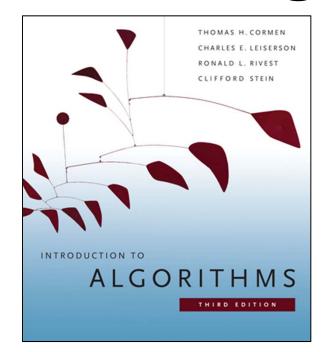
#### 6.006

#### Introduction to Algorithms



#### Lecture 25: Complexity Prof. Erik Demaine

# Today

- Reductions between problems
- Decision vs. optimization problems
- Complexity classes
   P, NP, co-NP, PSPACE, EXPTIME, ...
- NP-completeness

 $P \stackrel{?}{=} NP$ 



#### Reductions

balsamic reduction http://www.allthingsolive. ca/2011/01/pecorino-difossa-cheese-pears-andcinnamon-pear-balsamic/

> design by Gary Anderson http://en.wikipedia.org/ wiki/File:Recycle001.svg

http://cdn.zedomax.com/blog/wp-content/uploads/2010/02/reuse\_reduce\_recycle.jpg



## How to Design an Efficient Algorithm?

- 1. Define computational problem
- 2. Abstract irrelevant detail
- 3. Reduce to a problem you learn here (or 6.046 or algorithmic literature)
- 4. Else design using "algorithmic toolbox"
- 5. Analyze algorithm's scalability
- 6. Implement & evaluate performance
- 7. Repeat (optimize, generalize)

## Reductions

- Instead of solving a problem from scratch, convert your problem into a problem you already know how to solve
- <u>Examples:</u>
  - Min-product path  $\rightarrow$  shortest path (*take logs*)
  - Longest path → shortest path (negate weights)
  - Min multiple-of-5 path  $\rightarrow$  shortest path ( $\approx G^5$ )
  - Unweighted  $\rightarrow$  weighted shortest path (weight 1)
  - 2D path planning  $\rightarrow$  shortest path *(visibility graph)*

#### **Polynomial-Time Reductions**

- Consider two problems *A* & *B*
- **Polynomial-time reduction**  $A \rightarrow B$ :
  - Solution to *A* using solution to *B*
  - Polynomial-time algorithm for A,
    with free calls to subroutine to solve B
- Write A ≤<sub>P</sub> B: "A is no harder than B" (up to polynomial overhead)

polynomial: good exponential: bad

## **One-Call Reductions**

- Common polynomial-time reduction  $A \rightarrow B$ :
  - 1. Given input to problem *A*
  - 2. Polynomial-time preprocessing
  - 3. One call to solve problem *B*
  - 4. Polynomial-time postprocessing
- More interesting than "reduce any problem to basic operations in model of computation"
  - <u>Example</u>: sorting reduces to element comparisons

## **Decision Problems**

- Decision problem = any problem whose answer is one bit: "yes" or "no"
  - *y e e i*

*Hamlet* (1948)

- <u>Examples:</u>
  - Do these line segments have an intersection?
  - Does this Super Mario Bros. level have a solution?
  - Does the  $3 \times 3 \times 3$  Rubik's Cube always have a solution in 20 moves?
  - Given a sequence of cards, is there a Crazy Eights subsequence trick of at least 17 cards?
  - Does given weighted graph have a negative cycle?



# **Optimization** $\rightarrow$ **Decision**

- Any optimization problem can be converted into a decision problem
- Add input *b*: bound on optimal solution
  - Maximization problem  $\Rightarrow b$  is a lower bound
  - Minimization problem  $\Rightarrow b$  is an upper bound
- Examples:
  - Given sequence of cards & number b, is there a Crazy Eights trick of  $\geq b$  cards?
  - Given a weighted graph, vertices s & t, number b, is there an  $s \rightarrow t$  path of weight  $\leq b$ ?

