

Parameter  $k = \text{function} : \text{instance} \rightarrow \mathbb{N}$

- usually one of the numbers in instance
- sometimes hard to compute e.g. OPT

[Downey & Fellows 1999]

Parameterized problem = decision problem + parameter

- e.g.  $(k\text{-})$ Vertex Cover: is there a vertex cover of  $\leq k$ ?  
 $k$  is the natural parameter: comparing with OPT
- e.g. Vertex Cover with respect to OPT (Vertex Cover)
  - similar but  $k$  not given
  - for  $k=0, 1, 2, \dots$ : run  $k$ -Vertex Cover
- e.g. Vertex Cover w.r.t. crossing number

XP = {parameterized problems solvable in  $n^{f(k)}$  time}

↑ bad  
 ↓ good

Fixed-parameter tractable (FPT)

- = {parameterized problems solvable in  $f(k) \cdot n^{O(1)}$  time}
- = {parameterized problems solvable in  $f(k) + n^{O(1)}$  time}
- motivation: confine exponential to parameter  $k$   
 which may be  $\ll$  problem size  $n$

Example:  $(k\text{-})$ Vertex Cover

- $\in \text{XP}$ : guess  $k$  vertices, test coverage  $|V|^k \cdot |E|$
- $\in \text{FPT}$ : take edge, guess endpoint, delete, repeat  
 $2^k$  "bounded search tree technique" depth  $\leq k$

EPTAS  $\in$  PTAS with running time  $f(1/\epsilon) \cdot n^{O(1)}$

- i.e. FPT w.r.t.  $1/\epsilon$

(cf.  $n^{1/\epsilon}$  etc.)

$\Rightarrow$  FPT w.r.t. natural parameter  $k$  ( $\Rightarrow$  w.r.t. OPT)

- set  $\epsilon = 1 + 1/2k$

-  $\nexists$  FPT  $\Rightarrow \nexists$  EPTAS

Parameterized reduction:  $(A, k) \rightarrow (B, k')$

instance  $x$  of  $A$   $\xrightarrow{f}$  instance  $x' = f(x)$  of  $B$

-  $f(k(x)) \cdot |x|^{O(1)}$  time  $\Rightarrow |x'| \leq f(k(x)) \cdot |x|^{O(1)}$

- answer preserving:  $x$  YES for  $A \Leftrightarrow x'$  YES for  $B$   
(just like NP/Karp reductions)

- parameter preserving:  $k'(x') \leq g(k(x))$   
for some  $g: \mathbb{N} \rightarrow \mathbb{N}$

-  $B \in \text{FPT} \Rightarrow A \in \text{FPT}$

$\uparrow$  parameter blowup

Nonexample: independent set  $\rightarrow$  vertex cover  
 $(G, k) \mapsto (G, n-k)$

- preserves answer but not parameter

- indeed, vertex cover  $\in$  FPT

but independent set is  $W[1]$ -hard

$\Rightarrow \nexists$  FPT unless  $\text{FPT} = W[1]$

Example: independent set  $\rightarrow$  clique (or vice versa)  
 $(G, k) \mapsto (\bar{G}, k)$

Canonical hard problem for  $W[1]$ : (analogy to NP)

- $k$ -step nondeterministic Turing machine
- given nondeterministic Turing machine  
code, state, finger to  $k$ -cell memory
- $O(n)$  lines     $O(n)$  options     $O(n)$  states  
(guess can have  $n$  choices/branches)
- does some choice sequence finish in  $k$  steps?

Reduction to Independent Set:

- $k^2$  cliques,  $k' = k^2 \Rightarrow 1$  node per clique
- clique  $(i, j)$  represents memory cell  $i$  at time  $j$  ( $n$  choices) + state of machine (e.g. PC = which of  $n$  instructions next)
- add edges to forbid certain transitions  
 $j \rightarrow j'$ : omit edges for allowed nondet. trans.

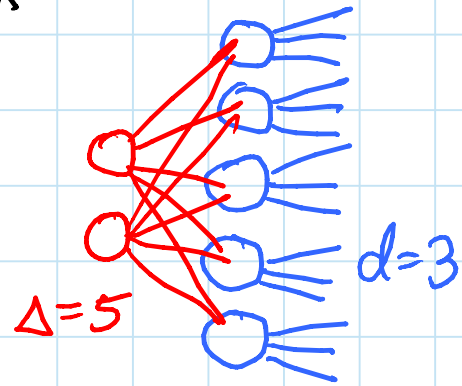
Reduction from Independent Set:  $k' = \Theta(k^2)$

- guess  $k$  vertices -  $\Theta(k)$
- for each pair of these vertices: -  $\Theta(k^2)$   
check no edge (lookup table in code)

$\Rightarrow$  both  $W[1]$ -complete

## Clique in regular graphs: reduction from Clique

- $\Delta = \text{max. degree}$
- $\Delta$  copies of graph
- vertex  $v$  of degree  $d \rightarrow v_1, v_2, \dots, v_\Delta$  copies
  - add  $\Delta - d$  vertices
  - biclique between  $v_i$  &  $v_j$
- $\Rightarrow \Delta$ -regular
- add no cliques ( $\geq 3$ ):  
new vertices in no  $\Delta$



## Independent set in regular graphs - just take complement

### Partial vertex cover:

- are there  $k$  vertices that cover  $l$  edges?
- FPT w.r.t.  $l$
- W[1]-complete w.r.t.  $k$

### Reduction from Independent set in regular graphs:

- $k' = k$
- $l' = \Delta k$

(based on upcoming book by  
Cygan, Fomin, Kowalik, Lokshantov,  
Marx, Pilipczuk, Pilipczuk,  
Saurabh 2015:  
Parameterized Algorithms)

