

6.006 Recitation

Build 2008.14

Coming up next...

- Open addressing
- Karp-Rabin
 - coming back from the dead to hunt us

Open Addressing

- Goal: use nothing but the table
 - Hoping for less code, better caching
- Hashing \Rightarrow we must handle collisions
 - Solution: try another location

Easy Collision handling

- $h(x)$ = standard hash function
- if $T[h(x)]$ is taken
 - try $T[h(x)+1]$
 - then $T[h(x) + 2]$
 - then $T[h(x) + 3]$
- just like parking a car

$h(29) \rightarrow$	0	taken
	1	
	2	taken
	3	
$h(29) + 1 \rightarrow$	4	taken
$h(29) + 2 \rightarrow$	5	taken
$h(29) + 3 \rightarrow$	6	taken
	7	here 😊
	8	
	9	taken

Collision Handling: Abstracting it Up

- $h(k)$ grows up to $H(k, i)$ where i is the attempt number
- first try $T[H(k, 0)]$

0	taken
1	taken
2	taken
3	taken
4	taken
5	taken
6	taken
7	taken
8	taken
9	taken

$H(29, 0) \rightarrow$

Collision Handling: Abstracting it Up

- $h(k)$ grows up to $H(k, i)$ where i is the attempt number
- first try $T[H(k, 0)]$
 - then $T[H(k, 1)]$

$H(29, 1) \rightarrow$

0	taken
1	taken
2	taken
3	taken
4	taken
5	taken
6	taken
7	taken
8	taken
9	taken

$H(29, 0) \rightarrow$

Collision Handling: Abstracting it Up

- $h(k)$ grows up to $H(k, i)$ where i is the attempt number
- first try $T[H(k, 0)]$
 - then $T[H(k, 1)]$
 - then $T[H(k, 2)]$

$H(29, 1) \rightarrow$

$H(29, 2) \rightarrow$

$H(29, 0) \rightarrow$

0	taken
1	taken
2	taken
3	taken
4	taken
5	taken
6	taken
7	taken
8	taken
9	taken

Collision Handling: Abstracting it Up

- $h(k)$ grows up to $H(k, i)$ where i is the attempt number
- first try $T[H(k, 0)]$
 - then $T[H(k, 1)]$
 - then $T[H(k, 2)]$
- stop after trying all

$H(29, 3) \rightarrow$	0	taken
$H(29, 1) \rightarrow$	1	taken
$H(29, 4) \rightarrow$	2	taken
$H(29, 9) \rightarrow$	3	taken
$H(29, 2) \rightarrow$	4	taken
$H(29, 5) \rightarrow$	5	taken
$H(29, 6) \rightarrow$	6	taken
$H(29, 7) \rightarrow$	7	taken
$H(29, 8) \rightarrow$	8	taken
$H(29, 0) \rightarrow$	9	taken

Collision Handling: Abstracting it Up

- $H(k) = \langle H(k, 0), H(k, 1), H(k, 2) \dots \rangle$
- Linear probing, $h(29) = 4$, $H_{\text{linear}}(29) = ?$

 $\langle 4, 5, 6, 7, 8, 9, 0, 1, 2, 3 \rangle$
- General properties?

$H(29, 3) \rightarrow$	0	taken
$H(29, 1) \rightarrow$	1	taken
$H(29, 4) \rightarrow$	2	taken
$H(29, 9) \rightarrow$	3	taken
$H(29, 2) \rightarrow$	4	taken
$H(29, 5) \rightarrow$	5	taken
$H(29, 6) \rightarrow$	6	taken
$H(29, 7) \rightarrow$	7	taken
$H(29, 8) \rightarrow$	8	taken
$H(29, 0) \rightarrow$	9	taken

Collision Handling: Abstracting it Up

- Any collision handling strategy comes to:
 - for key k , probe $H(k,0)$, then $H(k,1)$ etc.
- No point in trying the same place twice
- Probes should cover the whole table
(otherwise we raise 'table full' prematurely)
- Conclusion: $H(k, 0), H(k, 1) \dots H(k, m-1)$ are
a permutation of $\{1, 2, 3 \dots m\}$

