

# LECTURE 11

Note Title

TODAY : • AMPLIFICATION OF BPP

- $BPP \subseteq PH$

- $BPP \subseteq \sum_2^P \cap \Pi_2^P$

———— x ————

Weak & Strong definitions of BPP

$L \in$  Strong BPP if  $\forall$  poly  $q(n)$

$\exists$  det. polytime  $M(\cdot, \cdot)$  s.t.  $\forall x \in \{0,1\}^n$

$$x \in L \Rightarrow \Pr[M(x, y) \text{ accepts}] \geq 1 - 2^{-q(n)}$$

$$x \notin L \Rightarrow \Pr[M(x, y) \text{ accepts}] \leq 2^{-q(n)}$$

$L \in \text{Weak BPP}$  if  $\exists$  nice  $S(n)$  & poly  $P(n)$   
and det. polytime  $M(\cdot, \cdot)$  s.t.  $\forall x \in \{0,1\}^n$

$$x \in L \Rightarrow \Pr[M(x,y) \text{ accepts}] \geq S(n) + \frac{1}{P(n)}$$

$$x \notin L \Rightarrow \Pr[M(x,y) \text{ accepts}] \leq S(n)$$

AMPLIFICATION THEOREM:

Strong BPP = Weak BPP

Proof: (of " $\geq$ ")

Say  $L \in \text{Weak BPP}$  with m/c  $M, S(n), P(n)$

Consider  $M'$  which does the following

$$M'(x; y_1, \dots, y_t)$$

- let  $Z_i = M(x, y_i)$

$$\triangle \bar{Z} = \frac{\sum Z_i}{t}$$

- if  $\bar{Z} \geq S(n) + \frac{1}{2 \cdot p(n)}$  accept  $x_i$   
else reject

To analyse need to know

What is the probability that if

$t$  i.i.d. (independent identically dist)  
random variables  $Z_1, \dots, Z_t$   $Z_i \in [0, 1]$

with expectation  $\mu$  take on average  
value  $\in [\mu \pm \epsilon]$

## Chernoff Bound:

if  $z_1, \dots, z_t$  i.i.d. in  $[0, 1]$  &

$$E[z_i] = \mu \quad \text{then} \quad \Pr \left[ \left| \frac{\sum z_i}{t} - \mu \right| \geq \epsilon \right] \leq e^{-\epsilon t}$$

————— x —————

Applying to our case:

Say  $x \in L$ ; then  $E[z_i] = s(n) + \frac{1}{p(n)}$

$$\Pr \left[ \frac{\sum z_i}{t} \leq \left( s(n) + \frac{1}{2 \cdot p(n)} \right) \right]$$

$$\leq \Pr \left[ \left| \frac{\sum z_i}{t} - s(n) \right| \leq \frac{1}{2 \cdot p(n)} \right]$$

$$\leq \exp \left( -t / p(n)^2 \right)$$

Picking  $t \geq q(n) \cdot p(n)^2$  works.  
(Similarly when  $x \notin L$ )



$$\underline{BPP \subseteq P/poly} \quad [Adleman]$$

• Suffices to prove Strong BPP  $\subseteq P/poly$  !

• Say  $L \in$  Strong BPP.

• Set  $q(n) = 2n$  & say  $M$  places  
 $L$  in strong BPP

• Say  $y$  wrong for  $x$  if

$$M(x, y) \neq L(x)$$

• Fix  $x$  ;

$$\Pr_y [y \text{ wrong for } x] \leq \frac{1}{2^{2n}}$$

$$\Pr_x [\exists y \text{ s.t. } y \text{ wrong for } x] \leq \frac{2^n}{2^{2n}} \leq \frac{1}{2^n}$$

- $\Rightarrow \exists y$  s.t.  $y$  not wrong for any  $a$ .
  - Use  $M$  as advice TM with advice  $y$ . Always Right!  $\boxtimes$
- 