# **Why Decision Problems?**

- <u>Meta claim</u>: Every computational problem has a decision version of roughly equal computational difficulty
- Maximization/minimization problems: binary search on bound *b* to find optimal

– Logarithmic overhead

- <u>Example</u>: Is key *k* in this binary search tree?
- <u>Example</u>: Given unsorted A[1..n] and i & r, does A[i] sort to rank  $\leq r$  in a sorted array?

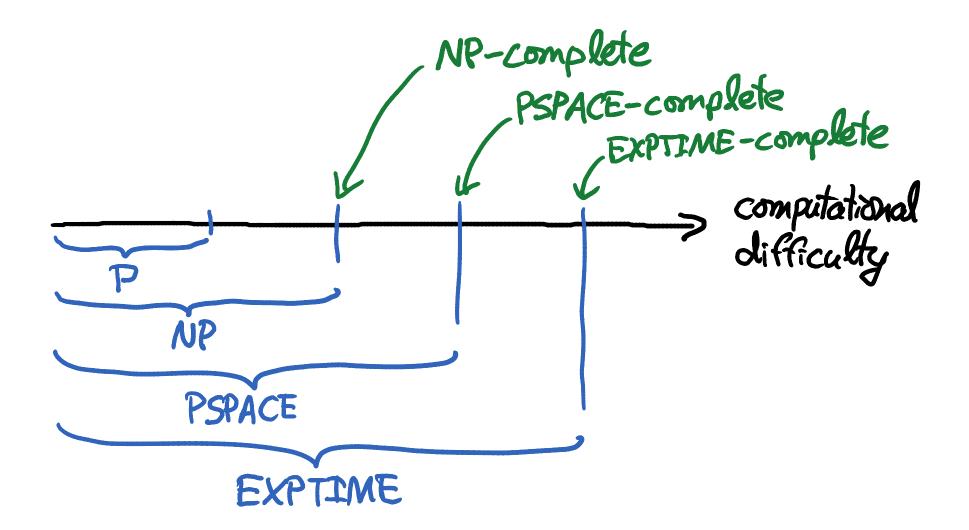
## **Karp Reductions**

- For decision problems *A* and *B*
- Simplest (and most common) type of polynomial-time  $A \rightarrow B$  reduction:
  - 1. Given input to problem *A*
  - 2. Polynomial-time preprocessing
  - 3. One call to solve problem *B*
  - 4. Return the same answer (no postprocessing)



Richard Karp

## **Complexity Classes**



#### **Time Classes**

- *P* = class of all decision problems solvable by polynomial-time algorithms
   -n, n<sup>2</sup>, n<sup>3</sup>, ...
- **EXPTIME** = class of all decision problems solvable by exponential-time algorithms  $-2^{n}, 2^{n^{2}}, 2^{n^{3}}, ...$
- In general, if  $f(n) = o(g(n)/\lg n)$ , then  $TIME(f(n)) \subsetneq TIME(g(n))$ **(Time Hierarchy Theorem)**

## **Space Classes**

- **PSPACE** = class of all decision problems solvable using polynomial storage space
- Example: Is there a solution to a given  $n \times n \times n$  Rubik's Cube using  $\leq k$  moves? -BFS X bad - DFS with bound on depth
- **EXPSPACE** = class of all decision problems solvable using exponential storage space
- Space Hierarchy Theorem: if f(n) = o(g(n)), then SPACE $(f(n)) \subsetneq$  SPACE(g(n))TIME $(f(n)) \lneq$  SPACE $(f(n)) \leq$  TIME(g(n))

# Nondeterministic Polynomial time

- *NP* = class of all decision problems solvable by a "lucky" polynomial-time algorithm
  - In O(1) time, can *guess* between two choices
  - At the end, report "yes" or "no"
  - If any way to say "yes", actually return "yes" (always make right choice)
- <u>Example</u>: Is there a solution to a given  $n \times n \times n$  Rubik's Cube using  $\leq k$  moves?
  - Guess first move, second move, ..., *k*th move
  - Return "yes" if solved

