

6.006 RECITATION 4 13 Feb 2009

Note Title

2/13/2009

- * Binary Search Trees
- * Lecture 4
- * AVL Trees

AVL TREES

G.M. Adelson-Velsky and E.M. Landis

"An algorithm for the organization of information", 1962

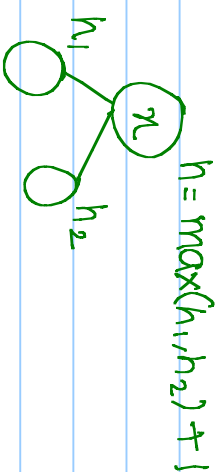
Balanced Binary Search Tree

For any node x , $\text{height}(x)$: Length of longest path from x to a leaf node

$$\text{height}(x) = \max(\text{height}(\text{left}(x)), \text{height}(\text{right}(x))) + 1$$

$$\text{height}(\text{NULL}) = -1, \text{height}(y) = 0 \quad \forall y: \text{leaf node}$$

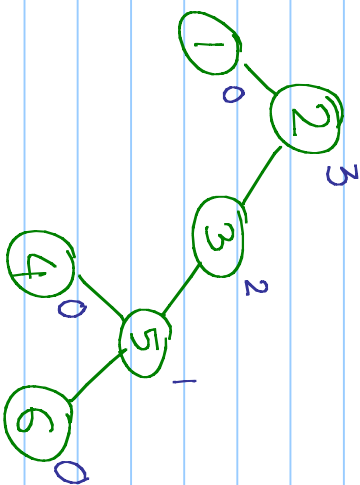
AVL invariant



$$\forall x: \text{node}, \quad |\text{height}(\text{left}(x)) - \text{height}(\text{right}(x))| \leq 1 \quad |h_1 - h_2| \leq 1$$
$$\text{height}(\text{root}) < 2 \log n$$

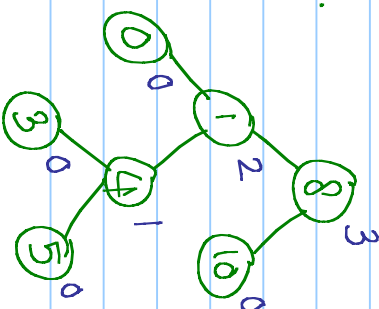
EXERCISE

A.



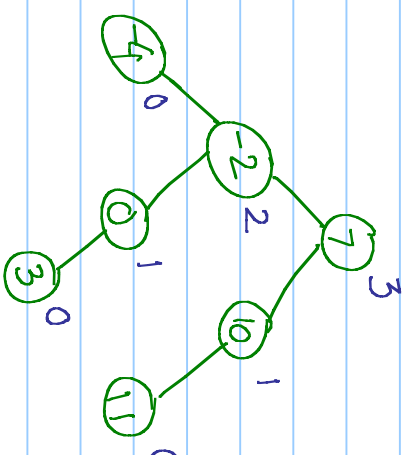
AVL X

B.



AVL X

C.



