

# 6.006 RECITATION 4

13 Feb 2009

Note Title

2/13/2009

- \* Binary Search Trees
- \* Lecture 4
- \* AVL Trees

## AVL TREES

G.M. Adelson-Velsky and E.M. Landis

"An algorithm for the organization of information", 1962

Balanced Binary Search Tree

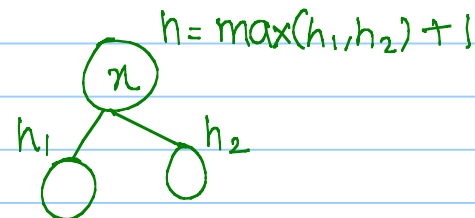
For any node  $x$ ,  $\text{height}(x)$ : Length of longest path from  $x$  to a leaf node

$$\text{height}(x) = \max(\text{height}(\text{left}(x)), \text{height}(\text{right}(x))) + 1$$

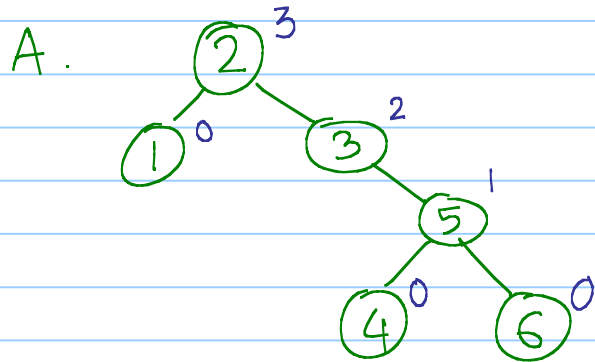
$$\text{height}(\text{NULL}) = -1, \text{height}(y) = 0 \quad \forall y: \text{leaf node}$$

AVL invariant

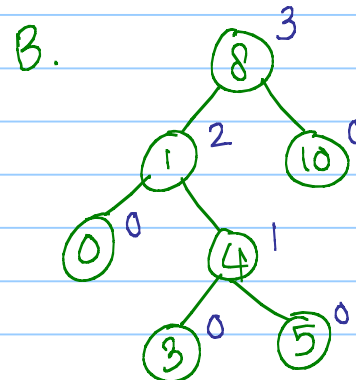
$$\forall x: \text{node}, \quad |\text{height}(\text{left}(x)) - \text{height}(\text{right}(x))| \leq 1 \quad |h_1 - h_2| \leq 1$$