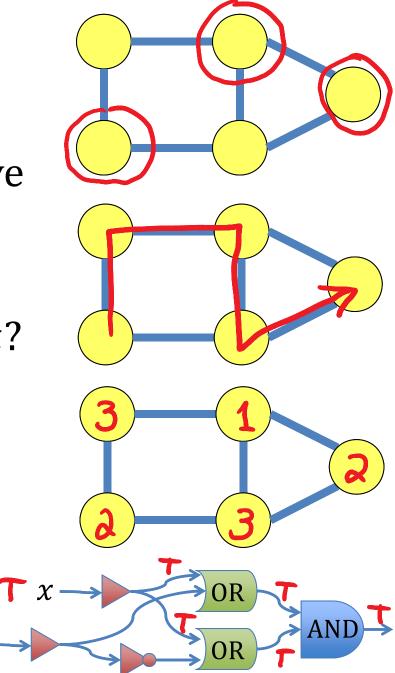
#### **NP Remix**

- Equivalently, *NP* = class of all decision problems *verifiable* in polynomial time
  - Every "yes" input has a polynomial-length
    *certificate* (might be very hard to find)
  - Given input and certificate, can confirm that answer is "yes" in polynomial time
- <u>Example</u>: Is there a solution to a given  $n \times n \times n$  Rubik's Cube using  $\leq k$  moves?

- Certificate = sequence of  $\leq k$  moves to solution

## **NP Problems**

- Given a graph, does it have vertex cover of size  $\leq k$ ?
- Given a graph, is there a simple path of length  $\geq k$ ?
- Given a graph, can it be colored with 3 colors?
- Given a Boolean circuit, are there inputs that make the output true?
   (SAT)

