

# 6.857 Recitation #4

March 12, 2021

## Announcements:

- PSet 2 out; due March 23
- Feedback form

## Plan for today:

- Quick recap: goals and constructions
  - "Create" randomness: PRF
  - Hide a message: Encryption
  - Authenticate a message: MAC
  - CPA/CCA: clarification of MACs
- Review hash functions
  - Random Oracle Model (ROM)
  - Families and salts
  - Properties
- Birthday problem

# Goals & Constructions: A Quick Recap

## "Create randomness":

... actually, "create random-looking" strings

Tool: Pseudo-Random Function (PRF)

Idea: take  $n$  bits of randomness  $k \leftarrow \{0,1\}^n$ .

Then a PRF  $F$  lets us create  $\text{poly}(n)$  "random-looking" strings from  $k$ .

↳ name-brand randomness is too expensive so we counterfeit a knockoff pseudo-name brand instead

Hide a message  $m$ : Encryption scheme (Gen, Enc, Dec)  
"Confidentiality"

Authenticate a message  $m$ : Message Authentication Codes (MACs)  
"integrity" aka tags

To try to clarify some  $c$  vs  $m$  confusion in lecture:

→ MACs are defined independent of Encryption, so we wrote message-tag pairs as  
 $(m, \text{MAC}(k, m))$

for an arbitrary input  $m$  to authenticate.

→ For  $\text{CCA}$  security, we authenticate a ciphertext:  
shared  $k \leftarrow \text{Gen}(1^n)$ ,  $c \leftarrow \text{Enc}(k, m)$   
send  $(c, \text{MAC}(k, c))$

# Hash Functions

Why PRFs? Why is randomness "expensive"?

→ A truly random function is not efficiently computable.   
 ↗ call it H

In our context, we have a compression:

$$H: \{0,1\}^* \rightarrow \{0,1\}^d$$



map is fixed for H

$$\text{so } H(x) = y$$

(Consistently! We don't write  $y \leftarrow H(x)$ .)

But map is random between distinct  $x, x' \in \{0,1\}^*$   
ie,  $H(x)$  and  $H(x')$  are independent

(Can think of H itself as sampled from the set of random maps onto  $\{0,1\}^d$ )

Back to efficiency: We said  $H$  is not efficiently computable.

Suppose we built a circuit for  $H$ ,  $C_H$ .

What is the size of  $C_H$ ?

Recall range of  $H$  is  $\{0,1\}^d$ , size  $2^d$

So  $C_H$  needs to be able to compute  $\sim 2^d$  different conditions on its input

Therefore the size of  $C_H$  is exponential and can't be computed in poly time.

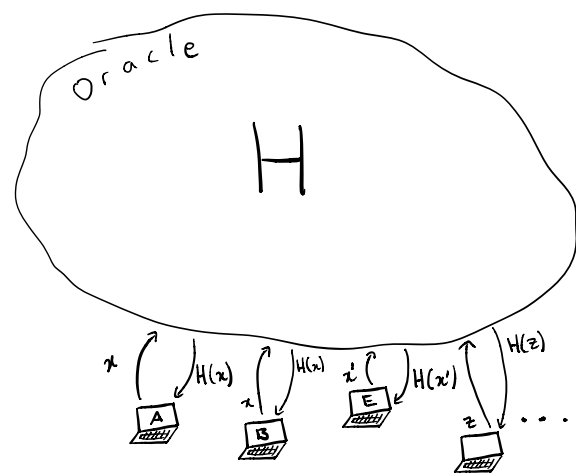
→ The random oracle model (ROM) is a useful, but controversial, model!

In the ROM,  $H$  itself can be used to compute PRFs, even if  $P=NP$ !

(Why?  $H$  is a OWF even if  $3SAT \in P$ , &  $OWF \Rightarrow PRF$ )

See:  
Katz-Lindell book, section called

"Is the Random-Oracle Methodology Sound?"



ROM gives us a theoretical arena.

In practice we don't have oracle access to  $H$ ,  
so we use SHA-256 and pray...

Notation:

Function families:  $\{h_s\}$  indexed with  $s$   
each  $h_s: \{0,1\}^* \rightarrow \{0,1\}^d$

With salts:  $h_s(x) = h(s || x)$   
↖ again, commonly SHA-256

What properties of  $h_s$  might we want?

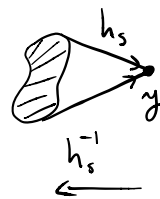
# Properties of hash functions

One way:

given  $s, y$  (where  $y = h_s(\text{something})$ ),

infeasible to find an input  $x$  in  $\underbrace{h_s^{-1}(y)}$

NB: this is a set:



Collision resistance:

given  $s$ ,

infeasible to find distinct  $x, x'$  s.t.  $h_s(x) = h_s(x')$

Target Collision resistance:

given  $s, x$ ,

infeasible to find  $x'$  s.t.  $x' \neq x$  and  $h_s(x') = h_s(x)$

CR/TCR distinction:

TCR says " $\exists$  hard cases" (ie, I tell you a target  $x$ )

CR says "it is hard in general"

$\therefore$  CR is stronger

constrains!  
no such  
constraint for CR

(Think in terms  
of a game...)

