

6.857 Rivest

2/18/09 LS.)

Administrivia: next week: Eran Tromer

Outline:

AES

Modes of operation

ECB

CTR

CBC

cipher feedback

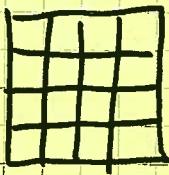
IND-CCA model

UFE

MAC's

## AES (Advanced Encryption Standard)

- replaced DES (Data encryption std, adopted 1976, 56-bit key)
- "Contest" 1997-1999, 15 entries: RC6, Mars, Twofish, Rijndael, ...
- Winner = Rijndael (by Joan Daemen & Vincent Rijmen; Belgians)
- Specs: 128-bit input/output blocks  
 128, 192, or 256-bit key  
 10, 12, or 14 rounds internally
- How it works (128-bit key, 10 rounds)
  - byte-oriented spec
  - does some math in  $GF(2^8)$
  - view input as  $4 \times 4$  array of bytes



- derive 10 "round keys" each 128-bits
- In each round:
  - (1) Add Round Key: byte-wise XOR round key into array
  - (2) SubBytes: invert (over  $GF(2^8)$ ) each elt of array,  
 $(0 \Rightarrow 0)$   
 apply affine xfrm to bits of each elt  
 $\rightarrow ax + b$   
 $a$  is  $8 \times 8$  matrix      } over  $GF(2)$   
 $b$  is vector of size 8      }

### (3) ShiftRows:

$$\begin{bmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ m & n & o & p \end{bmatrix} \Rightarrow \begin{bmatrix} a & b & c & d \\ f & g & h & e \\ k & l & i & j \\ p & m & n & o \end{bmatrix} \quad \left| \begin{array}{c} \text{shift} \\ 0 \\ 1 \\ 2 \\ 3 \end{array} \right.$$

(4) Mix Columns

For each column  $\begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix}$

treat it as polynomial  $a + bx + cx^2 + dx^3$  over  $GF(2^8)$

multiply by  $3x^3 + x^2 + x + 2$

reduce modulo  $x^4 + 1$

(since  $\gcd(x^4 + 1, 3x^3 + x^2 + x + 2) = 1$ , this is invertible).

[In last round: no Mix Columns; instead use another Add Round Key]

- Decryption: run backwards, invert each step
- $\exists$  very fast implementations:
  - table lookups & XOR's
  - $16$  lookups in  $256 \times 32\text{-bit}$  tables  $\left\{ \text{per round} \right\}$
  - $18$  XOR's ( $32\text{-bit}$ )
- gigabit/sec in hardware
- Security: # rounds could be larger (?)

$$E_k : \{0,1\}^b \rightarrow \{0,1\}^b \text{ given}$$

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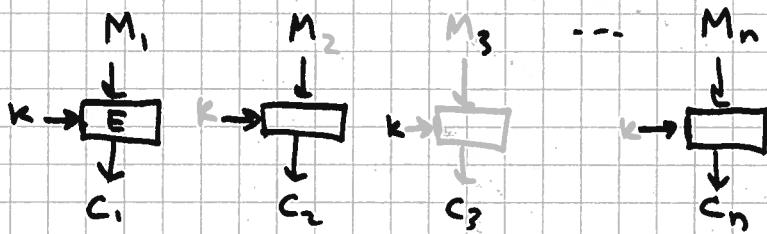
2/13/09 L5.4

Modes of Operation (there are many! we'll see a few...)

- How to use given block cipher to encrypt data of arbitrary length?

### ECB "Electronic Code Book"

- Divide M into  $b$ -bit blocks, encrypt each separately



Patterns in data  $\Rightarrow$  patterns in ciphertext (e.g. all-zero blocks...)

- Only really good for encrypting random data (e.g. keys)

To handle data not multiple of  $b$  bits:

can "pad" by appending 1 & just enough 0's to give length a multiple of  $b$ .