### Implications:

$[100:1]$   $NP \subseteq BPP$  (very unlikely)

$\uparrow$   
my odds  
 $\Rightarrow NP \subseteq P/poly$

$\Rightarrow P_{IT}$  collapses

$\Rightarrow \neg IHA$  (unlikely)

$[10:1]$

$\uparrow$  my odds

$$\underline{\text{BPP} \subseteq \sum_2^P \cap \Pi_2^P} \quad [\text{Sipser-Lautemann}]$$

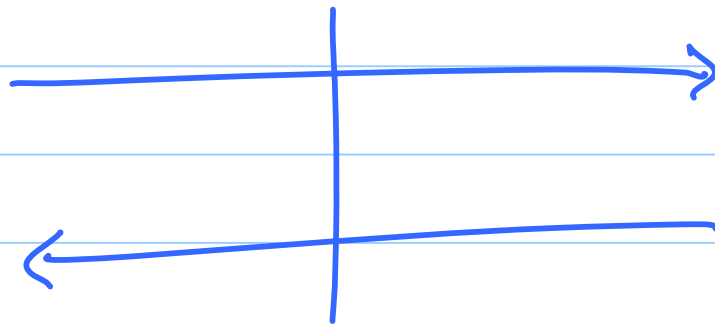
Recall  $\sum_2^P$

Prosecution: "x ∈ L"

=  $\Pr [M(x,y) \text{ accepts}]$  huge

Defense: "What?  
me?"

tiny



Jury decides.

## Idea 1:

Let Defense pick  $y$  ... but this is  
no good ... since  $\exists y$  st.  $M(x, y)$  rejects.

## Idea 2:

Let Prosecution pick  $y$  ... but this is  
no good either ...

## Idea 3: (almost works)

- Let Defense pick most bits of  $y$
- Prosecution picks remaining few.

## Idea 4: (cleaner implementation)

- Prosecution specifies  $y_1, \dots, y_k$  :  $k$  possible variations  
(first)
- Defense picks  $y$ .



Idea 3 may work, but depends on  $M$ ;  
Idea 4 cleaner

$\Sigma_2^P = \{x \in L \mid \text{BPP-decided by } M\}$


Prosecution

Defense

$y_1 \dots y_k$



$y$



Jury: Accept if  $\exists i$  st.

$M(x, y \oplus y_i)$  accepts

Completeness:  $x \in L \Rightarrow \exists y_1, \dots, y_k$  s.t.

$\forall y$

$\exists i$  s.t.

$M(x, y \oplus y_i)$  accepts.

[Sort of like Adleman].

Proof:  $y_i$  wrong for  $y$  if  $M(x, y \oplus y_i)$  rejects

$$\bullet \Pr_{y_i} [y_i \text{ wrong for } y] \leq \frac{1}{2^{\ell(m)}} \leq \frac{1}{2}$$

$$\bullet \Pr_{y_1, \dots, y_k} [y_1, \dots, y_k \text{ all wrong for } y] \leq \frac{1}{2^k} \text{ [independent]}$$

$$\bullet \Pr_{y_1, \dots, y_k} [\exists y \text{ s.t.}] \leq \frac{\#y}{2^k}$$

Pick  $k \geq |y| + 1$  & we are o.k.  $\square$

↓ Now we're with the defense.

Soundness:  $x \notin L \Rightarrow \forall y_1 \dots y_k \exists y$  s.t.  
 $\forall i \quad M(x, y \oplus y_i)$  rejects.

Proof: •  $y$  wrong for  $y_i$  if

$M(x, y \oplus y_i)$  accepts.

$$\bullet \Pr_y [y \text{ wrong for } y_i] \leq 2^{-q(n)}$$

$$\bullet \Pr_y [\exists i \in [1 \dots k] \text{ s.t. } y \text{ wrong for } y_i] \leq k \cdot 2^{-q(n)}$$

$$= 2|y| \cdot 2^{-q(n)} < 1?$$

Set  $q(n) = n$ ; then  $|y| = n^c$  for some  $c$ ; for large enough  $n$

above is  $< 1$ .