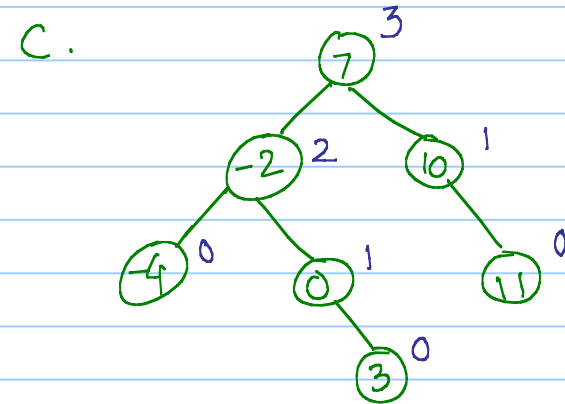
# EXERCISE



AVL X



AVL X

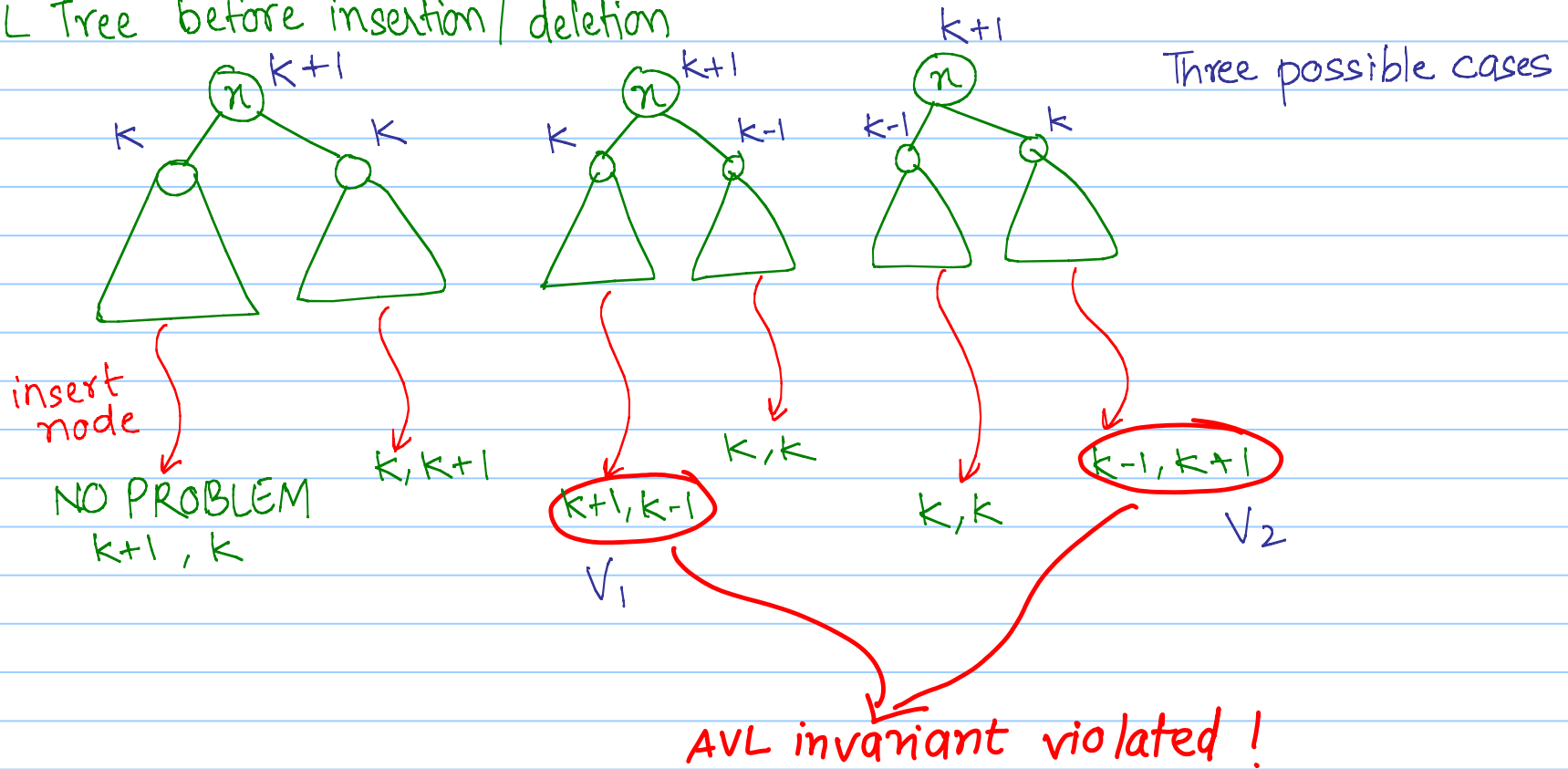


AVL ✓

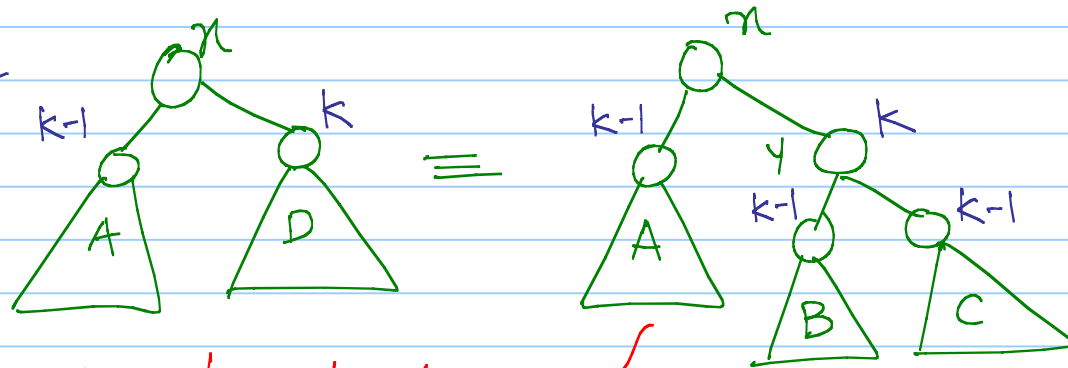
$$\begin{aligned}
 N_h &\geq N_{h-1} + N_{h-2} + 1 \\
 &\geq 2N_{h-2} + 1 \\
 &\geq 1 + 2(1 + 2N_{h-4}) \\
 &\geq 1 + 2 + 2^2(1 + 2N_{h-6}) \\
 &\geq 1 + 2 + 2^2 + \dots + 2^{h/2} = 2^{h/2+1} - 1 \\
 N_h &\geq 2^{h/2} \\
 h &\leq 2 \log N_h
 \end{aligned}$$