0110110000...0  
msg      pad

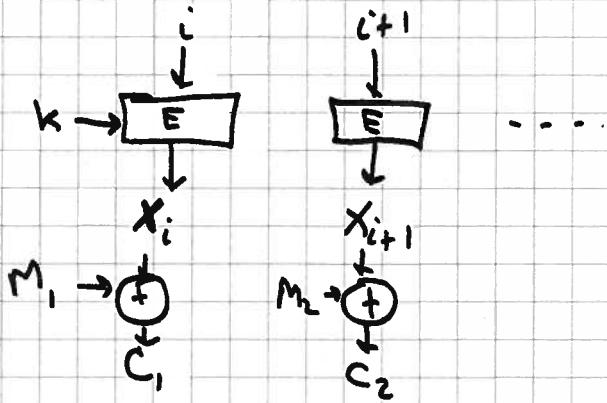
$$\{0,1\}^* \leftrightarrow (\{0,1\}^b)^* \text{ (except } 0^b\text{)}$$

padding is invertible.

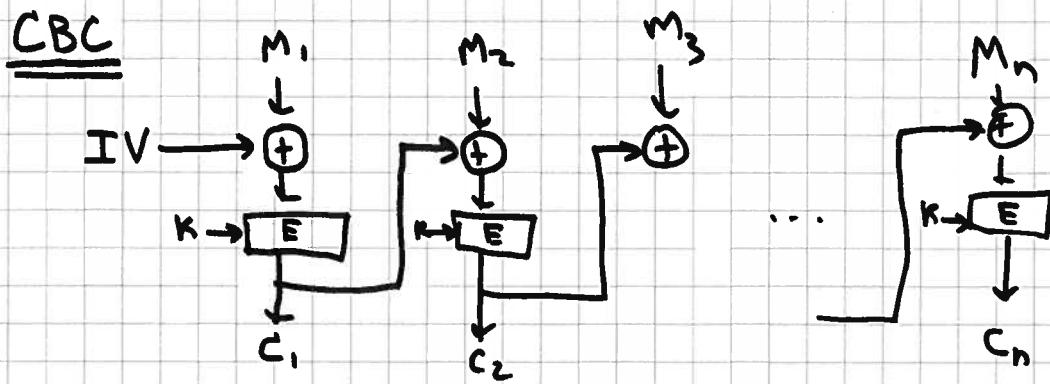
Pad before encrypting; unpad upon decryption... (treat  $0^b$  as  $\epsilon$ ?)

Counter mode

- generate a PR sequence by encrypting  $i, i+1, \dots$



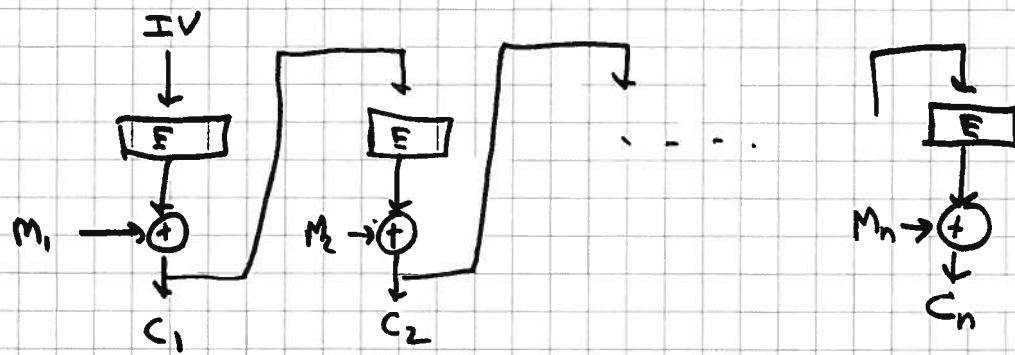
- need way to share  $i$  between sender & receiver  
could send  $(i, C_1, C_2, \dots)$



- ~~should~~ generate IV randomly, xmit with ciphertext

$\text{IV}, C_1, C_2, \dots, C_n$

- Decryption easy (& parallelizes!  $\Rightarrow$  little error propagation)
- Last block  $C_n$  is "CBC-MAC" for message  $M_1, \dots, M_n$  (with fixed IV)

Cipher feedback

Do these modes give us what we want?

What do we want?

mode give us  $E'_k : \{0,1\}^* \rightarrow \{0,1\}^*$  (invertible)

based on block cipher  $E_k : \{0,1\}^b \rightarrow \{0,1\}^b$

"IND-CCA" (Indistinguishability based on chosen-ciphertext attack)

gives us standard to measure encryption modes.

Defined as game with adversary. Mode is secure (IND-CCA) if adversary can win with prob  $\leq \frac{1}{2} + \epsilon$  for small  $\epsilon$ .