# Implications

• Quantifiers do capture uncertainty

• Randomized hierarchy

$$\boxed{\text{promise RP}} \ni (\Pi_Y, \Pi_N)$$

s.t.  $\exists M$

$$x \in \Pi_Y \Rightarrow \Pr_y [M(x,y) \text{ accept}] \geq \frac{2}{3}$$

$$x \in \Pi_N \Rightarrow \dots = 0$$

~~promise BPP  $\ni (\Pi_Y, \Pi_N)$  s.t. ...~~

$$x \in \Pi_Y \Rightarrow \dots \geq \frac{2}{3}$$

$$x \in \Pi_N \Rightarrow \dots \leq \frac{1}{3}$$

- $\text{Promise RP} \subseteq \text{promise-BPP}$

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- [EXERCISE]:

$$\text{Promise-BPP} \subseteq \text{promise RP}$$

- [Continued]

$$P = \text{Promise-RP} \Rightarrow P = \text{Promise-BPP}.$$

□

## Next two lectures

Unique SAT ;

Parity SAT ;

# SAT ;

} Counting # solutions....

- Unique-SAT : Motivated by Crypto & One-way permutations.

"Hard to invert functions in crypto"

have unique inverse ; but maybe

"uniqueness"  $\Rightarrow$  easy ?

[Valiant-Vazirani]:

Defn: Unique SAT =  $(\Pi_Y, \Pi_N)$

$$\Pi_Y = \{ \phi \mid \exists! x \text{ s.t. } \phi(x) = 1 \}$$

$$\Pi_N = \{ \phi \mid \forall x \quad \phi(x) = 0 \}$$

[W]:  $\text{SAT} \leq_R \text{Unique-SAT}$

$\leq_R$ : Randomized reduction!

————— x —————

$A \leq_R B$  if  $\exists$  prob. alg.  $R$ , <sup>poly p</sup> s.t.

$x \in A_Y \Rightarrow R(x) \in B_Y$  w.p.  $\geq \frac{1}{p(n)}$

$x \in A_N \Rightarrow R(x) \in B_N$  w.p. 1.

[Warning: Doesn't amplify].

[VV] Idea: • Guess # solutions to  $\phi$   
approximately. Say #  $\in \{2^{m-1} \dots 2^m\}$

- Pick "random" hash function (How? Later!)

$$h: \{0,1\}^n \rightarrow \{0,1\}^{m+c}$$

- Map  $\phi(x) \rightarrow \phi'(x) =$   
" $\phi(x)$  and  
 $h(x) = (0 \dots 0)$ ".

- Claims: 1.  $\phi'$  can be computed in polytime  
from  $\phi$ .

$$\left. \begin{array}{l} 2. \exists x \text{ s.t. } \phi'(x) = 1 \\ 3. x \text{ above is unique} \end{array} \right\} \text{w.p. } > 0.$$



Ignoring (1) for now; can pick  $h$  totally at random.

Then (2):

$$\begin{aligned} \Pr[\exists x \text{ s.t. } h(x) = \bar{0}] \\ &\geq 1 - \left(1 - \frac{1}{2^{m+c}}\right)^{2^{m-1}} \\ &= \Omega\left(\frac{1}{2^c}\right) \end{aligned}$$

(3):

$$\begin{aligned} \Pr[\exists x, y \text{ s.t. } h(x) = h(y) = \bar{0}] \\ &\leq \frac{1}{2^{m+c}} \cdot \frac{1}{2^{m+c}} \cdot 2^m \cdot 2^m \\ &= O\left(\frac{1}{2^{2c}}\right) \dots \end{aligned}$$

## Next Lecture:

- Using Pairwise Independent  $h$ .
- Formal analysis.