AVL ✓

$$N_h \geq N_{h-1} + N_{h-2} + 1$$

$$\geq 2N_{h-2} + 1$$

$$\geq 1 + 2(1 + 2N_{h-4})$$

$$\geq 1 + 2 + 2^2(1 + 2N_{h-6})$$

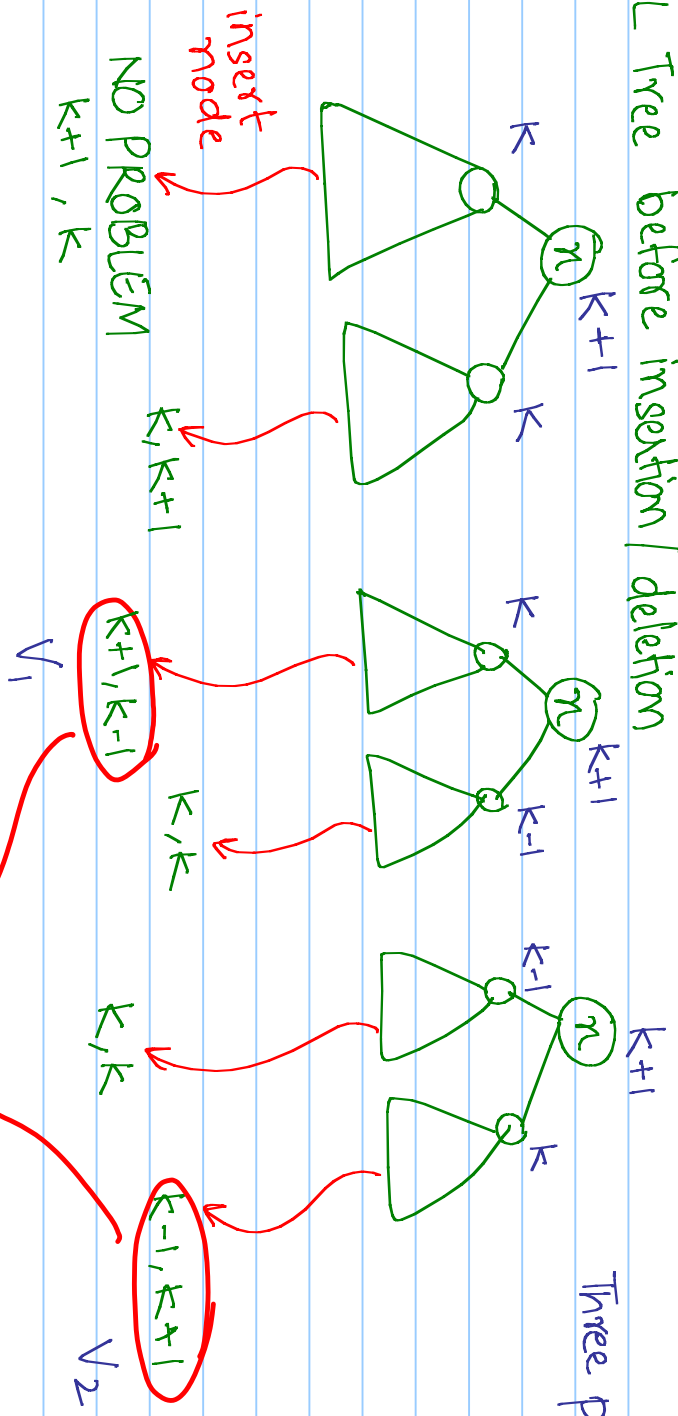
$$\geq 1 + 2 + 2^2 + \dots + 2^{h/2} = 2^{h/2+1} - 1$$

$$N_h \geq 2^{h/2}$$

$$h \leq 2 \log N_h$$

ROTATIONS

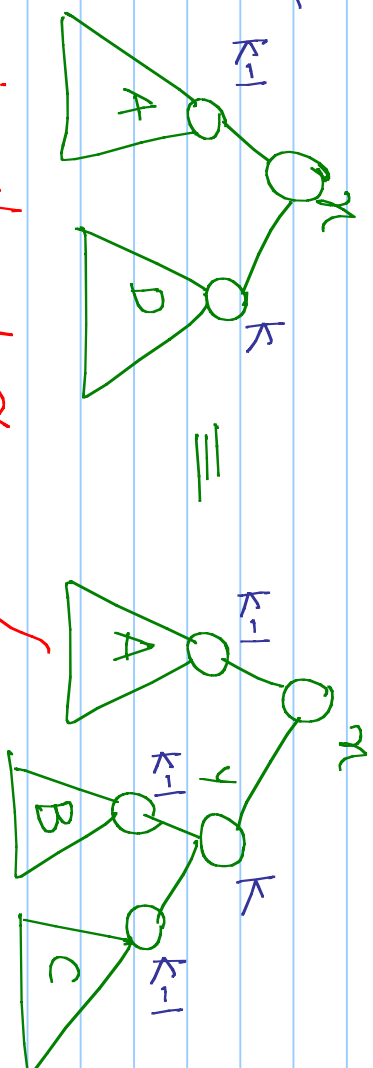
AVL Tree before insertion / deletion



Three possible cases

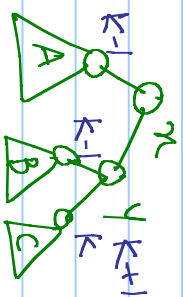
AVL invariant violated!