Multicolored clique: — like (Numerical) 3DM

- given graph & vertex  $k$ -coloring
- find  $k$  vertices, one of each color, that form a  $k$ -clique
- $W[1]$ -complete

[Pietrzak - JCSS 2003]

[Fellows, Hermelin, Rosamond, Viallette - TCS 2009]

Reduction from Clique:

— vertex  $v \rightarrow k$  copies  $v_1, v_2, \dots, v_k$   
colors:  $1, 2, \dots, k$

— edge  $(v, w) \rightarrow$  edges  $(v_i, w_j) \forall i \neq j$

—  $k' = k$

—  $k$ -clique  $\Leftrightarrow$   $k$ -colored  $k$ -clique

$\Rightarrow$  proper coloring

Reduction to Clique:

— nothing: coloring  $\Rightarrow$  all cliques are multicolored

Multicolored independent set — just take complement

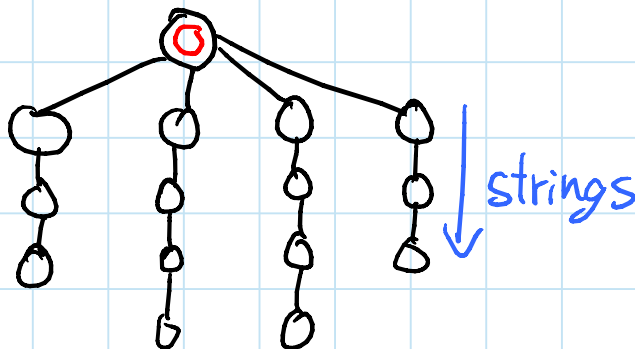
## Shortest common supersequence:

- given  $k$  strings over alphabet  $\Sigma$  & number  $l$
- is there a common supersequence of length  $l$
- $W[1]$ -hard w.r.t.  $k$  for  $|\Sigma|=2$  [Pietrzak-JCSS2003]
- reduction from Multicolored Clique

Reduces to restricted form where input strings never repeat character twice in a row parameterized by  $k$  &  $\Sigma$

- add new symbol  $s_i$  after every character in string  $i \Rightarrow$  no repeats
- $k' = k$
- $|\Sigma'| = |\Sigma| + k$
- $l' = l + \text{total length of input strings}$

Reduces to Flood-It on trees w.r.t. # colors ( $|\Sigma|$ ) & # leaves ( $k$ )

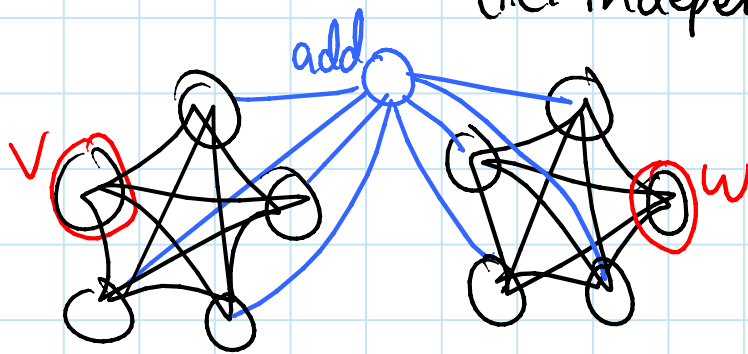


## Dominating set:

(based on Cygan et al. book 2015)

### Reduction from Multicolored independent set:

- vertex  $\rightarrow$  vertex
- connect each color class in clique
  - also add 2 dummy vertices to each clique
- $k'=k \Rightarrow$  dominating set chooses one vertex from each clique, representing one vertex of each color in ind. set
- for each edge  $(v, w)$ :
  - add vertex connected to all vertices in color classes of  $v$  &  $w$ , except  $v$  &  $w$   
 $\Rightarrow$  dominated  $\Leftrightarrow v$  &  $w$  not both chosen (i.e. independent set)



- $\Rightarrow W[1]$ -hard
- $W[2]$ -complete in fact
- $\Rightarrow \notin \text{FPT}$  unless  $\text{FPT} = W[2]$  (weaker assumption)
- $\Rightarrow$  reverse reduction impossible unless  $W[1] = W[2]$

### Reduction to Set Cover: same as L11

- vertex  $v \rightarrow$  set  $N(v) \cup \{v\}$
- $k'=k$

# Weighted Circuit SAT (Circuit k-Ones)

- given acyclic Boolean circuit & parameter  $k$
- can we set  $k$  inputs to 1 to get output = 1?

W[P] = { parameterized problems reducible to Weighted Circuit SAT }

- depth = longest input  $\rightarrow$  output path
- weft = max # big gates on input  $\rightarrow$  output path  
 $\hookrightarrow$  not  $O(1)$  inputs: e.g.  $\geq 3$  inputs

W[t] = { parameterized problems reducible to  $O(1)$ -depth weft- $t$  Weighted Circuit SAT }  
= { parameterized problems reducible to depth- $t$  output=AND Weighted Circuit SAT }  
[Buss & Islam - TCS 2006]

W[\*] =  $W[O(1)]$

W[1]-complete:

- weighted  $O(1)$ -SAT

(big AND of small ORs)

W[2]-complete:

- weighted CNF-SAT

(big AND of big ORs)

- $k$ -step 2-finger nondeterministic Turing machine  
= 2-tape

W[SAT] = reducible to SAT

- SAT  $\rightarrow$  CNF-SAT reduction adds extra vars.  
so weighted problems not the same