### Phase I ("Find")

Adversary outputs two messages  $m_0, m_1$ , ( $m_0 \neq m_1$ ) ( $|m_0| = |m_1|$ )  
 (+ state info s)

Adversary can use  $E_k, D_k$  freely during this phase

### Phase II ("Guess")

$d \xleftarrow{R} \{0,1\}$  random bit chosen by examiner, unknown to adversary

$y \leftarrow E_k(m_d)$  prepares challenge ciphertext

Adversary now given  $y, s$ , access to  $E_k$ , and  
 access to  $D_k$  (except on y)

Adversary must produce guess  $\hat{d}$  for  $d$ .

Adversary's advantage is  $|\text{Prob}[\hat{d}=d] - \frac{1}{2}|$

Scheme is secure against CCA attack if advantage is negligible.

Fact: to be IND-CCA secure, scheme must be randomized.

(since Adv can encrypt  $m_0, m_1$  himself...)

Previous modes are not IND-CCA secure!

(Decryption 1  $\Leftarrow$  half of long ciphertext  $\Rightarrow$   
1  $\Leftarrow$  half of message...)

Here is sketch of one IND-CCA secure method (UFE - Desai)

$M$  = long input message of length  $n$  b-bit blocks       $K = (K_1, K_2)$   
 $r \leftarrow_R \{0,1\}^b$       b-bit random value

$pad = P_1, P_2, \dots, P_n$   
where  $P_i = E_{K_1}(r+i)$       (CTR mode PRG)

$C = C_1, \dots, C_n$

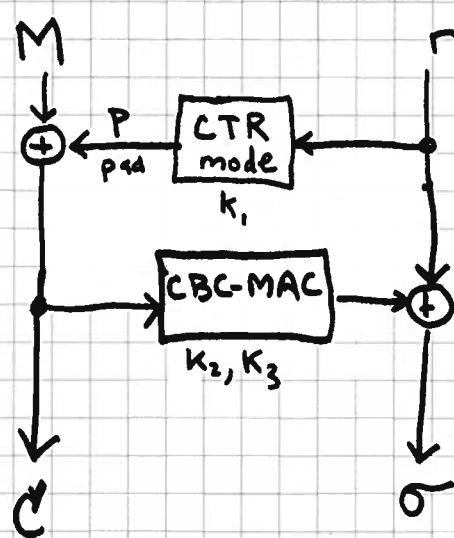
where  $C_i = M_i \oplus P_i$

Let  $X_0 = 0^b$

$X_i = E_{K_2}(X_{i-1} \oplus C_i)$        $1 \leq i \leq n-1$  (CBC mode)

$X_n = E_{K_3}(X_{n-1} \oplus C_n)$        $\sigma = r \oplus X_n$

Output  $(C_1, C_2, \dots, C_n, \sigma)$



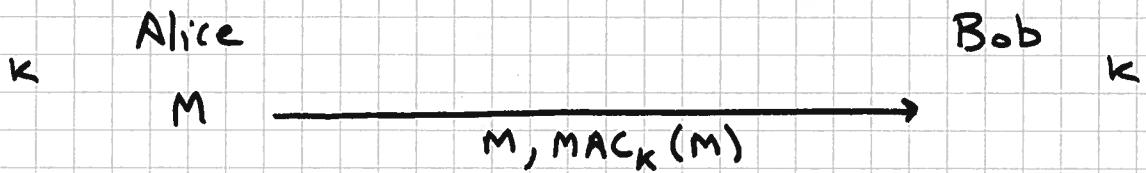
UFE

=

"unbalanced  
Feistel  
encryption"

[only designed for confidentiality, as that is all IND-CCA cares about...]

## MAC's (Message Authentication Codes)



- Bob recomputes  $\text{MAC}_K(M)$  & rejects message as not from Alice, or damaged, if he gets different result.
- Integrity, not confidentiality
- Can layer on top of encryption (e.g.,  $M$  = ciphertext for some other msg)
- MAC can be short, e.g.  $b = 64$  bits
- Infeasible for an adversary to create new valid pair  $M, \text{MAC}_K(M)$  (that Bob will accept), even after seeing many previous valid pairs (even for messages of his choice).
- CBC-MAC (use CBC mode, return last block)
- HMAC  $\approx \text{hash}(K_1 \parallel \text{hash}(K_2 \parallel M))$

## Combined modes

For confidentiality and integrity, you can encrypt then MAC, or use one of the combined modes of operation (CCM, EAX, OCB modes...)