

Lecture 21: Dynamic Programming IV: Piano Fingering, Structural DP (Trees), Vertex Cover, Dominating Set, Treewidth

Lecture Overview

- Piano Fingering
- Structural DP (trees)
- Vertex Cover & Dominating Set in trees
- Beyond trees: treewidth

Readings

CLRS 15

Review:

5 easy steps for DP

1. subproblems (define & count)
2. guessing (what & count)
3. relation (the true test of successful subproblem definition)
4. DP (put pieces together)
5. recover solution to original problem

* 2 kinds of guessing:

in 3: guess which other subproblems to use (used by every DP except Fibonacci)

in 1: add more structure to the subproblem definition, if the obvious subproblems do not result in a useful recursion (used by knapsack DP)

- effectively keep track of many solutions to the subproblem; e.g., in the knapsack problem we kept track of the best knapsacks of various sizes using items $i, \dots, n$;
- informs parent subproblem about features of the solution.

Piano fingering:

[Parncutt, Sloboda, Clarke, Raekallio, Desain, 1997]

[Hart, Bosch, Tsai 2000]

[Al Kasimi, Nichols, Raphael 2007] etc.

- given musical piece to play, say sequence of (single) notes with right hand
- metric $d(f, p, g, q)$ of difficulty going from note p with finger f to note q with finger g

e.g., $1 < f < g \ \& \ p > q \implies$ uncomfortablestretch rule: $p \ll q \implies$ uncomfortablelegato (smooth) $\implies \infty$ if $f = g$ weak-finger rule: prefer to avoid $g \in \{4, 5\}$ $3 \rightarrow 4 \ \& \ 4 \rightarrow 3$ annoying $\sim$ etc.**First Attempt:**

1. ~~subproblem = min. difficulty for suffix notes~~ $[i:]$
2. ~~guessing = finger f for first note~~ $[i]$
3. $DP[i] = \min(DP[i+1] + d(\text{note}[i], f, \text{note}[i+1], ?) \text{ for } f \dots)$
 $\rightarrow$ not enough information

Second Attempt, enriching the subproblems we keep track of:

1. subproblem $DP[i, f] = \min$ difficulty for suffix $\text{note}[i:]$ given finger f on $\text{note}[i]$
2. guessing = finger g for next $\text{note}[i+1]$
3. $DP[i, f] = \min_{g \in \text{range}(F)} (DP[i+1, g] + d(\text{note}[i], f, \text{note}[i+1], g))$
 $\leftarrow \# \text{ fingers} = 5 \text{ for humans}$
 $DP[n, f] = \emptyset$
4. Fn subproblems, F choices per subproblem $\implies O(F^2n)$ time
5. recovering original solution: $\min_{f \in \text{range}(F)} (DP[\emptyset, f])$

Structural DP:

Follow combinatorial structure of the problem, usually richer than mere subsequences.

* for DP on trees, useful subproblem is subtree rooted at vertex v , for all v

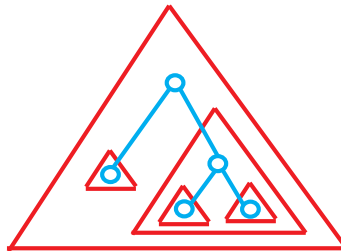


Figure 1: DP on Trees.

Vertex Cover:

Find minimum set of vertices (cover) such that every edge is covered on at least 1 end

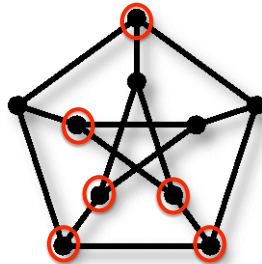


Figure 2: Vertex Cover of Petersen's Graph.

- NP-complete¹ in general graphs
- polynomial time for trees:
 1. subproblem = min. cover for subtree rooted at v
 $\implies n$ subproblems
 2. guessing = is v in cover?
 $\implies 2$ choices

¹Recall from last lecture that NP-complete problems is a family of problems which are all equivalent to each other and for which no polynomial-time algorithm is known. Most computer scientists believe that no efficient algorithm exists for these problems, but showing this is beyond the power of current techniques. More on NP-completeness later in the term.

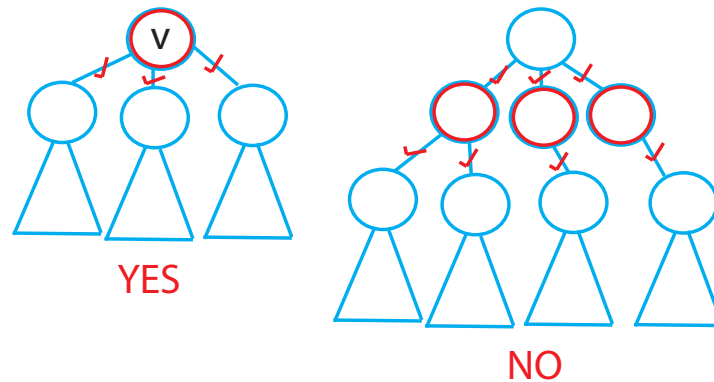


Figure 3: Vertex Cover.