# ROTATIONS

AVL Tree before insertion / deletion

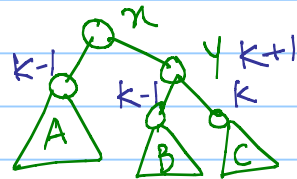


Consider a violation  $V_2$

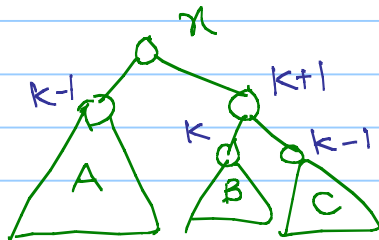


insert node  $\alpha$

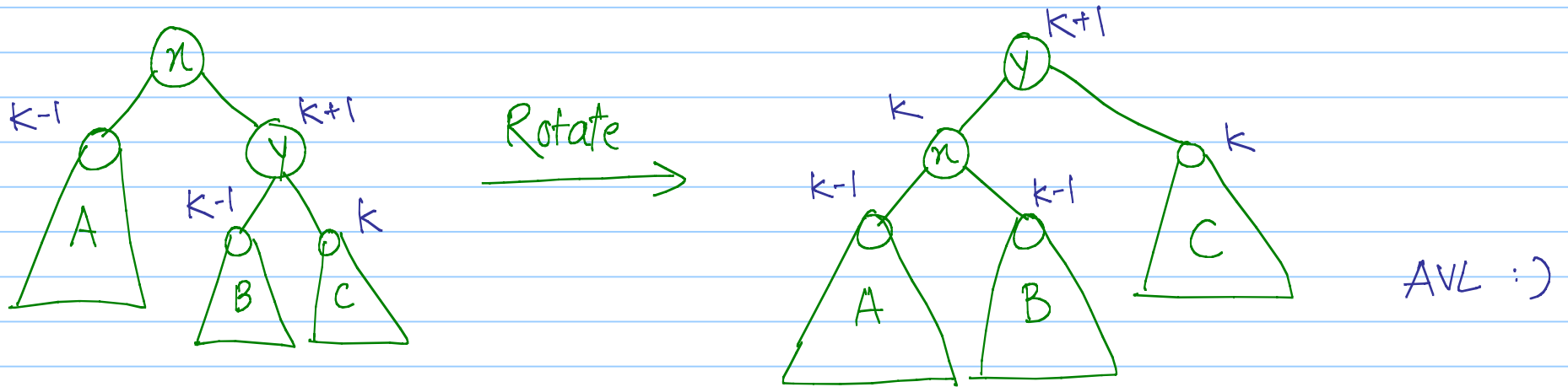
Case 1: goes to C



case 2: goes to B

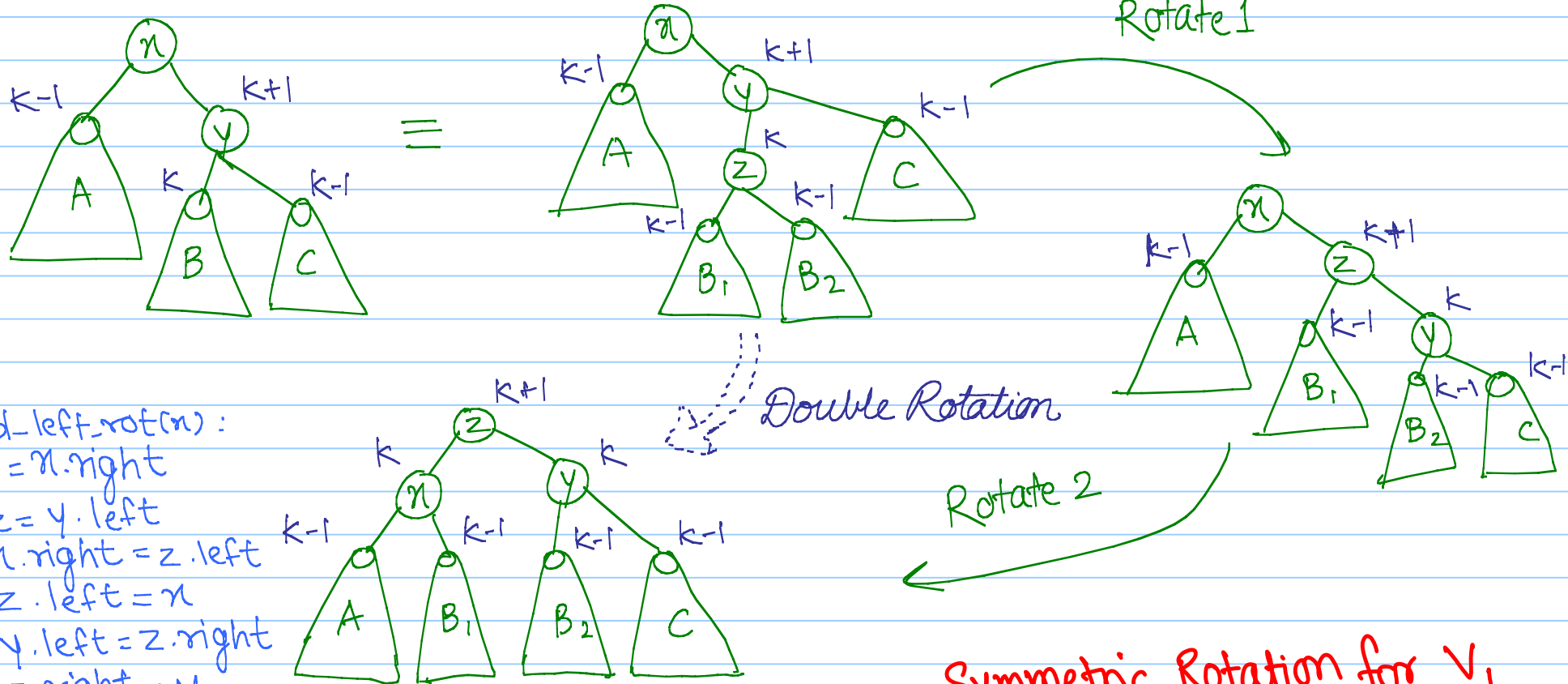