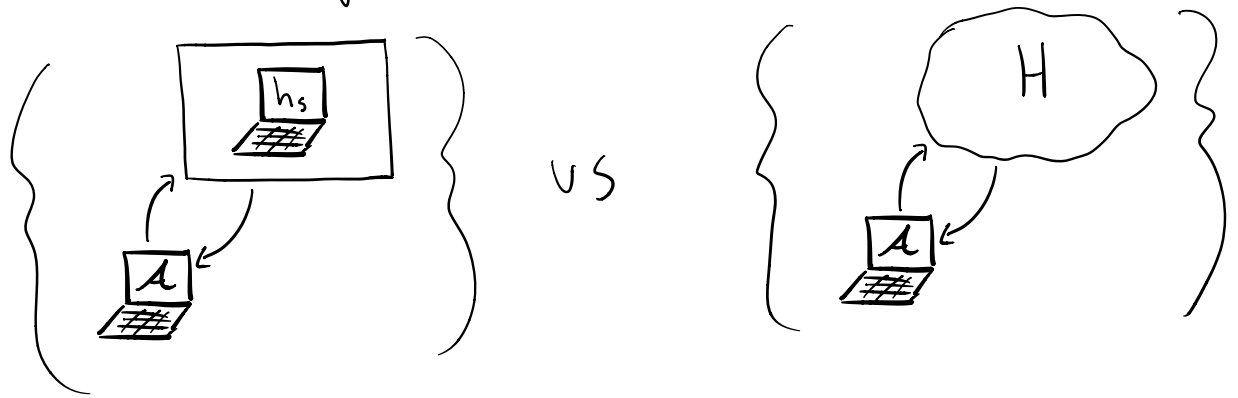
CR  $\Rightarrow$  TCR  $\Rightarrow$  OW

$\uparrow$

$\neg$ OW  $\Rightarrow$   $\neg$ TCR bc can take given  $x$  and compute  $y$  as  $h_s(x)$

Pseudo random:

given  $s$ ,  
infeasible to distinguish between



Non-malleable:

given  $s$ ,  $h_s(x)$  for some random  $x$

infeasible to find  $h_s(x')$  for a related  $x'$

ie,  $x' = f(x)$  for known  $f$ , not nec.  
known  $x$

(this should remind you of the discussion from lecture for motivating CCA security —  $(r, F(k, r) \oplus m)$  is CPA, easy to send  $(r, F(k, r) \oplus m \oplus 1)$  ... so this encryption scheme is malleable)

# Birthday Paradox (appendix of Katz-Lindell)

A faux "paradox"

→ w/ 23 people in a room, >50% chance that  
2 people share a birthday!

But there are 365 days!

Generalizing:

Sample set of size  $N$

Take  $q$  samples

Probability  $p$  of collision

$N=365$  for  
birthdays

$q=23$  above

$p=0.5$  above

Theorem:  $p(q, N) = \Theta(\sqrt{N})$

Proof: We will show  $\frac{q(q-1)}{4N} \leq p(q, N) \leq \frac{q^2}{2N}$



First:  $p \leq \frac{q^2}{2N}$

Write  $q$  samples as  $x_i$  for  $i = 0, \dots, q-1$

Union bound over all ways to collide:

$$p \leq \sum_{i,j} \Pr[x_i \text{ and } x_j \text{ collide}]$$

The probability of two  $x$ 's colliding is at least  $\frac{1}{N}$

$$\Rightarrow p \leq \binom{q}{2} \frac{1}{N} \leq \frac{q^2}{2N} \quad \text{because} \quad \binom{q}{2} \leq \frac{q^2}{2}$$

Second:  $p \geq \frac{q(q-1)}{4N}$

$p$  is probability of collision.

Pair wise over  $x$ 's, the probability two  $x$ 's don't collide is:

$$\prod_{i=1}^{q-1} \left(1 - \frac{i}{N}\right) \leq \prod_{i=1}^{q-1} \underbrace{e^{-i/N}}_{\text{Recall Taylor series of } e^x = 1+x+O(x^2)}$$

Move  $\prod$  to sum inside exponential:

$$Pr[\underline{\text{No}} \text{ collision}] \leq \exp\left[-\sum_{i=1}^{q-1} \frac{i}{N}\right] = \exp\left[-\frac{q(q-1)}{2N}\right]$$

Nice summation result:  $\sum_{i=1}^{q-1} i = \frac{q(q-1)}{2}$

Either we have a collision or we don't:

$$p = 1 - Pr[\text{No collision}]$$

$$\Rightarrow p \geq 1 - \exp\left[-\frac{q(q-1)}{2N}\right]$$

$$\Rightarrow p > \frac{q(q-1)}{4N}$$

(back to Taylor series,  $e^{-x} \leq 1 - \frac{x}{2}$  for  $|x| \leq 1$ )