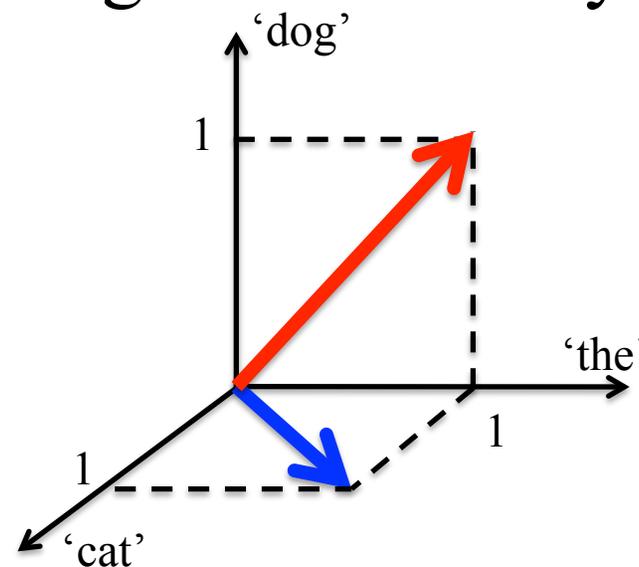
e.g. **doc1** = “the cat”  
**doc2** = “the dog”

- similarity by dot-product

$$D_1 \circ D_2 \equiv \sum_w D_1(w) \cdot D_2(w)$$

- trouble: not scale invariant

documents “the the cat cat” and “the the dog dog”  
will appear closer than doc1 and doc2



$$d1 \circ d2 = 1$$

# Vector Space Model

- Solution: Normalization
  - divide by the length of the vectors

$$\frac{D_1 \circ D_2}{\|D_1\| \cdot \|D_2\|}$$

- measure distance by angle:

$$\theta(D_1, D_2) = \arccos \left( \frac{D_1 \circ D_2}{\|D_1\| \cdot \|D_2\|} \right)$$

e.g.  $\theta=0$  documents “identical”  
(if of the same size, permutations of each other)

$\theta=\pi/2$  not even share a word

# Algorithm

- Read file
- Make word list (divide file into words)
- Count frequencies of words
- Suppose each document has been processed into a list of distinct words with their frequencies
- Compute dot product
  - for every word in the first document, check if it appears in the other document; if yes, multiply their frequencies and add to the dot product
    - worst case time: order of  $\#words(D_1) \times \#words(D_2)$
  - micro-optimization:
    - sort documents into word order (alphabetically)
    - after having sorted, can compute inner product in time  $\#words(D_1) + \#words(D_2)$

# Python Implementation

- Docdist1.py (on course website)
- Read file: `read_file(filename)`
  - Output: list of lines (strings)
- Make word list: `get_words_from_line_list(L)`
  - Output: list of words (array)
- Count frequencies: `count_frequency(word list)`
  - Output: list of word-frequency pairs
- Sort into word order: `insertion_sort()`
  - Output: sorted list of pairs
- Dot product: `inner_product(D1, D2)`
  - Output: number

# Inputs:

- Jules Verne: 25K
- Bobbsey Twins: 268K
- Francis Bacon: 324K
- Lewis and Clark: 1M
- Shakespeare: 5.5M
- Churchill: 10M