## Case 1:



```
def left_rot(x):  
    y = x.right  
    x.right = y.left  
    y.left = x  
    return y
```

## Case 2:

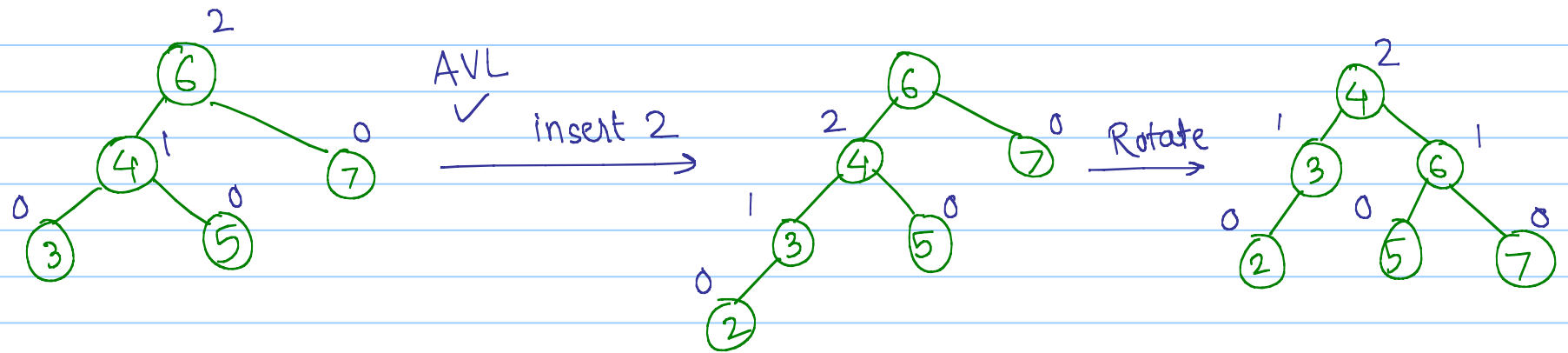


```
def dbl_left_rot(x):
    y = x.right
    z = y.left
    x.right = z.left
    z.left = x
    y.left = z.right
    z.right = y
    return z
```