Consider a violation V_2

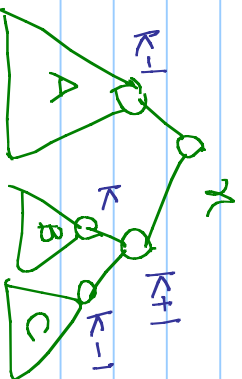


insert node α

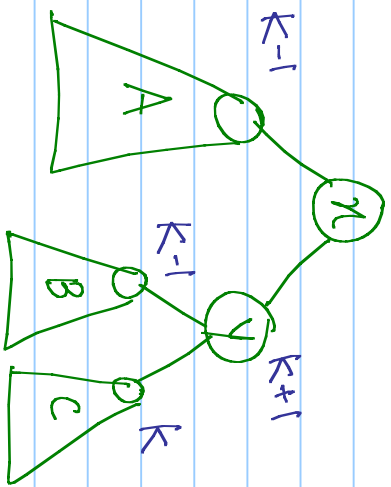
Case 1: goes to C



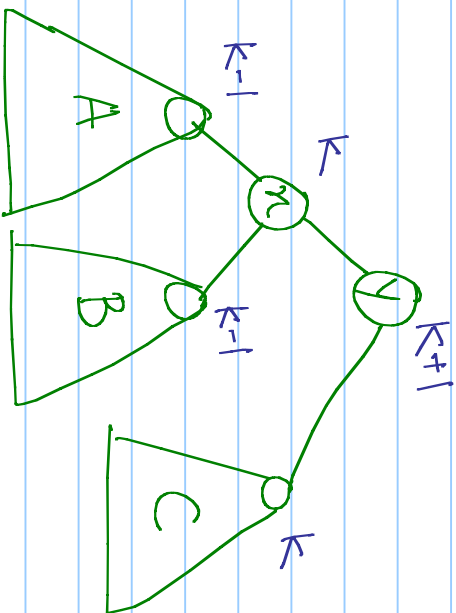
Case 2: goes to B



Case 1:



Rotate



AVL :)

```
def left_rot(x):
```

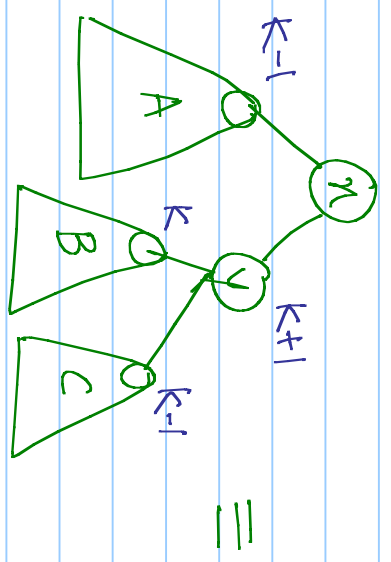
```
    y = x.right
```

```
    x.right = y.left
```

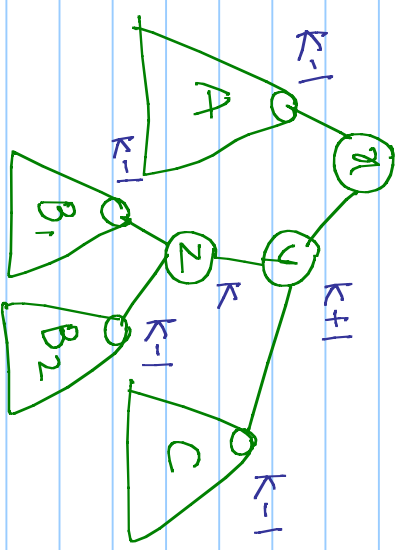
```
    y.left = x
```

```
    return y
```

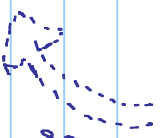
Case 2:



≡

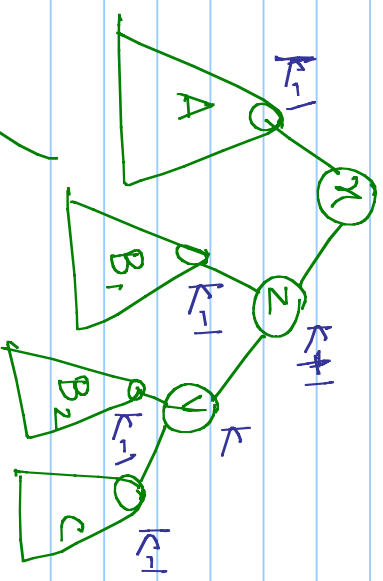


Rotate 1



Double Rotation

Rotate 2

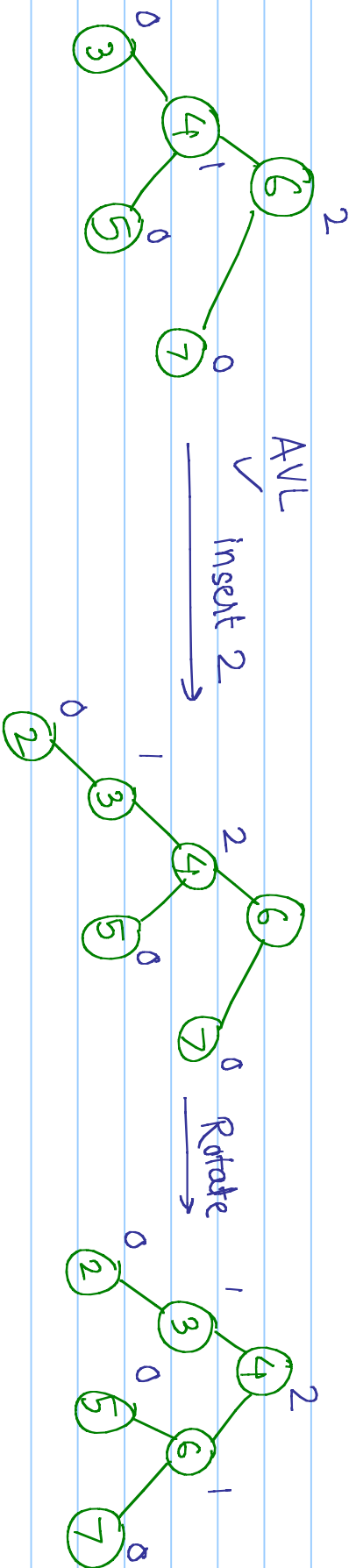


Double Rotation

Rotate 2

```
def dbl_left_rot(m):
    y = m.right
    z = y.left
    m.right = z.left
    y.left = z.right
    z.right = y
    return z
```

Symmetric Rotation for N1



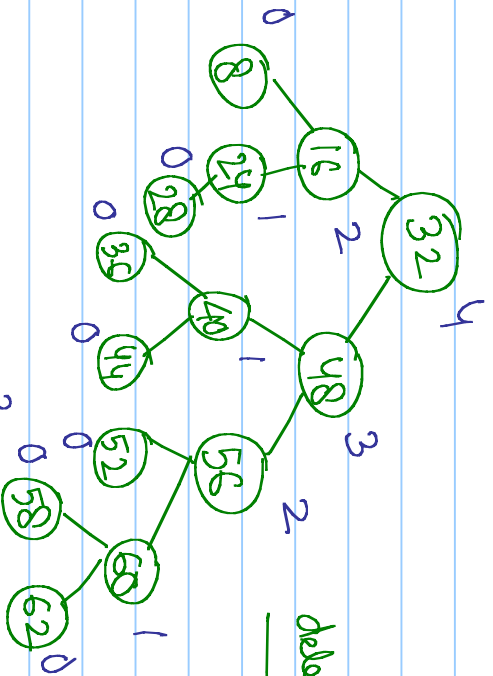
INSERTION AND DELETION

Similar as in BST, followed by rotations to correct imbalances

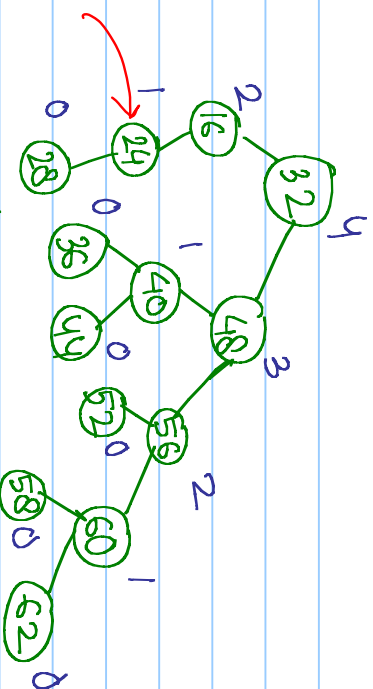
- * INSERTION — one rotation is sufficient (why?) height of root of subtree remains $K+1$ after rotation
- * DELETION — need to check AVL invariant from first point of discrepancy to the root.

$O(\log n)$

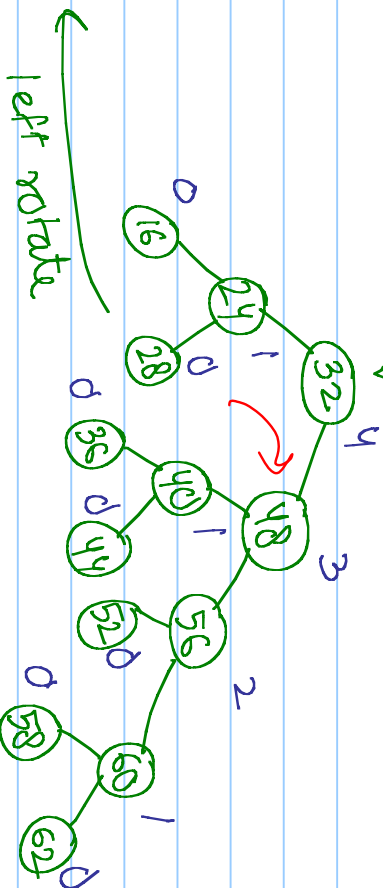
Deletion From AVL Trees Exercise



delete 8



left rotate



left rotate

Finally AVL!!