Linear Probing and Permutations

- $h(29) = 4; H(29) = \langle 4, 5, 6, 7, 8, 9, 0, 1, 2, 3 \rangle$
- $h(k) = h_0 \bmod m; H(k) = \langle h_0 \bmod m, (h_0 + 1) \bmod m, (h_0 + 2) \bmod m, \dots, (h_0 + m - 1) \bmod m \rangle$
- m permutations (max $m!$)

	0	taken
	1	
	2	taken
	3	
$h(29) \rightarrow$	4	taken
$h(29) + 1 \rightarrow$	5	taken
$h(29) + 2 \rightarrow$	6	taken
$h(29) + 3 \rightarrow$	7	here 😊
	8	
	9	taken

Ideal Collision Handling

- Simple Hashing (collision by chaining)
 - Ideal hashing function: uniformly distributes keys across hash values
- Open Addressing
 - Ideal hashing function: uniformly distributes keys across permutations
 - a.k.a. uniform hashing

Uniform Hashing: Achievable?

- Simple mapping between permutations of m and numbers $1 \dots m!$
- Convert key to big number, then use permutation number ($\text{bignum} \bmod m!$)
- ... right?

$k \bmod 6$	Permutation
0	$\langle 1, 2, 3 \rangle$
1	$\langle 1, 3, 2 \rangle$
2	$\langle 2, 1, 3 \rangle$
3	$\langle 2, 3, 1 \rangle$
4	$\langle 3, 1, 2 \rangle$
5	$\langle 3, 2, 1 \rangle$

Uniform Hashing: Achievable?

- Number of digits in $m!$
 - $O(\log(m!))$
 - $O(m \cdot \log(m))$
- Working mod $m!$ is slow
 - check your Python cost model

k mod 6	Permutation
0	<1, 2, 3>
1	<1, 3, 2>
2	<2, 1, 3>
3	<2, 3, 1>
4	<3, 1, 2>
5	<3, 2, 1>

Working Compromise

- Why does linear probing suck?
 - We jump in the table once, then walk
- Improvement
 - Keep jumping after the initial jump
 - Jumping distance: 2nd hash function
 - Name: double hashing

Double Hashing: Math

- $h_1(k)$ and $h_2(k)$ are hashing functions

0	taken
1	
2	taken
3	
4	taken
5	taken
6	taken
7	taken
8	
9	taken

Double Hashing: Math

- $h_1(k)$ and $h_2(k)$ are hashing functions
- $H(k, 0) = h_1(k)$

$h_1(29) \rightarrow$

0	taken
1	
2	taken
3	
4	taken
5	taken
6	taken
7	taken
8	
9	taken

Double Hashing: Math

- $h_1(k)$ and $h_2(k)$ are hashing functions
- $H(k, 0) = h_1(k)$
- $H(k, 1) = h_1(k) + h_2(k)$

$h_1(29) \rightarrow$

$h_1(29) + h_2(29) \rightarrow$

0	taken
1	
2	taken
3	
4	taken
5	taken
6	taken
7	taken
8	
9	taken

Double Hashing: Math

- $h_1(k)$ and $h_2(k)$ are hashing functions
- $H(k, 0) = h_1(k)$
- $H(k, 1) = h_1(k) + h_2(k)$

$$h_1(29) + 2 \cdot h_2(29) \rightarrow$$

$$h_1(29) \rightarrow$$

$$h_1(29) + h_2(29) \rightarrow$$

0	taken
1	
2	taken
3	
4	taken
5	taken
6	taken
7	taken
8	
9	taken

Double Hashing: Math

- $h_1(k)$ and $h_2(k)$ are hashing functions
- $H(k, 0) = h_1(k)$
- $H(k, 1) = h_1(k) + h_2(k)$

$$h_1(29) + 2 \cdot h_2(29) \rightarrow$$

$$h_1(29) + 3 \cdot h_2(29) \rightarrow$$

$$h_1(29) \rightarrow$$

$$h_1(29) + h_2(29) \rightarrow$$

0	taken
1	
2	taken
3	here 😊
4	taken
5	taken
6	taken
7	taken
8	
9	taken

Double Hashing: Math

- $h_1(k)$ and $h_2(k)$ are hashing functions
- $H(k, 0) = h_1(k)$
- $H(k, 1) = h_1(k) + h_2(k)$
- $H(k, i) = h_1(k) + i \cdot h_2(k)$
 - mod m
 - you knew that, right?