Double Rotation

Rotate 2

Symmetric Rotation for  $V_1$

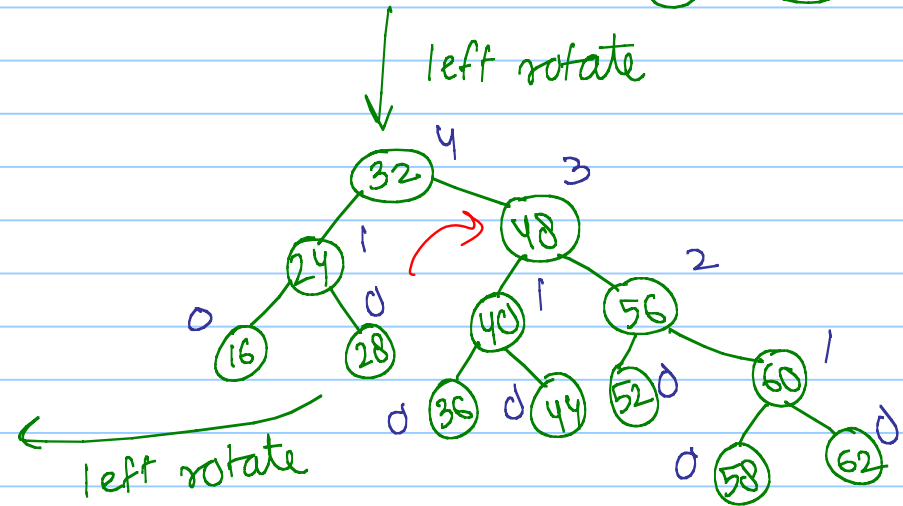
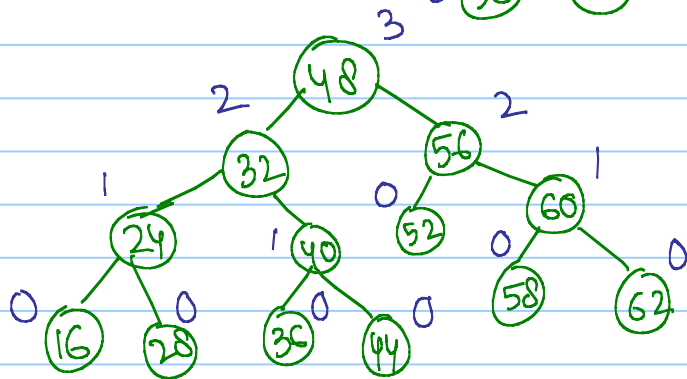
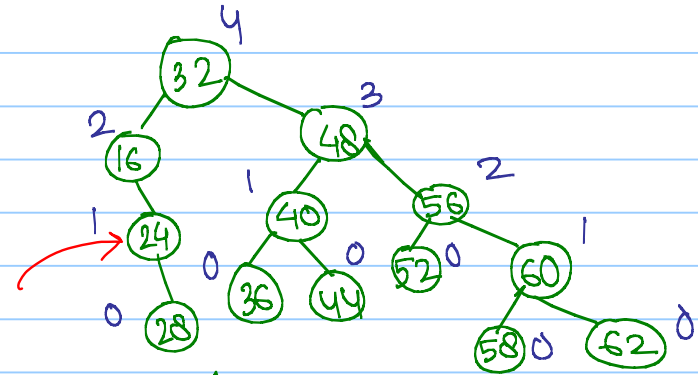
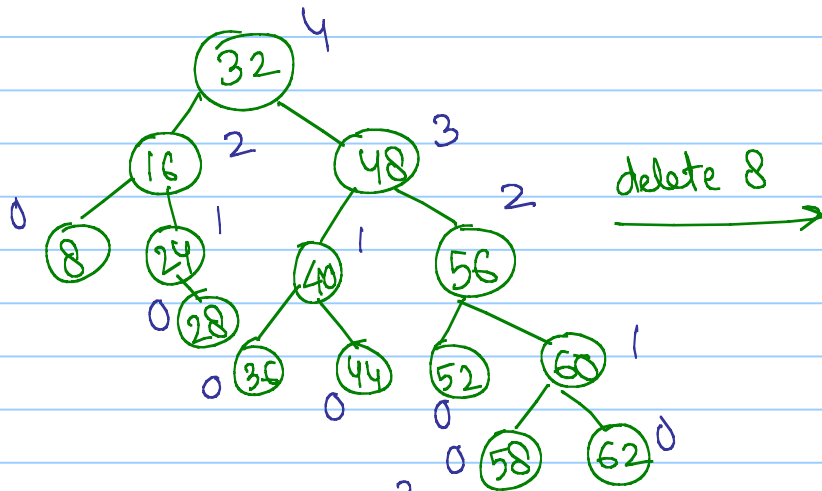


## INSERTION AND DELETION

Similar as in BST, followed by rotations to correct imbalances

- \* INSERTION — one rotation is sufficient (why?) height of root of subtree remains  $k+1$  after rotation
- \* DELETION — need to check AVL invariant from first point of discrepancy to the root.  
 $O(\log n)$

# Deletion From AVL Trees Exercise



finally AVL!!