- YES $\implies$ children edges already covered :-)
 $\implies$ left with children subtrees
 - NO $\implies$ all children must be in cover otherwise the edges adjacent to v will not be covered
 $\implies$ left with grandchildren subtrees
3. $DP[v] = \min(1 + \text{sum}(DP[c] \text{ for } c \text{ in children}[v]),$ YES CASE
 $\quad \# \text{children of } v + \text{sum}(DP[g] \text{ for } g \text{ in grandchildren}(v))$ NO CASE
 4. time = $O(\sum \deg(v)) = O(E) = O(n)$ (because the edges going out of node v are “explored” at most twice by the recursion: once for computing $DP[v]$, and once for computing $DP[\text{father}[v]]$)
 5. solution to the original problem: $DP[\text{root}]$

Dominating set:

Find minimum set of vertices such that every vertex is in or adjacent to set

- again NP-complete in general graphs, polynomial time on trees.

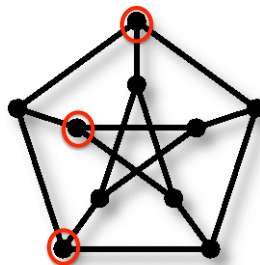


Figure 4: Dominating Set in Petersen's Graph.

1. subproblem = min. dom. for subtree rooted at v
2. guessing = is v in dom. set?
 - YES $\implies$ children become already dominated! :-)
 - NO $\implies$ must put at least one child in dom. set, otherwise v won't be dominated
 $\implies$ on the positive side, the chosen child's children will be automatically dominated
3. Let us try to write down a structural DP recursion for this problem:

In the **YES CASE**: we have to pay 1 for choosing v and then

- ~~sum up the solutions for all the children~~ — too pessimistic because the children are already dominated...
- ~~sum up the solutions for all the grandchildren~~ — too pessimistic, because it is possible that the best solution for the subtrees rooted at the children of v involves choosing some of the children even though the children do not need to be dominated...

This discussion leads us to enrich the set of subproblems as follows:

$DP(v)$ = min. dom. set for subtree rooted at v

$DP'(v)$ = min. dom. set for subtree rooted at v with no requirement of dominating v

$\implies 2n$ subproblems total

4. Now we can write down the following recursive formula for DP (see Figure 5).

$$DP[v] = \min(1 + \underbrace{\sum(DP'[c] \text{ for } c \text{ in children}[v])}_{\text{YES CASE}}, \underbrace{\min_{d \in \text{children}[v]} \{1 + \sum(DP'(g) \text{ for } g \text{ in children}[d]) + \sum(DP[c] \text{ for } c \neq d \text{ in children}[v])\}}_{\text{NO CASE}})$$

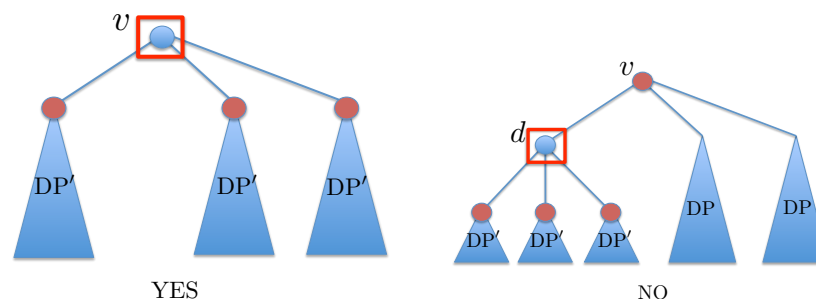


Figure 5: Structure of the Dynamic Programming Solution for Dominating Set in Trees.

5. Recursion for DP':

$$DP'[v] = \min(1 + \sum(DP'[c] \text{ for } c \text{ in children}[v], \text{ YES CASE} \\ \sum(DP[c] \text{ for } c \text{ in children}[v])) \text{ NO CASE})$$

6. time = $O(\sum \deg(v)) = O(E) = O(n)$ (justification similar to that in the vertex cover problem)

7. solution to original problem $DP[\text{root}]$

Beyond Trees — **You are not responsible for this material.**

Treewidth:

Many graphs are “thick trees” with reasonable “thickness” (~ 7 e.g.).

- Most problems that are NP-complete in general can be solved in such graphs via DP

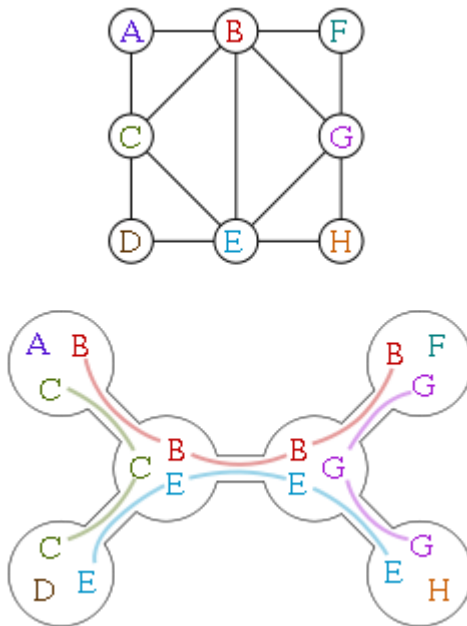


Figure 6: A graph (top) and its tree decomposition (bottom). The treewidth is 3.