$$h_1(29) + 2 \cdot h_2(29) \rightarrow$$

$$h_1(29) + 3 \cdot h_2(29) \rightarrow$$

$$h_1(29) \rightarrow$$

$$h_1(29) + h_2(29) \rightarrow$$

0	taken
1	
2	taken
3	here 😊
4	taken
5	taken
6	taken
7	taken
8	
9	taken

Double Hashing Trap

- $\gcd(h_2(k), m)$ must be 1
- solution 1 (easy to get)
 - m prime, $h_2(k) = k \bmod q$ (where $q < m$)
- solution 2 (faster, better)
 - $m = 2^r$ (table can grow)
 - $h_2(k)$ is odd (not even)

$h_1(29) + 2 \cdot h_2(29) \rightarrow$	0	taken
	1	
	2	taken
$h_1(29) + 3 \cdot h_2(29) \rightarrow$	3	here 😊
$h_1(29) \rightarrow$	4	taken
	5	taken
	6	taken
$h_1(29) + h_2(29) \rightarrow$	7	taken
	8	
	9	taken

Open Addressing: Deleting Keys

- Suppose we want to delete k_d stored at 7
- Can't simply wipe the entry, because key 29 wouldn't be found anymore
- remember $H(29) = \langle 4, 7, 0, 3 \dots \rangle$

$$h_1(29) + 2 \cdot h_2(29) \rightarrow$$

$$h_1(29) + 3 \cdot h_2(29) \rightarrow$$

$$h_1(29) \rightarrow$$

$$h_1(29) + h_2(29) \rightarrow$$

0	taken
1	
2	taken
3	here 😊
4	taken
5	taken
6	taken
7	k_d
8	
9	taken

Open Addressing: Deleting Keys

- Entry meaning 'deleted'
- Handling 'deleted'
 - Search: Keep looking
 - Insert: Stop, replace 'deleted' with the new key/value

$$h_1(29) + 2 \cdot h_2(29) \rightarrow$$

$$h_1(29) + 3 \cdot h_2(29) \rightarrow$$

$$h_1(29) \rightarrow$$

$$h_1(29) + h_2(29) \rightarrow$$

0	taken
1	
2	taken
3	here 😊
4	taken
5	taken
6	taken
7	deleted
8	
9	taken

Open Addressing:Code

- Design: implementing a collection in Python
 - **__getitem__**(self, key)
 - return key item or raise `KeyError(key)`
 - **__setitem__**(self, key, item)
 - insert / replace (key, item)
 - **__delitem__**(self, key)

Open Addressing: Code

- Closures: not for n00bs
- `def compute_modulo` is local to the `mod_m` call
- the function created by `def compute_modulo` is returned like any object
- the object remembers the context around the `def` (the value of `m`)

```
1 def mod_m(m):
2     def compute_modulo(n):
3         return (n % m)
4     return compute_modulo
5
6 >>> m5 = mod_m(5)
7 >>> m3 = mod_m(3)
8 >>> m5(9)
9 4
10 >>> m3(9)
11 0
```


Open Addressing: Code

```
1 def linear_probing(m = 1009):
2     def hf(key, attempt):
3         return (hash(key) + attempt) % m
4     return hf
5
6 def double_hashing(hf2, m = 1009):
7     def hf(key, attempt):
8         return (hash(key) + attempt * hf2(key)) % m
9     return hf
10
11 class DeletedEntry:
12     pass
13
14 class OpenAddressingTable:
15     def __init__(self, hash_function, m = 1009):
16         self.entries = [None for i in range(m)]
17         self.hash = hash_function
18         self.deleted_entry = DeletedEntry()
```