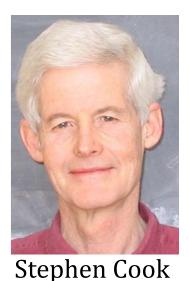


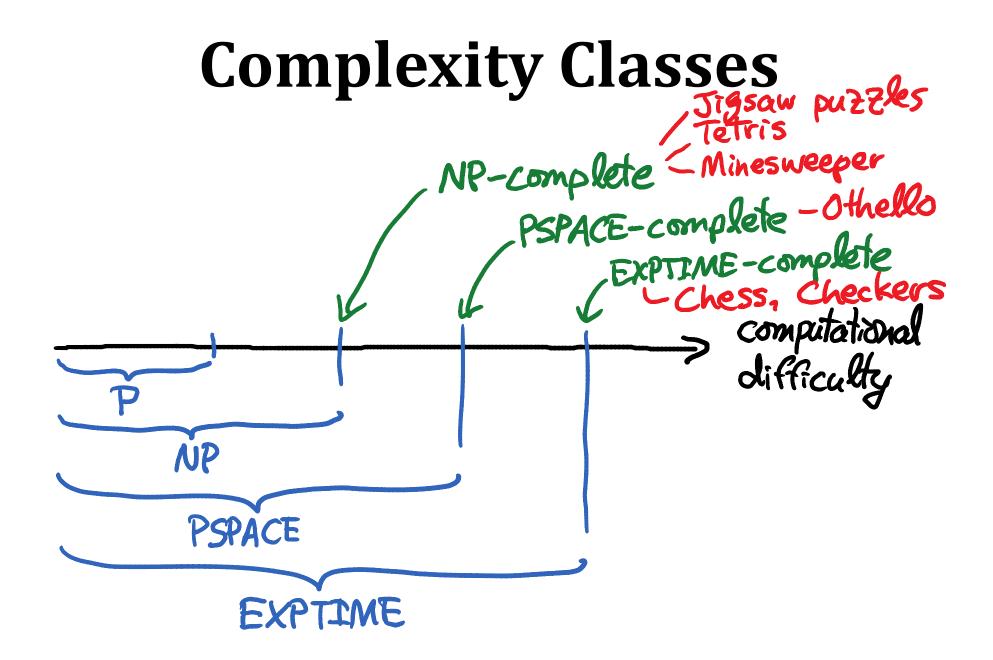
## **NP-Completeness**

• *NP-complete* = NP problem that is at least as hard as all problems in NP

- Formally:  $B \in NP$  and  $A \leq_P B$  for all  $A \in NP$ 

- Hardest problems in NP, all essentially equivalent
- If there are any problems in NP P, then NP-complete problems are among them
- If any NP-complete problem has a polynomial-time algorithm, then P = NP



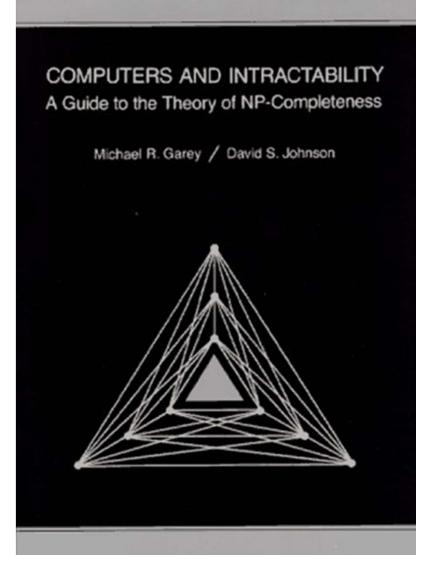


## **Power of Reduction**

- If *A* is NP-complete,  $A \leq_P B$ , and  $B \in NP$ , then *B* is NP-complete
- Proof:
  - $-C \leq_P A$  for all  $C \in NP$
  - $-A \leq_P B$
  - $-C \leq_P B$  for all  $C \in NP$

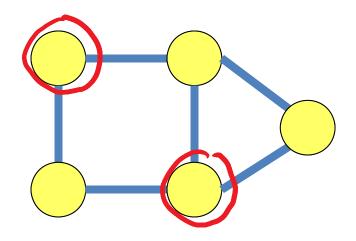
# **Proving NP-Completeness**

- Start with any known NP-complete problem *A* 
  - Given a graph, does it have vertex cover of size  $\leq k$ ?
  - Given a graph, is there a simple path of length  $\geq k$ ?
  - Given a graph, can it be colored with 3 colors?
  - Given a Boolean circuit, are there inputs that make the output true?
- Prove  $A \leq_P B$  and  $A \in NP$



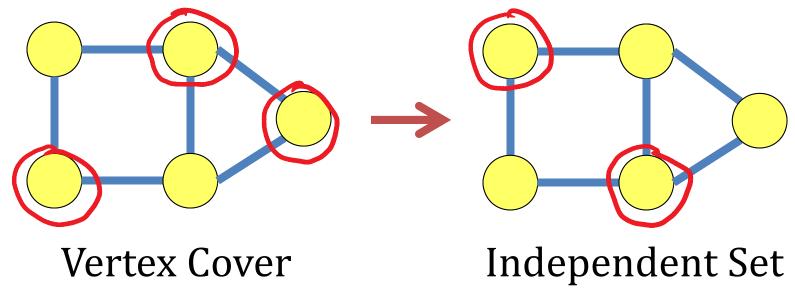
## Independent Set

- *Independent set* = subset of vertices inducing no vertices
- <u>Problem</u>: Given a graph *G* and integer *k*, is there an independent set of size  $\geq k$ ?