# Profiling (docdist2.py)

- Tells how much time spent in each routine
  - import profile
  - profile.run(“main()”)
- One line per routine reports
  1. #calls
  2. #total time excluding subroutine calls
  3. Time per call (#2/#1)
  4. Cumulative time, including subroutines
  5. Cumulative per call (#4/#1)

```

auk: ~/Class/6006/lectures/I01/
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auk:~/Class/6006/lectures/I01/
t1.verne.txt          t4.arabian.txt       t7.tenmillion.txt
t2.bobsey.txt        t5.churchill.txt     t8.shakespeare.txt
t3.lewis.txt         t6.onemillion.txt    t9.bacon.txt
auk:I01> python source/docdist2.py data/t2.bobsey.txt data/t3.lewis.txt
File data/t2.bobsey.txt : 6667 lines, 49785 words, 3354 distinct words
File data/t3.lewis.txt : 15996 lines, 182355 words, 8530 distinct words
The distance between the documents is: 0.574160 (radians)
3861660 function calls in 94.738 CPU seconds

Ordered by: standard name

ncalls  tottime  percall  cumtime  percall  filename:lineno(function)
1      0.000    0.000    0.000    0.000    :0(acos)
1241849  4.320    0.000    4.320    0.000    :0(append)
1300248  4.432    0.000    4.432    0.000    :0(isalnum)
232140   0.772    0.000    0.772    0.000    :0(join)
368314   1.300    0.000    1.300    0.000    :0(len)
232140   0.760    0.000    0.760    0.000    :0(lower)
2      0.000    0.000    0.000    0.000    :0(open)
2      0.000    0.000    0.000    0.000    :0(range)
2      0.008    0.004    0.008    0.004    :0(readlines)
1      0.000    0.000    0.000    0.000    :0(setprofile)
1      0.000    0.000    0.000    0.000    :0(sqrt)
1      0.004    0.004    94.738   94.738  <string>:1(<module>)
2      34.366   17.183   34.394   17.197  docdist2.py:105(count_frequency)
2      9.781    4.890    9.781    4.890   docdist2.py:122(insertion_sort)
2      0.000    0.000    94.438   47.219  docdist2.py:144(word_frequencies_for_file
)
3      0.156    0.052    0.292    0.097   docdist2.py:162(inner_product)
1      0.000    0.000    0.292    0.292   docdist2.py:188(vector_angle)
1      0.004    0.004    94.734   94.734   docdist2.py:198(main)
2      0.000    0.000    0.008    0.004   docdist2.py:49(read_file)
2      23.605   11.803   50.255   25.128  docdist2.py:65(get_words_from_line_list)
2260    12.409   0.001    26.650   0.001   docdist2.py:77(get_words_from_string)
1      0.000    0.000    94.738   94.738   profile:0(main())
0      0.000    0.000    0.000    0.000   profile:0(profiler)
232140   1.424    0.000    2.184    0.000   string.py:218(lower)
232140   1.396    0.000    2.168    0.000   string.py:306(join)

auk:I01> █

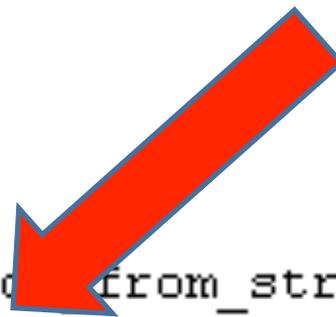
```

76 docdist1.py - C:\Documents and Settings\David\My Doc...



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```
#####  
# Operation 2: split the text lines into words ##  
#####  
def get_words_from_line_list(L):  
    """  
    Parse the given list L of text lines into words.  
    Return list of all words found.  
    """  
  
    word_list = []  
    for line in L:  
        words_in_line = get_words_from_string(line)  
        word_list = word_list + words_in_line  
    return word_list
```



Ln: 130 Col: 18

# What's with +?

- $L=L1+L2$  is concatenation of arrays
- Take  $L1$  and  $L2$
- Copy to a bigger array
- Time proportional to sum of lengths
- Suppose  $n$  single-word lines
- Time  $1+2+\dots+n = n(n+1)/2 = \Theta(n^2)$

# Solution

- `word_list.extend(words_in_line)` : appends list named “words\_in\_line” to list named “word\_list”
- Takes time proportional to length of list “words\_in\_line”
- Total time in example of n single-word lines:  $\Theta(n)$
- resulting improvement:
  - `get_words_from_line_list` 23s  $\rightarrow$  0.12s

# Further Improvements

- Docdist4.py: count frequencies of words using dictionary: total to 42s
- 5.py: Process words instead of chars: to 17s
- 6.py: merge sort instead of insertion sort: 6s
- 7.py: remove sorting altogether and use dictionary (again) for inner product: 0.5s
- Overall improvement from 94 s to 0.5 s.
- This is the equivalent of 12 years of progress in hardware (if Moore's law still held, which it doesn't)

# Next time: Peak Finding



- $n \times n$  table of numbers (heights of points)
- Find a point that is bigger than its neighbors
- i.e. a local maximum
- can do this by querying  $O(n^2)$  locations of table
- faster?