Open Addressing: Code

```
14 class OpenAddressingTable:
15     def __init__(self, hash_function, m = 1009):
16         self.entries = [None for i in range(m)]
17         self.hash = hash_function
18         self.deleted_entry = DeletedEntry()
19
20     def get_entry(self, key):
21         for attempt in xrange(len(self.entries)):
22             h = self.hash(key, attempt)
23             if self.entries[h] is None:
24                 return None
25             if self.entries[h] is not self.deleted_entry and \
26                 self.entries[h][0] == key:
27                 return self.entries[h]
28
29     def __getitem__(self, key):
30         entry = self.get_entry(key)
31         if entry is None:
32             raise KeyError(key)
33         return entry[1]
34
35     def __contains__(self, key):
36         return self.get_entry(key) is not None
```


Open Addressing: Code

```
14 class OpenAddressingTable:
15     def __init__(self, hash_function, m = 1009):
16         self.entries = [None for i in range(m)]
17         self.hash = hash_function
18         self.deleted_entry = DeletedEntry()
19
20     def __getitem__(self, key):
21         for attempt in xrange(len(self.entries)):
22             h = self.hash(key, attempt)
23             if self.entries[h] is None or \
24                 self.entries[h] is self.deleted_entry:
25                 continue
26             return self.entries[h].value
27         raise KeyError('Key not found')
28
29     def __setitem__(self, key, value):
30         if value is None: raise ValueError('Cannot set value to None')
31         del self[key]
32         for attempt in xrange(len(self.entries)):
33             h = self.hash(key, attempt)
34             if self.entries[h] is None or \
35                 self.entries[h] is self.deleted_entry:
36                 continue
37             self.entries[h] = DeletedEntry(key, value)
38             return
39         raise ValueError('Table full')
```


Open Addressing: Code

```
14 class OpenAddressingTable:
15     def __init__(self, hash_function, m = 1009):
16         self.entries = [None for i in range(m)]
17         self.hash = hash_function
18         self.deleted_entry = DeletedEntry()
19
20     def __delitem__(self, key):
21         for attempt in xrange(len(self.entries)):
22             h = self.hash(key, attempt)
23             if self.entries[h] is None:
24                 return
25             if self.entries[h] is not self.deleted_entry and \
26                 self.entries[h][0] == key:
27                 self.entries[h] = self.deleted_entry
28                 return
29         return
```


Ghosts of Karp & Rabin

Getting Rolling Hashes Right

Modular Arithmetic

- Foundation:
 - $(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$
- From that, it follows that:
 - $(a \cdot b) \bmod m = ((a \bmod m) \cdot (b \bmod m)) \bmod m$
 - induction: multiplication is repeated +

Modular Gotcha

- Never give mod a negative number
 - want $q = (a - b) \bmod m$, but $a - b < 0$
 - $q \bmod m = (a - (b \bmod m)) \bmod m$
 - but $(b \bmod m)$ is $< m$
 - so $(a + m - (b \bmod m)) > 0$
 - $q = (a + m - (b \bmod m)) \bmod m$

Modular Arithmetic-Fu

- Multiplicative inverses: assume p is prime
- For every a and p , there is a^{-1} so that:
 - $(a * a^{-1}) \bmod p = 1$
 - example: $p = 23, a = 8 \Rightarrow a^{-1} = 3$
 - check: $8 * 3 = 24, 24 \bmod 23 = 1$
- Multiplying by a^{-1} is like dividing by a

Modular Arithmetic-Fu

- How do we compute a^{-1} ?
- Fermat's Little Theorem:
 - p prime $\Rightarrow a^{p-1} \bmod p = 1$
- Huh?
 - $a^{p-1} \bmod p = a * a^{p-2} \bmod p = 1$
 - so (for p) $a^{-1} \bmod p = a^{p-2} \bmod p$

Back to Rolling Hashes

- Data Structure (just like hash table)
 - start with empty list
 - `append(val)`: appends `val` at the end of list
 - `skip()`: removes the first list element
 - `hash()`: computes a hash of the list

And we're done!

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v. Next

- Open Addressing takes 40/45 minutes
- Maybe enough time to cover modular arithmetic, not enough time for rolling hash tricks
- Can improve structure, more visualizations will definitely help students get it faster