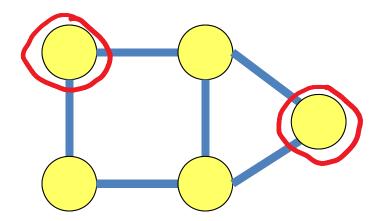
#### Vertex Cover $\leq_P$ Independent Set

- *G* has a vertex cover of size  $\leq k$ if and only if *G* has an independent set of size  $\geq |V| - k$
- So  $(G, k) \mapsto (G, |V| k)$  reduces VC  $\rightarrow$  IS
- VC is NP-complete  $\Rightarrow$  IS is NP-complete



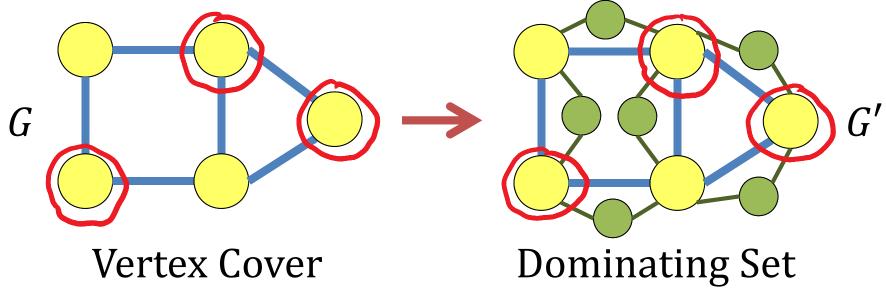
## **Dominating Set**

- **Dominating set** = subset of vertices such that every other vertex is adjacent to someone in the subset
- <u>Problem</u>: Given a graph *G* and integer *k*, is there a dominating set of size  $\leq k$ ?



### Vertex Cover $\leq_P$ Dominating Set

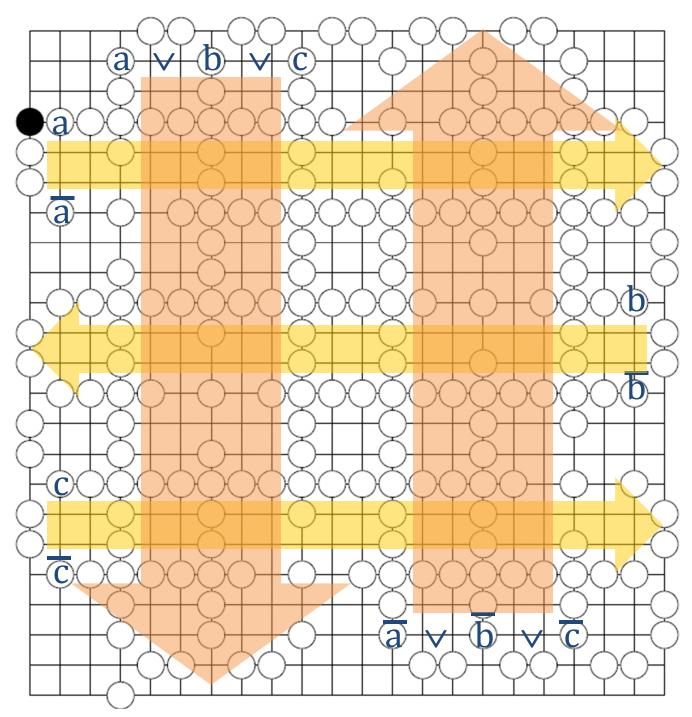
- *G* has a vertex cover of size  $\leq k$ if and only if *G'* has a dominating set of size  $\leq k$
- So  $(G, k) \mapsto (G', k)$  reduces VC  $\rightarrow$  DS
- VC is NP-complete  $\Rightarrow$  DS is NP-complete



Phutball [Conway]

"Mate in 1" (can I win in one move?) NP-complete [Demaine, Demaine, Eppstein 2000]

 $(a \lor b \lor c)$  $\land (a \lor b \lor c)$ 



## **Bad & Good News**

- Many problems are NP-complete
- Can often find approximate solutions in polynomial time
  - Within O(1) factor of optimal (e.g., Vertex Cover)
  - Within 0.0001% of optimal (e.g., Vertex Cover in planar graphs)